UNITED STATES DISTRICT COURT FOR THE DISTRICT OF MASSACHUSETTS

JOHN HANCOCK LIFE INSURANCE COMPANY, JOHN HANCOCK VARIABLE LIFE INSURANCE COMPANY, and MANULIFE INSURANCE COMPANY (f/k/a INVESTORS PARTNER LIFE INSURANCE COMPANY),))))))) CIVIL ACTION NO. 05-11150-DPW
Plaintiffs,)))
v.))
ABBOTT LABORATORIES,)
Defendant.)))

JOHN HANCOCK'S MOTION IN LIMINE TO OVERRULE ABBOTT'S AUTHENTICITY AND VARIOUS HEARSAY OBJECTIONS TO ITS OWN DOCUMENTS

Plaintiffs John Hancock Life Insurance Company, John Hancock Variable Life Insurance Company and Manulife Insurance Company (collectively, "John Hancock" or "Hancock") hereby move this Court to overrule various objections asserted by Abbott Laboratories ("Abbott") to Hancock's list of proposed trial exhibits, on the basis that those objections needlessly and unjustifiably dispute: (1) the authenticity of Abbott's *own* documents produced in this action that clearly satisfy Fed. R. Evid. 901(a); (2) the admissibility of Abbott's *own* reports, meeting minutes, presentations and agendas that clearly qualify as "business records" under Fed. R. Evid. 803(6); and (3) the admissibility of various e-mails and other documents authored by Abbott *own* employees or agents that plainly constitute "admission[s] by party opponent" under

Fed. R. Evid. 801(d)(2). Indeed, many of the documents to which Abbott asserts objections are included on Abbott's own list of proposed trial exhbits in this action.

Abbott's legally groundless objections impose a substantial, additional burden on John Hancock and threaten to unnecessarily prolong the trial of this case. The Court has instructed the parties that "[c]onsultation among counsel shall have occurred well in advance of the filing date [of John Hancock's List of Exhibits on January 28, 2008] to determine whether objections will be made to proffered exhibits." Docket Nos. 178, 195 and 217. John Hancock has attempted for weeks to confer with Abbott regarding its objections in an attempt to convince Abbott to withdraw them. Ignoring the Court's direction and John Hancock's invitations, Abbott has stated that it does not have the time or resources to even consider withdrawing its objections until sometime after February 18, 2008. As a result, John Hancock must prepare to respond to each of Abbott's purported objections by, inter alia, calling additional Abbott witnesses to authenticate and lay evidentiary foundations for various trial exhibits whose authenticity and/or admissibility is beyond reasonable dispute.

For these reasons, which are addressed more fully below, Abbott's needless and unjustified evidentiary objections should be overruled.

Factual Background

On September 27, 2007, in connection with the March 3, 2008 trial of this case, the Court issued its Order Regulating Non-Jury Trial (the "Trial Order"). See Docket No. 178. Pursuant to that Trial Order, each party is obligated to file "[a] list of exhibits to be introduced at trial without objection," and "[a] list of marked items to be offered at trial, as to which the opposing party has reserved the right to object" Trial Order, Section II.4 at (c) and (d). The parties also are obligated to "consult[] ... well in advance of the filing date to determine whether

4298291v3 -2objections will be made to proferred exhibits." Id. at (c). The Court subsequently amended the Trial Order to require, among other things, that "[n]ot later than 12/14/2007, each party shall exchange lists of . . . exhibits." Docket No. 195.

On December 14, 2007, John Hancock served Abbott with a rough, preliminary list of proposed trial exhibits. John Hancock subsequently served Abbott with a greatly pared-down list of proposed trial exhibits on January 18, 2008. On January 23, 2008, Abbott responded to John Hancock's pared-down exhibit list with a series of blanket objections encompassing the vast majority of the exhibits on Hancock's list, including, for example:

- Abbott has objected on "authenticity" grounds to virtually all of proposed exhibits on Hancock's list that had been produced from Abbott's own files in discovery. See Table of Abbott- Documents That Abbott Objects To On Authenticity Grounds, attached hereto at Tab A. Furthermore, Abbott has challenged the authenticity of many of its own documents notwithstanding the fact that those documents also appear on Abbott's preliminary list of proposed trial exhibits, which Abbott is required to file in final form on February 18, 2008. See id. at 65 Exhibits Shadowed In Grey Font.¹
- Abbott has objected on "hearsay" grounds to the admission of its own business records, such as reports, meeting minutes, presentations and agendas. See Table of Abbott Business Records Objected-to By Abbott On Hearsay Grounds, attached hereto at Tab B. Once again, many of these business records also appear on Abbott's preliminary list of proposed trial exhibits. See id. at 51 Exhibits Shadowed In Grey Font. Examples of Abbott created business records which Abbott objects to also are attached hereto at Tab B.
- Abbott has objected on "hearsay" grounds to the admission of various e-mails authored by Abbott personnel that clearly constitute "admission[s] by party opponent" under Fed. R. Evid. 801(d)(2). See Table of Abbott's Party Admissions That Abbott Claims Are Hearsay, attached hereto at Tab C. Once again, many of these exhibits also appear on Abbott's preliminary list of proposed trial exhibits. See id. at 52 Exhibits Shadowed In Grey Font. Examples of party admissions which Abbott objects to also are attached hereto at Tab C and include Documents Bates numbered ABBT 326427 (Abbott personnel noting that ABT-594's "commercial viability questionable" in November 2000), and ABBT 507866 (Abbott personnel directing a "stop [of] all developmental activities immediately" for ABT-518 just prior to the execution of the RFA).

4298291v3 -3-

¹ A true and accurate copy of Abbott's current list of proposed trial exhibits is attached hereto at Tab D.

Upon reviewing this blizzard of facially improper objections to John Hancock's near-final list of exhibits, Hancock promptly invited Abbott to revisit and revise them. Abbott declined that invitation.

On January 28, 2008, pursuant to the Court's Second Amended Order Regulating Non-Jury Trial (Docket No. 217), John Hancock filed two exhibit lists: (a) a list of exhibits proposed by Hancock to which Abbott would not object to at trial; and (b) a list of exhibits proposed by Hancock to which Abbott reserved the right to object at trial. *See* Docket No. 224. Abbott continues to decline to revise and, if appropriate, withdraw its objections to the authenticity of its *own* documents, or the admissibility of its *own* business records and admissions. Notwithstanding the Court's Trial Order requiring the parties to confer and agree upon proposed trial exhibits in advance, Abbott asserts that it does not have the time or resources to even *consider* withdrawing its objections until sometime after February 18, 2008 (the filing deadline for Abbott's trial affidavits and deposition exhibits).²

Argument

Abbott cannot object to the authenticity of its own documents produced by Abbott in this action. Nor can Abbott dispute on hearsay grounds the admissibility of its business records or its party admissions. For these reasons, which are discussed more fully below, Abbott's objections should be overruled.

4298291v3 -4-

² Although Abbott ultimately offered to stipulate to the authenticity of the exhibits produced by Abbott in this litigation that appear on both parties' exhibit lists, it refused to even *consider* John Hancock's other concerns.

I. ABBOTT CANNOT REASONABLY DISPUTE THE AUTHENTICITY OF ITS OWN DOCUMENTS.

Fed. R. Evid. 901(a) provides that "the requirement of authentication as a condition precedent to admissibility is satisfied by evidence sufficient to support a finding that the matter in question is what the proponent claims." In establishing the authenticity of a particular document, the proponent need not rule out "all possibilities inconsistent with authenticity, or ... prove beyond any doubt that the evidence is what it purports to be." <u>U.S. v. Alicea-Cardoza</u>, 132 F.3d 1, 3 (1st Cir. 1997) (internal citation omitted). Indeed, "the standard for authentication, and hence for admissibility, is one of reasonable likelihood." *Id*.

"When a party produces documents during discovery, they are attesting to the authenticity of those documents." <u>Bouriez v. Carnegie Mellon University</u>, 2005 WL 2106582 (W.D.Pa., August 26, 2005); *see also* <u>Hussein v. University and Community College System of Nevada</u>, 2007 WL 4592225 (D. Nev., December 28, 2007) ("A generally accepted method of authenticating a document not included in the [Fed. R. Evid. 901(b)] list is authentication by production during discovery.").

Applying these general rules, Abbott's objections to the authenticity of the documents listed in the Table attached hereto at Tab A, are baseless. First, *all* of the documents listed therein were collected, Bates labeled and produced by Abbott to John Hancock in the course of this litigation. Second, as noted above, many of these documents have been identified *by Abbott* in its proposed list of exhibits for trial.

For these reasons, all of Abbott's authenticity objections to the documents listed on Tab A should be overruled.

4298291v3 -5-

II. ABBOTT CANNOT REASONABLY DISPUTE THE ADMISSIBILITY OF ITS OWN BUSINESS RECORDS.

Fed. R. Evid. 803(6) provides that "business records are not excluded by the rule against hearsay 'if kept in the course of a regularly conducted business activity, and if it was the regular practice of that business activity to make the ... record ... unless the source of the information or the method or circumstances of preparation indicate lack of trustworthiness." United States v. Munoz-Granco, 487 F.3d 25, 38 (1st Cir. 2007) (quoting Rule 803(6)). However, as discussed above. Abbott has objected to the admissibility of many of its own business records on hearsay See Tab B. All of these documents, which include reports, meeting minutes, grounds. presentations and agendas created by Abbott in the course of working on ABT-518, ABT-594 and ABT-773, are of the type contemplated by Fed. R. Evid. 803(6).

Furthermore, the fact that many of these documents appear on Abbott's own proposed list of exhibits for trial (see id. at 51 Exhibits Shadowed In Grey Font) bolsters the "trustworthiness" of these documents under Fed. R. Evid. 803(6), and undermines Abbott's purported objections to their admissibility.

For these reasons, all of Abbott's hearsay objections to the documents listed on Tab B should be overruled.

III. ABBOTT CANNOT REASONABLY DISPUTE THE ADMISSIBILITY OF ITS OWN PARTY ADMISSIONS.

Fed. R. Evid. 801(d) provides that a "statement is not hearsay if . . . [it is] offered against a party and is (A) the party's own statement, in either an individual or representative capacity or . . . (D) a statement by the party's agent or servant concerning a matter within the scope of the agency or employment, made during the existence of the relationship " Significantly, courts consider "emails sent by defendant's corporate officers or employees [to be] admissible under

4298291v3 -6the hearsay exception for admissions by a party's agent." Metro-Goldwyn-Mayer Studios, Inc. v. Grokster, Ltd., 454 F.Supp.2d 966, (C.D.Cal. 2006)³; see also Sea-Land Service, Inc. v. Lozen Intern., LLC, 285 F.3d 808 (9th Cir. 2002); Forest Laboratories, Inc. v. Ivax Pharmaceuticals, Inc., 237 F.R.D. 106 (D. Del. 2006); Nobody in Particular Presents, Inc. v. Clear Channel Communications, Inc., 331 F.Supp.2d 1048, 1098 (D. Colo. 2004).

Abbott nonetheless has objected to various e-mails and other documents authored by Abbott own employees or agents as inadmissible hearsay. See Tab C. However, Abbott's own statements -- such as the instruction of the head of Abbott's ABT-518 Development Team to "stop [] all developmental activities immediately" for that compound just prior to the execution of the Research Funding Agreement (see id. at ABBT 507866) -- clearly are admissible under Fed. R. Evid. 801(d)(2). Once again, Abbott's identification of many of these objected-to statements on its own proposed list of exhibits for trial (see id. at 52 Exhibits Shadowed In Grey Font) reinforces this conclusion.

For these reasons, all of Abbott's hearsay objections to the documents listed on Tab C should be overruled.

4298291v3 -7-

³ The court in Metro-Goldwyn incorrectly characterizes "admissions by a party's agent" as an "exception" to the hearsay rule when they are, in fact, non-hearsay statements. See Fed. R. Evid. 801(d)(2)(D).

Conclusion

For the foregoing reasons, John Hancock respectfully requests that this Court overrule the following objections by Abbott to Hancock's proposed trial exhibits: (a) Abbott's objections to the authenticity of its *own* documents, as set forth in Tab A; (b) Abbott's objections to the admission of its own business records, as set forth in Tab B, on hearsay grounds; and (c) Abbott's objections to the admission of various e-mails and other party admissions authored by Abbott personnel, as set forth in Tab C, on hearsay grounds.

Respectfully submitted,

JOHN HANCOCK LIFE INSURANCE COMPANY, JOHN HANCOCK VARIABLE LIFE INSURANCE COMPANY and MANULIFE INSURANCE COMPANY

Page 8 of 9

By their attorneys,

/s/ Brian A. Davis

Brian A. Davis (BBO No. 546462)
Joseph H. Zwicker (BBO No. 560219)
Richard C. Abati (BBO No. (BBO No. 651037)
CHOATE, HALL & STEWART LLP
Two International Place

Boston, MA 02110 Tele: 617-248-5000

Fax: 617-248-4000

Date: February 8, 2008

4298291.3

4298291v3 -8-

LOCAL RULE 7.1 CERTIFICATION

I, Richard C. Abati, hereby certify that attorneys for John Hancock have conferred with opposing counsel before filing this Motion in an effort to resolve or narrow the issues presented.

/s/Richard C. Abati Richard C. Abati

CERTIFICATE OF SERVICE

I hereby certify that a copy of the foregoing document was served by electronic and overnight mail upon Peter E. Gelhaar, Esq., Donnelly, Conroy & Gelhaar, LLP, One Beacon Street, 33rd Floor, Boston, MA 02108, and Gregory D. Phillips, Munger, Tolles & Olson LLP, 355 South Grand Avenue, Los Angeles, CA 90071, on February 8, 2008.

/s/ Richard C. Abati Richard C. Abati

4298291v3 -9-

TAB A

TAB A
Abbott Documents that Abbott Objects to on Authenticity Grounds

Trial Exhibit	Date	Description	Bates Nos.
Α	12/9/1999	MMPI Working Group Meeting Minutes	ABBT0053710-11
В	3/9/2000	Matrix Metalloproteinase Inhibitors Project - Discovery Development Candidate Meeting	ABBT0141929-83
С	7/14/2000	2001 Plan Assumption Memo	ABBT0037399-463
D	Aug-00	July 2000 Top Issues	ABBT0017616-19
F	11/00/2000	Information for Clinical Investigators, ABT-518	ABBT0055691-772
G	11/8/2000	Oncology Portfolio Analysis Inputs - Project List, Product Profiles and Probabilities of Technical Success - Draft for Team Review, November 8,	ABBT292350, ABBT292365, ABBT302701,
H	1/11/2001	2000 MMPI Working Group Meeting, Meeting Objective: ABT-518 Program Update	ABBT302721 ABBT0045274-276
j	2/1/2001	ABT-518 Monthly Report, February 2001	ABBT0000343-48
J	2/1/2001	ABT-518 Descriptive Memorandum, February 2001	ABBT0004032-39
K	2/4/2001	Oncology Status Report	ABBT0045333-35
E	3/1/2001	ABT-518 Monthly Report, March 2001	ABBT0000349-53
N	3/8/2001	MMPI Monthly Meeting Agenda	ABBT0045253
0	3/8/2001	MMPI Working Group Meeting Minutes	ABBT300143-44
Р	3/9/2001	Oncology Status Report	ABBT0045324-326
Q	3/9/2001	Letter from Tom Capetan to Dr. Nisen re: Report on ABT 518-Evaluation in Ocular Anglogenic Models	ABBT0049922-26
R	3/12/2001	Email from Philip M. Deemer to sblewitt@jhancock.com@internet re MMPI Program Update	ABBT0004031-39
S	3/12/2001	Email from Diane L. D'Amico to jhm@nki.nl re M00- 235 Update	ABBT0033104
T	3/12/2001	Email from Diane L. D'Amico to jhm@nki.nl re M00- 235 Update	ABBT0055172-73
U	3/13/2001	Email from Jim Looman to Azmi A. Nabulsi et al. re NKI Study	ABBT0033093
W	3/14/2001	Email from Diane L. D'Amico to I.v.beerepoot@azu.nl re M00-235: Validated PD Methods	ABBT0046350
Y	3/16/2001	Email from Philip M. Deemer to Joyce L. Devault re For Overhead	ABBT0004507-09
Z	3/19/2001	Email from Jim Looman to Diane L. D'Amico re M00-235 Update	ABBT0055205-06
AA	3/19/2001	Email from Diane L. D'Amico to Willy Jansen et al. re M00-235 Update	ABBT0033096-97
AB	3/20/2001	E-mail from Deemer to Nisen	ABBT245847
AC	3/21/2001	Email from Jim Looman to Diane L. D'Amico re Restart 518 Study	ABBT0508262
AD	3/21/2001	Email from Perry D. Nisen to Philip M. Deemer re Hancock and Alcon	ABBT246501
AE	3/22/2001	Email from Paige Gjelsten to MMPI Team re MMPI Working Group Meeting Minutes: 3/8/01	ABBT300130-32, ABBT300142-44
AF	3/22/2001	Email from Philip M. Deemer to Perry D. Nisen re Hancock and Alcon	AL000403
AG	4/16/2001	E-mail from Perry D. Nisen to Azmi A. Nabulsi re:	ABBT0063627-28

Trial Exhibit	Date	Description	Bates Nos.
		DMC Project Review Meetings	
АН	5/1/2001	Monthly Highlights - Key Project Progress	ABBT0000361-65
Al	5/1/2001	ABT-518 Monthly Report, May 2001	ABBT143915.UR-20
AJ	5/2/2001	Email from Tamara L. Garavalia to Michaela L. James et al. re: ABT518	ABBT0055426
AK	5/11/2001	Oncology Status Report	ABBT0045302-304
AN	5/22/2001	Email from Perry D. Nisen to John M. Leonard re: ABT-518	ABBT0064226
AO	5/25/2001	Email from Diane L. D'Amico to Diane C. Bronson et al. re: ABT-518 Tox	ABBT0059368
AP	5/25/2001	Email from Diane L. D'Amico to Lise I. Loberg re: ABT-518 Tox	ABBT0061200
AQ	5/28/2001	Email from Diane C. Bronson to Diane L. D'Amico re: ABT-518 Tox	ABBT0057052
AR	5/28/2001	Email from Diane C. Bronson to Lise I. Loberg re: ABT-518 Tox	ABBT0155970
AS	5/29/2001	Email from Lise I. Loberg to William M. Bracken et al. re: resume ABT-518 activities: FALSE ALARM!	ABBT0157559
AT	6/4/2001	Oncology Status Report	ABBT0045296-97
AU	6/4/2001	Email from 8776893456@skytel.com to Diane L. D'Amico re: MMPI	ABBT0057906
AV	6/4/2001	Email from Thomas J. Lyons to Kenneth D. Stiles re: MMPI-Phase I Study Options	ABBT334695-97
AW	6/6/2001	Email from Lise I. Loberg to William M. Bracken et al. re: ABT-518 update	ABBT0157798-99
AX	6/7/2001	MMPI Working Group Meeting Minutes	ABBT0026340-42
AY	6/7/2001	MMPI Monthly Meeting Agenda	ABBT0045226-27
AZ	6/7/2001	MMPI Working Group Meeting Minutes	ABBT0057877-878
BA	6/14/2001	Email from Diane C. Bronson to Paige Gjelsten re: MMPI Meeting Minutes from 6/7/01	ABBT0033472-74
BB	6/21/2001	M00-235 Teleconference: Schellens Notification of Study Termination	ABBT0033089-98, 101, 104-108, 110, 113-114, 117-119
BC	7/30/2001	Email from Philip Deemer to Dan Norbeck re MMPI	ABBT245647
BG	5/17/2002	Clinical Study Report R&D/02/118 - A Phase I Ecalating Multiple Dose Study Of Matrix Metalloproteinase Inhibited (ABT-518) In Patients With Advanced Career; ABT-518/ Protocol Moo-235	ABBT0033583-658
вн	9/15/2005	Email from Jane A. Hoff-Smith to Suzanne Lebold et al. regarding Update on ABT-518	ABBT372504
BJ	00/00/00	Proposed Program Rationalization	ABBT0018775
BK	00/00/00	Letter from Azmi to Jim re project review with upper management on Wednesday	ABBT0507866
BN	3/9/2000	MMPI A-291518 Discovery Development Candidate Approval Slide	ABBT0141509
BP	3/9/2000	Email from Aldona T. Matalonis to hg@clinphone.com@internet re Suspend Work on Abbott M99-115 IVR Project	ABBT0150827
BQ	12/1/1998	A-173259.47: A Novel Potent, Non-Opioid Analgesic	ABBT0023920-81
BR	1/15/1999	Memo to Leonard re Meeting Minutes for Analgesia Venture Portfolio Review	ABBT0005027-37

Trial Exhibit	Date	Description	Bates Nos.
BS	2/24/1999	Email from Kacos to Boyd re Analgesia Portfolio Review, with slides	ABBT0114450-519
ВТ	3/12/1999	Letter from McCarthy to Meyer enclosing documents for ABT-594 European Advisory Meeting	ABBT0024357-69
BU	4/1/1999	ABT-259 Transition Strategy dated April 1999	ABBT0020594-611
BV	6/1/1999	ABT-594 Development Plan dated June, 1999	ABBT0018986-0019095
ВХ	11/17/1999	Email from Aldona T Matalonis to Catherine K Kacos re 3 page summary sheet for ALZA	ABBT0105015-19
BY	12/21/1999	Email from James W Thomas to Fred W. Siebert et al.re.114 Sample Size	ABBT0051889
BZ	1/24/2000	Email from Christopher J Silber to Grace C Dunn et al. re Analgesia Venture Monthly Highlights	ABBT0159624
CA	1/31/2000	Abbott/NeuroSearch, Joint Research Council, January 31 - February 1, 2000	ABBT0022519-69
СВ	3/1/2000	March 2000, ABT-594 Project Status Report	ABBT0004401-09
CC	4/1/2000	ABT-594 Descriptive Memorandum	ABBT0107546-551
CD	5/31/2000	Email from Marilyn J Collicott attaching site breakdown/enrollment for M99-114	ABBT0033462-67
CE	6/1/2000	June 2000, ABT-594 Project Status Report	ABBT0004422
CF	6/9/2000	Email from Marilyn Collicott to Bruce McCarthy re Updates fro M99-114 Phase IIb Meeting	ABBT0166642-43
СН	7/6/2000	Email from Tamara L Garavalia to Aldona T Matalonis et al. re M99-114 300 mcg dose group	ABBT0161395
CI	7/7/2000	Email from Steve Blewitt to Steve Cohen re Questions	ABBT0004016
CK	7/25/2000	Email from Michael Biarnesen to Aldona Matalonis re RQA Auditor Assignment for Analgesia Venture	ABBT0161644-45
CL	8/1/2000	August 2000, ABT-594 Project Status Report	ABBT0004436
СМ	8/31/2000	ABT-594 Product Development Team Meeting, Minutes	ABBT0042271-75
CN	8/1/2000	ABT-594 Product Development Team Meeting, Minutes	ABBT0162183-86
CO	8/21/2000	Email from Laura Robinson to Andrea Landsberg re RE: ABT-594 Commercial Section w/Laura Robinson Input	ABBT0161930-69
СР	8/22/2000	Email from James W Thomas to Bruce McCarthy re 114 fax ae numbers	ABBT0502613
CQ	8/29/2000	Email from James Thomas to Catherine Kacos re M99-114 graph data	ABBT0080232-33
CR	8/31/2000	Email from Marilyn J Collicott to Christopher J Silber re M99-114 Extension letter	ABBT0113703-04
CS	8/31/2000	Letter from Marilyn Collicott re Protocol M99-114: A Randomized, Double-Blind, Placebo-Controlled Comparison of the Safety and Efficacy of ABT-594 to Placebo in Subjects with Painful Diabetic Neuropathy	ABBT241302
CT	9/1/2000	September 2000, ABT-594 Project Status Report	ABBT0004443-47
CU	Sep-00	September Strategy Update	ABBT0577811-34
CV	9/11/2000	Email from Christopher J Silber to Catherine K Kacos re Trip Report: Visit to Gibson, Biton, Kipnes, Hewitt	ABBT0109317-22
CW	9/26/2000	Randomized, Double-Blind, Placebo Controlled	ABBT240985-241001

Trial Exhibit	Date	Description	Bates Nos.
		Evaluation of the Safety and Efficacy of ABT-594 in Subjects with Painful Diabetic Polyneuropthy; The 594/M99-114 Study, Centralized Patient Recruitment Program	
CX	9/27/2000	Email from Andrea Landsberg to Christopher J Silber re Purdue CDA	ABBT0105034
CY	9/28/2000	Email from James W Thomas to Rebecca L Brown re ABT-594 M99-114 Slides for David with attached notes	ABBT0051892-904
DB	10/1/2000	October 2000, ABT-594 Project Status Report	ABBT0004448-54
DC	10/3/2000	Email from Andrea Landsberg to Robert J Weiland re ABT 594/963 Purdue meeting	ABBT0117782
DD	10/9/2000	Email from Marilyn J Collicott to Susan E Nunn et al. re M99-114	ABBT237155-59
DE	10/12/2000	Email from Mike Williams to Jennifer Smoter re Re: NNR documents	ABBT0118072
DF	10/24/2000	Email from Christopher J Silber to Nancy M Palbicke re Attached question list	ABBT0114445-47
DG	10/27/2000	Email from Andrea Landsberg to Christopher J Silber et al. re 594 Leiden presentation	ABBT0116819-36
DH	11/1/2000	November 2000 ABT-594 Project Status Report	ABBT0004455-59
DI	11/1/2000	Email from Robert J Weiland to Christopher J Silber re Re: Pharmacia meeting	ABBT0107163
DJ	Nov-00	November 2000 ABT-594 Status Report	ABBT0108785-790
DK	11/1/2000	Email from Bruce McCarthy to Christopher J Silber re Re: Pharmacia meeting	ABBT101893-94
DL	11/1/2000	ABT-594 Descriptive Memorandum dated November 2000	ABBT144600.UR=09
DM	11/2/2000	Email from James Sullivan to Robert J. Weiland re Re: Pharmacia meeting	ABBT0120836-37
DN	11/9/2000	Email from Bruce McCarthy to Robert J Weiland et al. re ABT-594 Partnership Strategy Meeting	ABBT0102187-88
DP	11/17/2000	PowerPoint ABT-594 Project Review	ABBT0019102-37
DQ	11/17/2000	Draft Project Review: ABT 594 Agenda	ABBT0125290-91
DR	11/22/2000	Email from Bruce McCarthy to David D Morris et al. re ABT-594 M99-114 Study Size Discussion	ABBT0109399-400
DS	11/29/2000	Email from Michael K Biarnesen to Andrea Landsberg re Re: ABT 594 forecast scenarios for BD partnering	ABBT0119091-96
DT	11/30/2000	Email from Elizabeth Kowaluk to Bryan F Cox re Re: 12/6 meeting	ABBT326427
DU	12/1/2000	December 2000 ABT-594 Project Status Report	ABBT0004660-64
DV	12/6/2000	Email from Marilyn J Collicott to Michael K Biarnesen re Re: November Monthly Project Status Report, ABT-594	ABBT242373 ABBT242394
DX	12/14/2000	Email from Marilyn J Collicott to Marian L Borgstrom et al. re Study M99-114	ABBT236951-52
DY	12/21/2000	Email from James W Thomas to Bruce McCarthy re Re: n/v rate	ABBT0079831-34
DZ	12/21/2000	Email from James W Thomas to Bruce McCarthy re Re: n/v rate	ABBT0080180-84
EA	12/21/2000	Email from Bruce McCarthy to Christopher J Silber re landsberg email	ABBT0106516

Trial Exhibit	Date	Description	Bates Nos.
EB	12/21/2000	Email from Jennifer Dart to Christopher J Silber et al. re Analgesia Internal Review Notes	ABBT0108041
EC	12/21/2000	Email from Bruce McCarthy to Christopher J Silber re Purdue presentation	ABBT0118174-203
EE	1/15/2001	Email from Bruce McCarthy to Christopher J Silber et al. re AEs for preterms - blinded look	ABBT0108884-85
EF	1/23/2001	ABT-594 Titration Optimization Initial Brainstorm Discussion, Agenda, January 23, 2001	ABBT0504097
EĞ	1/25/2001	Email from Jennifer Dart to Prioritiziation Meeting Attendees re APU Priorization Meeting	ABBT0012433 ABBT0012454
EH	1/25/2001	Email from Christopher J Silber to James Sullivan re ABT-594	ABBT0102282=344
El	2/1/2001	ABT-594 Monthly Report, February 2001	ABBT0000412-417
EJ	2/1/2001	Email from Michael K Biarnesen to Christopher J Silber et al. re Re: financial slides for Leiden meeting 2/2	ABBT0122953-59
EK	2/1/2001	ABT-594 Descriptive Memorandum, February 2001	ABBT246793-801
EL	2/2/2001	Project Review ABT-089 and ABT-594	ABBT0002314-469
EM	2/2/2001	Draft Project Review: ABT 594, Agenda	ABBT0125335-37
EN	2/2/2001	Email from Bruce McCarthy to Elizabeth Kowaluk re DSG	ABBT0163875-76
EO	2/14/2001	Email from Bruce McCarthy to Michael K Biarnesen et al. re Re: Consideration of IV work with ABT-594	ABBT0123130
EP	2/19/2001	Email from Bruce McCarthy to Chris Silber et al. re Scientific Strategy for ABT-594/NNR Tolerability	ABBT0115991-93
EQ	2/26/2001	Email from Bruce McCarthy to Marleen Verlinden re ABT-594 Guest Speaker and Discussion	ABBT0163931
ER	2/27/2001	Email from Marleen H Verlinden to Christopher J Silber re Re: ABT-594 partnering	ABBT01114639
ES	2/27/2001	Email from Marilyn J Collicott to stherriault@rsi- nc.com enclosing M99-114 Investigation List and Early Terminations	ABBT238329-33
ET	2/28/2001	Email from Bruce McCarthy to pandrews@sghms.ac.uk re Re: abbott visit	ABBT0163996-97
EU	2/28/2001	E-mail from Marleen Verlinden re: Dr. Andrews	ABBT0556315
EV	3/1/2001	Global Pharmaceutical Discovery, Internal Review, March 2001, Book #27, Michael Meyer, D47-W, AP9A-3	ABBT0024132-53
EW	3/5/2001	ABT-594 / Pain Strategy Decision Analysis, Core Team Meeting - Minutes, 3/5/01	ABBT298380-85
EX	3/6/2001	Pain Therapeutic Area Strategy/ABT-594 Decision Analysis, Decision Frame	ABBT0115871-76
FA	3/7/2001	E-mail from Bruce McCarthy re: Dr. Andrews meeting	ABBT0164139-40
FB	3/7/2001	Email from Bruce McCarthy to Elizabeth Kowaluk re Re: Draft Decision Frame for ABT-594/Pain Strategy DSG	ABBT297525-55
FD	3/8/2001	Email from Elizabeth Kowaluk to Marleen H Verlinden et al. re ABT-594/Pain Strategy DSG - 3/5 Meeting Minutes	ABBT298379-85
FE	3/9/2001	Email from Paul Andrews to Bruce McCarthy re answers	ABBT0164141-201

Trial Exhibit	Date	Description	Bates Nos.
FF	3/12/2001	Calendar Entry - Paul Andrews, PhD: ABT-594 Guest Speaker and Discussion	ABBT0022006-08
FG	3/12/2001	Paul Andrews, PhD, Meeting Agenda	ABBT0556316
FJ	3/28/2001	Email from Susan E Nunn to Judith S Brownell re update regarding M99-114	ABBT0081607
FK	4/1/2001	ABT-594 Monthly Report for April, 2001	ABBT0000491-96
FM	4/10/2001	Email from Elizabeth Kowaluk to Keith F Hendricks et al. re Pharma Strategy Retreat on May 2-4	ABBT323300-05
FO	5/4/2001	E-mail from Jeff Drajesk with GPRD attachment	ABBT0114968-72
FQ	5/4/2001	Email from Michael D Meyer to James Sullivan re ABT-594 Memo	ABBT335154
FT	5/10/2001	Email from James W Thomas to Yiming Zhang re 594	ABBT0080471-72
FU	5/23/2001	Email from Thomas E Woidat to Micahel K Biarnesen re Re: ABT-594 2001 Transition Budget; ABT-594 Transition Proposal	ABBT364494-496 ABBT0548527-34
FV	6/18/2001	Email from Judith S Brownell to Marilyn J Collicott et al. re RELEASE OF DATABASE, M99-114 (MC114A), ABT-594	ABBT239029
FX	7/1/2001	ABT-594 Monthly Report for July, 2001	ABBT0000612-18
FY	7/30/2001	Email from Elizabeth Kowaluk to Steve C Kuemmerle re ABT-594 DSG analysis - preview meetings	ABBT317214
GA	7/31/2001	Clinical Study Report No. R&D/01/171, A Randomized, Double-Blind, Placebo-Controller, Comparison of the Safety and Efficiency of ABT-594 to Placebo in subjects with Painful Diabetic Polyneuropathy	ABBT241331-560
GB	8/6/2001	Email from Elizabeth Kowaluk to Bruce McCarthy	ABBT326352
GC	8/21/2001	ABT-594 Pharma Executive Management Committee Review	ABBT0001974-2029
GD	8/21/2001	PEC ABT-594 Decision Analysis	ABBT0165081-96
GE	9/13/2001	Probability Assessment Worksheet: 9/13/01	ABBT127868.UR
GF	9/27/2001	ABT-594 Proposal for additional Phase Ilb study	ABBT0048402-33
GG	10/1/2001	ABT-594 Monthly Report for October, 2001	ABBT0000758-63
GH	10/5/2001	Email from Marilyn J Collicott to JanLips710@aol.com re Re: (no subject)	ABBT241303
Gl	10/9/2001	Email from Tamara L Garavalia to Linda M Fisher re ABT-594 Not Funded	ABBT0148334
GJ	10/23/2001	DSG Highlights: October 2001	ABBT0515808-9
GK	10/24/2001	Email from Philip M Deemer to Ake L Johansson re Update	ABBT246338-44
<u>er</u>	11/16/2001	Letter from Daphne Pals to Mr. Steve Blewitt re Research Funding Agreement dated as of March 13, 2001 Termination of ABT-594	ABBT0033833
GM	6/7/2002	Email from Michael D Meyer to Christopher J Silber re DDC slides	ABBT0108742-79
GN	6/13/2002	DDC: A-429202 Neuronal Nicotinic Receptor (NNR) Agonist, Discovery Development Candidate	ABBT0023982-24053
GO	6/27/2002	Email from Bruce McCarthy to Marleen H Verlinden re Questions re goals	ABBT0546449-50
GP	12/10/2002	GPRD PowerPoint Presentation	ABBT0105563-86
GR	00/00/00	Probability Assessment Worksheet	ABBT0047907-08

Trial Exhibit	Date	Description	Bates Nos.
GS	00/00/00	Letter to M99-114 study cites	ABBT0082749
GT	00/00/00	ABT-594 PowerPoint Slides (Development Plan)	ABBT0102966-68
GY	00/00/2001	2001 Plan Key Statistics Pass II	ABBT0037544
GZ	00/00/2001	2001 APU Development Cost Summary	ABBT366059
HA	11/8-9/2000	E-mail string from Bruce McCarthy	ABBT0110505-6
НВ	11/30/2000	Email from Michael Biarnesen to Christopher Silber re 594 sales/cost estimate slide	ABBT0122385-86
HC	1/23/2001	Project Status from Jim Tyree's Expanded Staff Meeting	ABBT0128117-18
HD	2/13/2001	Email from Marilyn Collicott to stherriault@rsi- nc.com	ABBT242681-86
HE	10/28/2000	Investigational New Drug (IND) Annual Report (Reporting Period October 29, 1999 - October 28, 2000)	ABBT236676-729
HE	2/6/2001	Summary of Success Probabilities by Project and Franchise Portfolio Analysis (January 2001)	ABBT0012431-32
HG	8/21/2001	ABT-594 Decision Analysis - Pharmaceutical Executive Management Committee Review	ABBT0022081-92
HH	10/10/2001	Email from Bruce McCarthy to Michael Biarnesen re ABT-594 Update	ABBT245657
Н	3/5/2001	ABT-594 Decision Analysis - Core Team Meeting	ABBT329247-251
HJ	5/25/2000	Letter from Marilyn Collicott to Michael Hoffstetter	ABBT242154
HK	9/3/1999	Email from Christopher to Rosemarie Waleska re Advice	ABBT0159274
HL	3/00/01	ABT-594 Monthly Report	ABBT0000451-56
НМ	10/19/2001	Email from Philip M. Deemer to Bruce McCarthy re: ABT-594 Call	ABBT245857
HN	5/00/00	Cholinergic Channel Modulation	ABBT0021817-860
НО	10/10/2001	Email from Bruce McCarthy to Michael Biarnesen	ABBT245657-660
ĦŖ	9/27/2001	ABT-594 - PEC Review Book: Proposal for additional study and background (nonstandard format)	ABBT113285.UR- 315.UR
HQ	7/6/2000	ABT-594 2001 Update, Clinical Studies	ABBT144619.UR-20.UR
HR	Apr-99	ABT-773 Project Status Report	ABBT005056-63
HS	5/1/1999	ABT-773 Project Status Report for May 1999	ABBT004844-50
HT	Jun-99	Top 10 Issues	ABBT0017678-79
HU	8/1/1999	ABT-773 Project Status Report dated August 1999	ABBT0004627-36
HV	3/16/2000	Email from Tim Vanbiesen to Elizabeth Kowaluk re ABT-773 Dosing Strategy Kick-off Meeting	ABBT305783-84
HW	6/1/2000	ABT-773 Ketolide Antibiotic 2000 Strategic Marketing Plan dated June 2000	ABBT0570747-70
HX	6/5/2000	ABT-773 Descriptive Memorandum dated May 2000	ABBT246466-71
HZ	9/13/2000	Email from Gregor Bosco to Carol S. Meyer re ABT-773 Dev. Plan	ABBT0557552-57
IB	11/1/2000	November 2000 - "Top" Issues	ABBT0017833
IC	11/20/2000	Email from Belinda Hightower to Phyllis Kincaid re Clinical Hold	ABBT0556812
IF	11/28/2000	Email from Jeanne M. Fox to Lawrence E. Roebel et al. re Executive Summary of ABT-773 End-of-Phase 2 Mtg w/FDA	ABBT0558150
IG	11/29/2000	Email from Jeanne M. Fox to Rod M. Mittag et al. re Slides for 12/5 Meeting	ABBT0556816-22

Trial Exhibit	Date	Description	Bates Nos.
IH	Dec-00	December 2000 Top Issues	ABBT0017554-55
11	12/5/2000	ABT-773 Portfolio Review	ABBT0577000-168
IJ	1/1/2001	ABT-773 Monthly Report	ABBT214449
ΙK	1/1/2001	January 2001 ABT-773 Project Status Report	ABBT222821-27
1L	2/1/2001	ABT-773 Monthly Report	ABBT0000387-99
ĺЙ	2/12/2001	ABT-773 Update, [Monthly Report for [February 12, 2001]	ABBT0576828-71
10	2/12/2001	ABT-773 Update February 12, 2001	ABBT205042-46
ΙP	2/12/2001	ABT-773 Update February 12, 2001	ABBT205047-87
IQ	2/14/2001	Email from Jeanne M. Fox to James Steck re Studies to Meet Pediatric Rule Requirements	ABBT0568172
IR	2/22/2001	Email from Eugene X. Sun to Stan Bukofzer re 7/73 Material	ABBT204959-5046
IS	3/1/2001	ABT-773 Monthly Report for March 2001	ABBT0000428-38
IT	3/7/2001	Abbott Portfolio Review - March 7-9, 2001 re ABT-773	ABBT0013203-14
IU	3/19/2001	ABT-773 Update March 19, 2001	ABBT228099-137
IV	3/27/2001	Email from Thomas E. Woidat to William A. Brown re 773 Presentation	ABBT363844
IW	3/31/2001	Email from Marleen H. Verlinden to Eugene X. Sun re ABT-773	ABBT0571202-03
IX	Apr-01	ABT-773 April Update	ABBT0000468-78
ΙΥ	4/12/2001	ABT-773 Ph III Decision Project	ABBT116508ur-17ur
ΙZ	4/12/2001	Email from Thomas E. Woidat to Jennifer Dart re: Portfolio Analysis - Update with APU budgets	ABBT357615-20
JA	5/2/2001	Memo from Jeff Leiden to Stan Bukofzer, John Leonard and Eugene Sun re: First Call Report	ABBT0573479-83
JВ	6/17/2001	Email to Hendricks, et al. re: Final copy of 7.73 decision analysis planned presentation	ABBT224941-82
JC	6/20/2001	Email from Carol S. Meyer to Ake L. Johansson, et al., re: ABT 773 Taisho/Abbott Meeting - June 26th	ABBT229367-9448
JE	7/1/2001	ABT-773 Menthly Report	ABBT0000589-98
JG	9/27/2001	Email from Carol S. Meyer to Stan Bukofzer re: ABT 773 2002 Plan Powerpoint slides	ABBT229605-09
JH	Oct-01	ABT-773 Monthly Report	ABBT0000726-35
JI	10/8/2001	Abbott Portfolio Review 2002 Plan	ABBT228798-837
JJ	12/14/2001	Email from John M. Leonard to Stan Bukotzer re: December 12 PEMC Meeting Minutes	ABBT209485-86
JK	12/17/2001	Email from Thomas J. Lyons to Stan Bukotzer re: JH Annual Progress Report & Y/E LBE	ABBT0009384-88
JL	1/3/2002	Email from Stan Bukofzer to John M. Leonard, Eugene Sun re: 773 presentation	ABBT220928-53
JM	1/3/2002	Email from Eugene X. Sun to John M. Leonard, et al., re: 773 memo to Miles	ABBT231340-42
JN	1/4/2002	Email from Stan Bukofzer to Jeff M. Leiden, et al., re: ABT 773 Memo	ABBT220660-72
JQ	2/1/2002	ABT-773 Monthly Report	ABBT0000918-927
JR	2/2/2002	E-mail from Tina Ventura re: 773 communications strategy	ABBT229753-70
JS	2/4/2002	Email from Jeff M. Leiden to Thomas J. Lyons re: 2002 773 LBE	ABBT224544-51
JT	2/9/2002	Email from Stan Bukofzer to Jeff M. Leiden re: ABT	ABBT225309-23

Trial Exhibit	Date	Description	Bates Nos.
		773 documents requested	
שנ	7/11/2002	Email from Stan Bukofzer re ABT-773 Communication	ABBT203446-48
JV	9/10/2002	ABT-773 Lessons Learned Overview	ABBT222829-42
JW	Jul-04	June Highlights Memo (global outlicensing)	ABBT248011-12
JX	00/00/00	Abbott Compound Development Summaries	ABBT0094631-61
JY	3/8/2000	ABT-773 Clinical Developmnet Optimization: Analhsis of a 150mg Dose for Bronchisits and a 5- day Course of Therapy for CAP	ABBT11376.UR-427.UR
JZ	7/9/2001	Email from Steve Kuemmerle to Stan Bukofzer re ABT-773 Analysis	ABBT210063-98
KA	6/11/2003	Pain Therapeutics Program Overviews (PEC Meeting)	ABBT0102860-71, 916-19
KB	7/29/2003	Kowaluk e-mail with attached pain portfolio profile re: ABT-894	ABBT323817-30
KC	5/1/2005	ABT-894 Scientific Advistory Counsel Doc	ABBT0080815-34
KD	11/12/2006	Suzanne Lebold e-mail string re: recommend no ABT-594 outlic due to 894	ABBT371710-15
KE	1/12/2006	Email from Kevin Constable to Suzanne Lebold	ABBT279668-73
KJ		Email from Lise Loberg to William Bracken re ABT-894 IND	ABBT0155807
KK	12/14/1998	Email from Bruce McCarthy to David Ross et al re Letter to the FDA	ABBT0116076-77
KL	6/23/1999	Alternative Funding Initiatives	AL000120-131
KM	1/12/2000	Email from Thomas Freyman to Philip Deemer	ABBT246802
КО	2/7/2000	Email from Philip Deemer to Erik Zimmer et al re Hancock	ABBT245855
KR	4/5/2000	Email from Robert Welland to Rosemarie Waleska et al re Hancock R&D Funding	ABBT246414-15
KT	6/7/2000	Email from Philip Deemer to Steve Cohen re John Hancock/Abbott Funding Collaboration	AL000198-99
KW	7/24/2000	Email from Frank Loughery to Philip Deemer et al re Hancock Deal	AL002064
KZ	8/4/2000	Email from Philip Deemer to Barbara Powell re John Hancock Slide describing John Hancock company	AL000099-102
LA	8/14/2000	Email from Steve Cohen to Julia Bouffard et al re John Hancock/Miles meeting	AL000137
LD	8/25/2000	Email from Philip Deemer to John Leonard re Hancock	AL000983
LH	10/16/2000	PPD Plan Review	ABBT0155579-80
LI	10/17/2000	Email from Daphne Pals to Brewster Lee et al re Research Funding Agreement	JH004385-461
LN	11/30/2000	MMPI Working Group Meeting Minutes	ABBT0045277-78
LO	12/1/2000	Fax from Philip Deemer to Arthur Higgins re Hancock	AL001946
LP	12/5/2000	Minutes from the D46R Senior Staff Meeting	ABBT0140316
LQ	12/15/2000	Memorandum from Steve Cohen to Dr. Jeffrey Leiden et al re 2001 Plan	ABBT0007157-74
LR	12/21/2000	2001 Plan Assumption Memo - Pass III	ABBT112985.UR- 3029.UR
LS	1/11/2001	MMPI Working Group Meeting Minutes - Objective:	ABBT0045264-69

Trial Exhibit	Date	Description	Bates Nos.
		Overall Project Update	
LT	1/22/2001	Forecast Methodology and Assumptions Early Oncology Pipeline Portfolio Analysis January 2001	ABBT0012938-69
ĽΨ	1/25/2001	Email from Elizabeth Koweluk to Steve Kuemmerie et al re Summary of Success Probabilities	ABBT301935-41
LW	1/26/2001	Analgesia Venture 2001 Plan - Revised 1/26/01 to John Leonard et al	ABBT0503356-62
ĽΧ	1/26/2001	Analgesia Venture 2001 Plan - Revised 1/26/01 to John Leonard et al	ABBT144630.UR-46
LY	1/30/2001	John Hancock Life Insurance Company Research Funding Agreement - Prepared from Draft of 1/23/01	ABBT0158779-92
MA	3/1/2001	Memorandum from Xavier Frapaise to John Arnott et al re Development Portfolio Review Meeting - March 7-9	ABBT0164029-31
MB	3/2/2001	Memorandum from Matt Russell to Bob Funck et al re 2001 Plan Final Reference Package	ABBT0037509-608
MD	3/12/2001	Email from William Adams to Brewster Lee final clean and redlined versions of the Research Funding Agreement.	UH010033-142
ME	3/13/2001	J. Hancock Research Funding Agreement for Abbott: Executive Summary of March 13, 2001 Agreement	AL002066-69
MF	4/1/2001	Summary of R&D Projects - 2001 April Udpate	ABBT140276-77.UR
MG	4/1/2001	Email from Elizabeth Kowaluk to Steve Kuemmerle et al re Success Probabilities	ABBT317221-39
MH	4/12/2001	MMPI Working Group Meeting Minutes	ABBT0026337-38
MI	4/12/2001	MMPI Monthly Meeting Agenda Objectives: To Review MMPI Project Status	ABBT0045243-45
MJ	4/20/2001	Portfolio Analysis of 2001 Abbott Global Pharmaceutical Development Assets	ABBT127558.UR-652.UR
MK	4/26/2001	Email from Philip M. Deemer to Ron Gerlach re John Hancock Royalty Scenario	ABBT245877-79
ML	4/27/2001	Portfolio Analysis of 2001 Abbott Global Pharmaceutical Development Assets, Addendum: Use of Productivity Index in Portfolio Selection	ABBT326405-10
MN	5/20/2001	Global Pharmaceutical Research & Development, 2001 April Update, Dr. Jeff Leiden Follow-Up Package	ABBT0037615-16
МО	5/12/2001	Email from Perry D. Nisen to Azmi A. Nabulsi re MMPI	ABBT0063636
MP	5/31/2001	Email from Diane L. D'Amico to Lise I. Loberg re MMPI Activities	ABBT0059672
MQ	6/18/2001	Email from Thomas Woidat to Kenneth Stiles re Terminated Development Projects (Draft)	ABBT352510-15
MR	6/27/2001	Email from John Leonard to Vaseern Iftekhar et al re Terminated Development Projects	ABBT334140-45
MS	7/29/2001	Email from Robert Funck to Thomas Lyons et al re Hancock - 2002	ABBT0008946-48
МТ	8/22/2001	Email from Philip M. Deemer to Ake L. Johansson re Executive Briefing, Global Licensing and Business Development	ABBT246374-409
MU	8/27/2001	Email from Philip M. Deemer to Ake L. Johansson re Update of Priorities	ABBT246324-28

Trial Exhibit	Date	Description	Bates Nos.
MV	9/28/2001	Email from Denise L. Carlson to Fusako H. Bowering re Template for Outlicensing Update	ABBT245788-805
MY	12/6/2001	Memo from John M. Leonard to Jeff Leiden re Monthly Highlights - November 2001	ABBT0003473-77
NA	12/20/2001	Handwritten Note with various attachments	ABBT0007038-54
NB	12/31/2001	Memo from Philip M. Deemer to Pamela Demain re Licensing Opportunities	ABBT246490-92
NC	12/13/2002	Memo from James L. Tyree to Jeff Leiden re January 2002 Highlights	ABBT247161-63
NE	4/15/2002	Email from John M. Leonard to Thomas J. Lyons et al. re Hancock Response	ABBT225709-10
NG	5/30/2002	2002 Update, Global Pharmaceutical Research & Development	ABBT0011680-27
ΝJ	11/7/2002	Memo from James L. Tyree to Jeff Leiden re October 2002 Highlights, Tyree memo dated 4/7/03 re March 2003 highlights; Leonard memo dated 2/13/04 re January 2004 highlights; Tyree memo dated 6/16/04 re May 2004 highlights; Poulos memo dated 8/15/05 re July 2005 highlights; Poulos memo dated 9/12/05 re August 2005 highlights	ABBT0518029-34, ABBT336134-35, ABBT103633 UR, ABBT103643 UR, ABBT104009 UR-10 UR, ABBT336155, ABBT336157, ABBT352502-04
NK	12/20/2002	Letter from Tom Lyons to Steve Blewitt re Research Funding Agreement dated as of March 13, 2001, (a) 2002 Program Status Report and Related Cost Summary, (b) 2003 Preliminary Annual Research Plan	AL001469-79
NL	1/30/2003	Email from Thomas J. Lyons to Jeff M. Leiden re John Hancock Update	ABBT0007586-89
NX	9/28/2004	Email from Michelle L. Campbell to Chris Martinez re Status of Documents Available for Review re John Hancock Audit	ABBT0000255-56, ABBT0126645-47
NY	10/6/2004	Email from Chris Martinez to Michelle Campbell re Status of documents available for review	ABBT0126645-47
ОВ	12/8/2004	Email from Karen Collari Troake to Stephen D'Amore	ABBT0000151-54
OD	1/4/2005	Email from Stephen D'Amore to Michelle Campbell re John Hancock/Abbott	ABBT0126684-87
OF	1/10/2005	Global Pharmaceutical Research & Development, Hancock Collaboration, Spending by Program Chart	ABBT148376.UR, ABBT148382.UR, ABBT148379.UR, ABBT148381.UR, ABBT0306.UR [?], ABBT0008528-30, ABBT348223, ABBT0004602
OG	1/20/2005	Email from Chris Martinez to Michelle L. Campbell re Copies of Documents	ABBT0126734
ОН	1/26/2005	Email from Michelle L. Campbell to Mark Hair re Copies of Documents Flagged Today	ABBT0126490-92
OI	1/26/2005	Email from Michelle L. Campbell to Kenneth A. Wittenberg re Copies of Documents Flagged Today - Privileged and Confidential	ABBT0126767-71
ОМ	2/23/2005	Email from Stephen D'Amore to Michelle Campbell	ABBT0126964-65

Trial Exhibit	Date	Description	Bates Nos.
00	3/14/2005	Medical Products Group Portfolio Management Process	ABBT269161-210
OP	3/15/2005	Email from Michelle Campbell to Mark Hair	ABBT0000280-84
OT	3/25/2005	Email from Michelle L. Campbell to Mark Hair re John Hancock Audit	ABBT0000270-71
OX	11/7/2005	Abbott Pharmaceutical R&D Metrics Analysis	ABBT248441-547
OY	1/1/2006	2006 Portfolio Sales Data	ABBT248659-929
ΟZ	1/20/2006	Letter from Suzanne A: Lebold to Stephen J. Blewitt re Research Funding Agreement Between Abbott Laboratories and John Hancock dated March 13, 2001	ABBT0026105-16
PA	3/23/2006	PPG R&D Review	ABBT0047220-88
PE	00/00/00	Abbott Laboratories PPD R&D Alternative Financing Analysis John Hancock Funding Scenarios	ABBT0006861-64
PF	00/00/00	80% Power Curve for Varying Effect Size for Neuropathic Pain Based on M98-833 and Gabapentin Results	ABBT0051885-88
PG	00/00/00	Internal memorandum from Steve Cohen to Jeff and Arthur attaching Hancock package with three additional schedules	ABBT006748-68
PH	00/00/00	Initial Portfolio Prioritization	ABBT0155581-87
PI	00/00/00	Growing and Enhancing World-Class Global Research and Development at Abbott, New Organizational Plan Roll-Out PowerPoint Presentation	ABBT0162922-46
PJ	00/00/00	Memo from Azmi to Jim re Project Review	ABBT0507866
PK	00/00/00	2001 APU GPRD, Hancock Deal	ABBT148440.UR ABBT148555.UR ABBT148543.UR
PL	00/00/00	2006 LRP Forecast Submission Workbook	ABBT299286-97
PO	00/00/00	Nominal and Expected Sales Forecast	JH002314-17
PT	00/00/00	Initial Portfolio Prioritization	ABBT0155602-08
PU	00/00/2001	Division Incentive Plan Goals - 2001 DIP	ABBT354864-65
QS	00/00/00	GPRD APU - J. Leiden Questions	ABBT037609-14
RP	4/14/2004	CMR International Success Rates	ABBT308584-645
RS	11/21/2002	ABT-510 Monthly Report, Post Oct 19	ABBT0007270-72
RX	3/21/2001	Email from Thomas Woldat to Mike Higgins re Proposed APU Target Adjustments	ABBT364018-20
RY	00/00/00	Cholinergic Channel Modulator (ABT-594) 2000 AGU Development cost Summary	ABBT338037 ABBT0116305, ABBT366059
RZ	00/00/00	Abbott-John Hancock Funding Collaboration	AL000059-76
SA	10/8/2001	ABT-594 Decision Analysis, Update: ABT-594 Intermediate Dose (75-125 mcg) Ph. Ilb Study	ABBT0165097-104
SD	4/20/2005	Email from Kenneth Wittenberg to Amy Potthoff, et al re Meeting re Hancock audit	ABBT0036399 ABBT0008949
SE	1/21/2005	Email from Stephen D'Amore to Michelle Campbell re Documents request in July 2004 and Re-Requested on December 17, 2004	ABBT0126735-36
SK	1/16/2001	Email from Marilyn Collicott to <u>Jschanzenback@rsinc.com@internet</u> re Meeting Today	ABBT242693-99
SL	8/7/2000	Email from Andrea Landsberg to Bruce McCarthy re 594 Development Plan	ABBT0109806-39

Trial Exhibit	Date	Description	Bates Nos.
SM	10/3/2000	Email from Bruce McCarthy to Andrea Landsberg re ABT-594/963 Purdue Meeting	ABBT0107081
SN	00/00/00	Portfolio Review Meeting, March 7-9, 2001	ABBT0092919-21
SO	9/00/2000	Pharmaceuticals Strategy Update	ABBT0155493-512
SP	9/00/2000	Pharmaceuticals Strategy Update	ABBT0577835-54

TAB B

$\frac{\text{TAB B}}{\text{Abbott Business Records Objected-To by Abbott on Hearsay Grounds}}$

Line	PLs' Trial Ex.	Description	Abbott's Grounds for Objection
1	A	MMPI Working Group Meeting Minutes	HEAR
_			AUTH
			IRREL
2	В	Matrix Metalloproteinase inhibitors Project - Discovery	HEAR
		Development Candidate Meeting	AUTH
			OPIN
3	C	2001 Plan Assumption Memo	HEAR
		2001 Little Looding to 11 11 11 11 11	AUTH
4	D	July 2000 Top Issues	HEAR
'	,	544, 2000 Top 188488	AUTH
75 ·	E	ABT-518 Transition Strategy (MMPI); August 2000.	HEAR
6	F	Information for Clinical Investigators, ABT-518	AUTH
ľ	1	information for Chinear investigators, AD1 510	HEAR
7	i I	ABT-518 Monthly Report, February 2001	AUTH
	1	ABIT-118 World Weport Teoruary 2001	HEAR
8	K	Oncology Status Report	AUTH
l °	I.	Officology Status Report	HEAR
9		ABT-518 Monthly Report, March 2001	AUTH
7	$\mathbb{L}_{\mathbb{R}^{n}}$	ABI-518 WORTH REPORT MARCH 2001	
10		All 4 D 45 E D 4 All 7 0 2001	HEAR
10	M	Abbott Portfolio Review, March 7-9, 2001	HEAR
			INC
11	О	MMPI Working Group Meeting Minutes	AUTH
	o microria amanda de la constanta de la consta		HEAR
12	P	Oncology Status Report	AUTH
and the state of the state of			HEAR
13	Q	Letter from Tom Capetan to Dr. Nisen re: Report on ABT	AUTH
SESSECULIA A		518-Evaluation in Ocular Anglogenic Models	HEAR
14	$AE_{i_{2}}$	Email from Paige Gjelsten to MMPI Team re MMPI Working Group Meeting Minutes: 3/8/01	AUTH HEAR
1.5	AH	Monthly Highlights - Key Project Progress	AUTH
15	An	lytonumy riighinghes - Key Project Progress	HEAR
1.0	AT	ABT-518 Monthly Report, May 2001	AUTH
16	AI	AB1-318 Monthly Report, May 2001	HEAR
,_	A YZ	O1 Clathar Barant	HEAR
17	AK	Oncology Status Report	AUTH
	4 3 4	P '16 N' 4 I 14 ACCO 111	
18	AM	E-mail from Nisen to Leonard with ASCO slides	HEAR
19	AT	Oncology Status Report	AUTH
524			HEAR
20	ΑX	MMPI Working Group Meeting Minutes	AUTH
			HEAR
21	ΑY	MMPI Monthly Meeting Agenda	AUTH
			HEAR
22	AZ	MMPI Working Group Meeting Minutes	AUTH
			HEAR

 $\frac{\text{TAB B}}{\text{Abbott Business Records Objected-To by Abbott on Hearsay Grounds}}$

Line	PLs' Trial Ex.	Description	Abbott's Grounds for Objection
23	BB	M00-235 Teleconference: Schellens Notification of Study Termination	Contains More than One Document AUTH HEAR
24	BF	Email from Phillip M. Deemer to Bruceb@amgen.com@internet re: Licensing Opportunities	HEAR
25	BG	Clinical Study Report R&D/02/118 - A Phase I Ecalating Multiple Dose Study Of Matrix Metalloproteinase Inhibited (ABT-518) In Patients With Advanced Career; ABT-518/Protocol Moo-235	AUTH HEAR
26	BI	ABT-518/Total Base	HEAR
27	BL	Timeline of events occurring with Study M00-235 in the Netherlands	HEAR
28	BM	Abbott Laboratories Project Overview - ABT 518-3 CLOSED	HEAR
29	BN	MMPI A-291518 Discovery Development Candidate Approval Slide	INC AUTH HEAR
30	BQ	A-173259.47: A Novel Potent, Non-Opioid Analgesic	HEAR AUTH
31	BS	Email from Kacos to Boyd re Analgesia Portfolio Review, with slides	HEAR AUTH
32	BU	ABT-259 Transition Strategy dated April 1999	AUTH HEAR INC
33	BV F	ABT-594 Development Plan dated June, 1999) AUTH :
34	BX	Email from Aldona T Matalonis to Catherine K Kacos re 3 page summary sheet for ALZA	HEAR AUTH
35	CA	Abbott/NeuroSearch, Joint Research Council, January 31 - February 1, 2000	HEAR AUTH IRREL
36	СВ	March 2000, ABT-594 Project Status Report	HEAR AUTH
37	CD	Email from Marilyn J Collicott attaching site breakdown/enrollment for M99-114	HEAR AUTH
38	CE	June 2000, ABT-594 Project Status Report	AUTH HEAR
39	CL	August 2000, ABT-594 Project Status Report	HEAR AUTH INC
40	CM	ABT-594 Product Development Team Meeting, Minutes	HEAR AUTH
41	CN	ABT-594 Product Development Team Meeting, Minutes	HEAR AUTH

 $\frac{\text{TAB B}}{\text{Abbott Business Records Objected-To by Abbott on Hearsay Grounds}}$

Line	PLs' Trial Ex.	Description	Abbott's Grounds for Objection
42	CT	September 2000, ABT-594 Project Status Report	HEAR
		·	AUTH
43	CU	September Strategy Update	HEAR
	Deed and description of the section		AUTH
44	CW	Randomized, Double-Blind, Placebo Controlled Evaluation	HEAR
		of the Safety and Efficacy of ABT-594 in Subjects with	AUTH
		Painful Diabetic Polyneuropthy; The 594/M99-114 Study,	
575/201	ČΥ	Centralized Patient Recruitment Program Emailsfrom James Walhomas to Rebeccal Brown re ABT-	AUTH
45	L. C.	594 M99-114 Slides for David with attached notes	FIEAR
46	.↓CZ	Clinical Trial Recruitment and Centralized Screening	S HEAR
πy		Program For Painful Diabetic Neuropathy	
47	DB	October 2000, ABT-594 Project Status Report	AUTH
		. Na	HEAR
48	DD	Email from Marilyn J Collicott to Susan E Nunn et al. re	HEAR
		M99-114	AUTH
49	DG	Email from Andrea Landsberg to Christopher J Silber et al.	AUTH
		re 594 Leiden presentation	HEAR
50	DH	November 2000 ABT-594 Project Status Report	AUTH
		2000 ADT 504 G P	HEAR
51	DJ	November 2000 ABT-594 Status Report	AUTH HEAR
52	DP	PowerPoint ABT-594 Project Review	AUTH
32	Di	Tower one AD1-354 Project Review	HEAR
53	DS	Email from Michael K Biarnesen to Andrea Landsberg re	AUTH
"		Re: ABT 594 forecast scenarios for BD partnering	HEAR
54	DU	December 2000 ABT-594 Project Status Report	INC
			HEAR
24-2-2-1-1-1-1			AUTH
55	DW	Chart and Notes re Abbott M99-114	AUTH
			HEAR
			IRREL
		D. D. C. T. W.T. A. D. M. C. alexandra December 1	OPIN
56	DY	Email from James W Thomas to Bruce McCarthy re Re: n/v	AUTH HEAR
		rate	IRREL
57	DZ	Email from James W Thomas to Bruce McCarthy re Re: n/v	
"	102	rate	HEAR
58	EC	Email from Bruce McCarthy to Christopher J Silber te	AUTH
		Purdue presentation	HEAR
-59	ED :	January 2001 ABT-594 Project Status Report	HEAR
60	EG.	Email from Jennifer Dart to Prioritiziation Meeting	AUTH
		-Attendees re APU Priorization Meeting	HEAR STATES
-61	EH	Email from Christopher J Silber to James Sullivan re ABT:	AUTH
		594	HEAR

TAB B Abbott Business Records Objected-To by Abbott on Hearsay Grounds

Line	PLs' Trial	Description	Abbott's Grounds for
0.000	Ex.		Objection
62	ΕJ	ABT-594 Monthly Report, February 2001	AUTH.
63	EJ	Email from Michael K Biarnesen to Christopher J Silber et	AUTH
05	الانا	al. re Re: financial slides for Leiden meeting 2/2	HEAR
64	EL	Project Review ABT-089 and ABT-594	HEAR
			AUTH
65	ES	Email from Marilyn J Collicott to stherriault@rsi-nc.com	HEAR
		enclosing M99-114 Investigation List and Early	AUTH
		Terminations	
66	EV	Global Pharmaceutical Discovery, Internal Review, March	AUTH
-	·	2001, Book #27, Michael Meyer, D47-W, AP9A-3	HEAR
67	EW	ABT-594 / Pain Strategy Decision Analysis, Core Team	AUTH
androvier developeda archi	Androne to the foregoing the state of the st	Meeting - Minutes, 3/5/01	HEAR
68	EX	Pain Therapeutic Area Strategy/ABT-594 Decision	AUTH
DC-ECTSM		Analysis, Decision Frame	HEAR
69	EΥ	Abbott Portfolio Review: March 7-9, 2001	n in HEAR
			INC BAD COPY
70	EZ	Portfolio Review Meeting, March 7-9, 2001	INC
70	EZ	Portiono Review Meeting, March 7-9, 2001	HEAR
71	FB	Email from Bruce McCarthy to Elizabeth Kowaluk re Re:	AUTH
/ 1	1,0	Draft Decision Frame for ABT-594/Pain Strategy DSG	HEAR
72	FC	Building a World of Opportunities Together - Development	AUTH
'-		portfolio review kick-off	HEAR
73	FD	Email from Elizabeth Kowaluk to Marleen H Verlinden et	AUTH
		al, re ABT-594/Pain Strategy DSG - 3/5 Meeting Minutes	HEAR
74	FE	Email from Paul Andrews to Bruce McCarthy re answers	HEAR
and a mile with each 1 controller			AUTH
75	FF	Calendar Entry - Paul Andrews, PhD: ABT-594 Guest	AUTH
		Speaker and Discussion	HEAR
76	FG	Paul Andrews, PhD, Meeting Agenda	AUTH
	TYZ	ADE COMMITTEE	HEAR
77	FK	ABT-594 Monthly-Report for April (2001) 18 34	AUTH
70	FN	PowerPoint M99-1114 Study Review	HEAR
78 79	FP	M99-114 Study Review	HEAR
80	FU	Email from Thomas E Woidat to Micahel K Biarnesen re	AUTH
	10	Re: ABT-594 2001 Transition Budget; ABT-594 Transition	HEAR
		Proposal	
81	FX	ABT-594 Monthly/Report for July, 2001	AUTH
			HEAR [
82	FZ	Clinical Study Report No. R&D/01/171, A Randomized,	The second secon
		Double-Blind, Placebo-Controller, Comparison of the	HEAR
		Safety and Efficiency of ABT-594 to Placebo in subjects	
		with Painful Diabetic Polyneuropathy (signed version)	

TAB B Abbott Business Records Objected-To by Abbott on Hearsay Grounds

Line	PLs' Trial Ex.	Description	Abbott's Grounds for Objection
83	GA	Clinical Study Report No. R&D/01/171, A Randomized,	AUTH
		Double-Blind, Placebo-Controller, Comparison of the	HEAR
		Safety and Efficiency of ABT-594 to Placebo in subjects	INC
		with Painful Diabetic Polyneuropathy	edere de tra mil en engelstation state que transcriptor plumb de la contratación (de signa) de la contratación
84	GC:	ABT-594 Pharma Executive Management Committee	LAUTH
	144	Review	HEAR
			in the facility of the second
			Contains More Than One
			Document 2011
85	GD	PEC ABT-594 Decision Analysis	AUTH
TOTALESTATE			HEAR
86	GE	Probability Assessment Worksheet: 9/13/01	AUTH
			HEAR
87	GF	ABT-594 Proposal for additional Phase IIb study	AUTH
			HEAR
88	GG	ABT-594 Monthly Report for October, 2001	AUTH
ļ	and the second s		HEAR
89	GN	DDC: A-429202 Neuronal Nicotinic Receptor (NNR)	AUTH
	www.ideconductions.com/accideirecons.com/	Agonist, Discovery Development Candidate	HEAR
90	GO	Email from Bruce McCarthy to Marleen H Verlinden re	AUTH
		Questions re goals	HEAR
91	GP	GPRD PowerPoint Presentation	HEAR
10000			AUTH
92	GR.	Probability Assessment Worksheet	AUTH HEAR!
93	GT	ABT-594 PowerPoint Slides (Development Plan)	HEAR
			AUTH
94	GY	2001 Plan Key Statistics Pass II	INC
			HEAR
			AUTH
95	GZ	2001 APU Development Cost Summary	AUTH
			HEAR
96	HC	Project Status from Jim Tyree's Expanded Staff Meeting	AUTH
L	and a distribution of the contract of the cont		HEAR
97	HD	Email from Marilyn Collicott to stherriault@rsi-nc.com	AUTH
			HEAR
98	HE	Investigational New Drug (IND) Annual Report (Reporting	AUTH
STATE STATE		Period October 29, 1999 - October 28, 2000)	HEAR
99	HF.	Summary of Success Probabilities by Project and Franchise	AUTH
		Portfolio Analysis (January 2001)	HEAR
100	HG	ABT-594 Decision Analysis - Pharmaceutical Executive	AUTH
		Management Committee Review	HEAR
101	HI	ABT-594 Decision Analysis - Core Team Meeting	AUTH
	<u> </u>		HEAR

<u>TAB B</u> Abbott Business Records Objected-To by Abbott on Hearsay Grounds

Line	PLs' Trial Ex.	Description	Abbott's Grounds for Objection
102		ABT-594 Monthly Report	AUTH
102	HL	AB1-394 Montiny Report	HEAR
103	HN	Cholinergic Channel Modulation	AUTH
103	IIIN	Chornergic Channel Modulation	HEAR
104	HP	 -ABT-594 - PEC Review Book: Proposal for additional	AUTH
104	1015	study and background (nonstandard format)	HEAR
		Study and background (nonstandard formar)	Contains more than one
			document
105	HQ	ABT-594 2001 Update, Clinical Studies	AUTH
103	пQ	ABT-394 2001 Opuate, Chinical Studies	HEAR
106	HR	ABT-773 Project Status Report	HEAR
100	пк	ABI-//3 Floject Status Report	AUTH
107	HS	ABT-773 Project Status Report for May 1999	AUTH
107	110	ABI-773 Hoject Status Report for May 1999	HEAR
108	НТ	Top 10 Issues	INC
100	111	Top to issues	AUTH
			HEAR
109	HU	ABT-773 Project Status Report dated August 1999	HEAR
109	110	ABI-773 Project Status Report dated August 1999	AUTH
ījo	HW	 ABT-7/73 Ketolide Antibiotic 2000 Strategic Marketing	AUTH
LILU	3.57	Plan dated June 2000	HEAR
		r landaleu Julie 2000	INC
111	HZ	Email from Gregor Bosco to Carol S. Meyer re ABT-773	HEAR
1111	FIZ	Dev. Plan	AUTH
112	IΒ	November 2000 - "Top" Issues	AUTH
112	מו	Trovenibel 2000 - Top issues	HEAR
113	Œ	 FDA Contact Report = ABT-773	HEAR
114	IH -	December 2000 Top Issues to the state of the	INC **
117			AUTH
			SHEAR
115	11	ABT-773 Portfolio Review	HEAR
			AUTH
116	IJ	ABT-773 Monthly Report	AUTH
1	13	Tibi 775 Monany Report	HEAR
117	IK	January 2001 ABT-773 Project Status Report	AUTH
* * ′	***	and the state of t	HEAR
118	IL	ABT-773 Monthly Report	Commence AUTH
		(A) (B) (B) (B) (B) (B) (B) (B) (B) (B) (B	HEAR
119	M	ABT-773 Descriptive Memorandum dated February 2001	HEAR
120	IN.	ABT-773 Update: [Monthly Report for February 12, 2001]	AUTH
			HEAR
121	IO	ABT-773 Update February 12, 2001	AUTH
			HEAR
122	IP	ABT-773 Update February 12, 2001	AUTH
		,,	HEAR
L	L		

$\underline{\text{TAB B}}$ Abbott Business Records Objected-To by Abbott on Hearsay Grounds

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
123	\mathbb{R}^{n}	Email from Eugene X. Sun to Stan Bukofzer re 773 Material	# HEAR AUTH
124	IS	ABT-773 Monthly Report for March 2001	IRR
""	10	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	HEAR
			AUTH
125	Π	Abbott Portfolio Review - March 7-9; 2001 re ABT-773	HEAR
			AUTH
			BAD COPY
126	IU	ABT-773 Update March 19, 2001	AUTH
		·	HEAR
127	ΙΧ	ABT-773 April Update	HEAR
			AUTH
128	ΙΥ	ABT-773 Ph III Decision Project	HEAR
		•	AUTH
129	JB.	Email to Hendricks, et al. re Einal copy of 773 decision	AUTH
		analysis planned presentation	HEAR AND
			INC
130	JC	Email from Carol S. Meyer to Ake E. Johansson, et al., re:	AUTH
		ABT 773 Taisho/Abbott Meeting - June 26th	HEAR
131	Ъ	Email from Stan Bukofzer to Jeanne M. Fox re: Final copy	HEAR
		of 773 decision analysis planned presentation	
132	ψ. JE	ABT-773 Monthly Report	TNC
			AUTH
			HEAR
133	JF:	ABT-7.73 Decision Analysis Core Team as a fine the second	BEAR - BEAR
134	JG	Email from Carol S. Meyer to Stan Bukofzer re: ABT 773	AUTH
		2002 Plan Powerpoint slides	HEAR
135	JH	ABT-773 Monthly Report	AUTH
			HEAR
136	JI	Abbott Portfolio Review 2002 Plan	AUTH
			HEAR
137	JQ	ABT-773 Monthly Report	AUTH
			HEAR
138	JR	E-mail from Tina Ventura re: 773 communications strategy	AUTH
			HEAR
139	JT	Email from Stan Bukofzer to Jeff M. Leiden re: ABT 773	AUTH
		documents requested	HEAR
140	JV	ABT-773 Lessons Learned Overview	AUTH
	777		HEAR
141	JX	Abbott Compound Development Summaries	HEAR
4 4 5	77.7	A DOT GOO CILLANDA A CONTRACTOR A TILLANDA	AUTH
142	JY	ABT-773 Clinical Development Optimization: Analysis of a	1
		150mg Dose for Bronchisits and a 5-day Course of Therapy	HEAR
L		for CAP	

$\frac{\text{TAB B}}{\text{Abbott Business Records Objected-To by Abbott on Hearsay Grounds}}$

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
143	KA	Pain Therapeutics Program Overviews (PEC Meeting)	INC
			HEAR
			AUTH
			IRREL
144	KC	ABT-894 Scientific Advistory Counsel Doc	HEAR
			AUTH
145	LN	MMPI Working Group Meeting Minutes	AUTH
			HEAR
146	LP	Minutes from the D46R Senior Staff Meeting	AUTH
			HEAR
147	LQ	Memorandum from Steve Cohen to Dr. Jeffrey Leiden et al	AUTH
		re 2001 Plan	HEAR
148	LR :	2001 Plan-Assumption Memo - Pass III	AUTH
			HEAR
149	LS	MMPI Working Group Meeting Minutes - Objective:	HEAR
		Overall Project Update	AUTH
150	LT	Forecast Methodology and Assumptions Early Oncology	HEAR
		Pipeline Portfolio Analysis January 2001	AUTH
151	LV	Email from Elizabeth Koweluk to Steve Kuemmerie et al re-	AUTH
		Summary of Success Probabilities	HEAR
152	LW	Analgesia Venture 2001 Plan - Revised 1/26/01 to John	AUTH
		Leonard et al	HEAR
			INC
153	ilx.	Analgesia Venture 2001 Plan - Revised 1/26/01 to John	AUTH
		Leonard et al	HEAR
			INC
154	MB	Memorandum from Matt Russell to Bob Funck et al te 2001	INC .
		Plan Final Reference Package	AUTH
			HEAR
155	MC	Portfolio Review Meeting - March 7-9, 2001	HEAR
156	MF	Summary of R&D Projects - 2001 April Udpate	AUTH
1			HEAR
157	MH	MMPI Working Group Meeting Minutes	AUTH
			HEAR
158	MJ	Portfolio Analysis of 2001 Abbott Global Pharmaceutical	AUTH
1		Development Assets	HEAR
159	ML	Portfolio Analysis of 2001 Abbott Global Pharmaceutical	AUTH
		Development Assets, Addendum: Use of Productivity Index	HEAR
		in Portfolio Selection	
160	MV	Email from Denise L. Carlson to Eusako H. Bowering te	AUTH
		Template for Outlicensing Update	HEAR
161	NG	2002 Update, Global Pharmaceutical Research &	AUTH
		Development	HEAR

$\frac{{\rm TAB~B}}{{\rm Abbott~Business~Records~Objected-To~by~Abbott~on~Hearsay~Grounds}}$

Line	PLs' Trial Ex.	Description	Abbott's Grounds for Objection
162	OF	Global Pharmaceutical Research & Development, Hancock Collaboration, Spending by Program Chart	HEAR AUTH
			INC Includes more than one document.
163	00	Medical Products Group Portfolio Management Process	HEAR AUTH
164	OX	Abbott Pharmaceutical R&D Metrics Analysis	IRREL HEAR AUTH
165	PA	PPG R&D Review	HEAR AUTH
166	PD	Project/Development/Timelines for ABT-518, 594, 773 and 492	HEAR INC
167	PE	Abbott Laboratories PPD R&D Alternative Financing Analysis John Hancock Funding Scenarios	HEAR AUTH
168	PF	80% Power Curve for Varying Effect Size for Neuropathic Pain Based on M98-833 and Gabapentin Results Growing and Enhancing World-Class Global Research and	AUTH HEAR
169	PI	Development at Abbott, New Organizational Plan Roll-Out PowerPoint Presentation	AUTH
170	PK	2001 APU GPRD, Hancock Deal	INC HEAR
171	PL	2006 LRP Forecast Submission Workbook	AUTH HEAR AUTH
172	PU	Division Incentive Plan Goals - 2001 DIP	HEAR AUTH IRR
173	RS	ABT-510 Monthly Report, Post Oct 19	AUTH HEAR
174	RY	Cholinergio Channel Modulator (ABT-594) 2000 AGU Development cost Summary	AUTH HEAR
175	SA	ABT-594 Decision Analysis, Update: ABT-594 Intermediate Dose (75-125 MCG) Ph. IIb Study	AUTH HEAR Contains more than one
176 177		Special Counsel Invoices to Abbott Email from Marilyn Collicott to JSCHANZENBACH@rsi-	document AUTH
178	Average himself the Flore's State of St	nc.com@internet re meeting today Portfolio Review Meeting March 7-9, 2001	HEAR
179	SO	Pharmaceuticals Strategy Update	HEAR AUTH HEAR
180	SP	Pharmaceuticals Strategy Update	AUTH HEAR

MMPI WORKING GROUP MEETING MINUTES

3/8/01

Objective: Overall Project Update

Clinical Update

Azmi Nabulsi & Diane

D'Amico

- A brief summary of the Leiden Portfolio Review held 3/7/01 3/9/01 was presented. Questions
 were raised regarding ABT-518 since several competitor MMPIs have been discontinued. The
 team responded in support of continuing the ABT-518 program. Pre-clinically our compound
 differs from the competition. In addition, the competitors may have dosed too low, may not have
 selected the proper tumor stages, and skipped Phase II development.
- The two M00-235 sites were initiated in February. Drug was shipped to both sites and the first patient is expected 3/12/01.

Toxicology Review Loberg

Lise

- An update of the two current toxicology studies was presented (see attached slides Tox 030801A.xls and Tox 030801B.doc)
- Preliminary results from the three-month oral toxicity study in rats were discussed. Changes were seen in the high dose group (300 mg/kg) including decreased body weight, decreased food intake, dehydration and alopecia. The findings will be investigated further to determine whether or not there is a CNS involvement.
- The first three-month necropsy is planned for 4/10/01.
- The in-life phase of the six-week study has been completed. The process of integrating the
 mitochondrial function results with clinical pathology and histopathology has been initiated.

PK

Tawakol El-Shourbagy

- The PK method validation process at Abbott is complete. NKI has not completed their PK
 method validation process to date. A teleconference will be scheduled within the next few days to
 determine the status of the PK method validation process at NKI.
- With the PK method validation complete, internal efforts will be directed towards finishing reanalysis of metabolites from toxicology studies conducted last fall; this work is needed for the IND.

PARD John Cannon

- An update of clinical supplies was presented (see attached slides PARD 030801.doc).
- The first 200mg capsule campaign was completed by MDS Pharma Services in Tampa FL. A
 lower than expected yield rate of 73% resulted in the production of 4,140 capsules. The low yield
 rate may be due in part to the larger than expected standard deviation variation for the empty
 capsules. PARD is looking into the exact cause(s).
- The rejected capsules and recovered bulk drug (deemed experimental) will be used for formulation development work. A rework step can be added to future runs to improve yield.

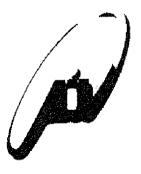


CONFIDENTIAL ABBT0060705

MMPI WORKING GROUP MEETING MINUTES

3/8/01

- The next 200mg capsule campaign is planned for June (10,000 capsules, 2 kg bulk drug). Based
 on the Phase I study in the Netherlands and the IND study design, the possibility of alternate
 capsule size (i.e., 50 or 100mg) has been discussed. PARD needs a 10-12 week lead-time if the
 capsule size changes from the originally planned 200mg.
- The six-week stability data on 25mg capsules stored in bottles at 40C/75% RH showed some
 pitting (etiology unknown). At this time, there were no concerns with capsules stored at room
 temperature.



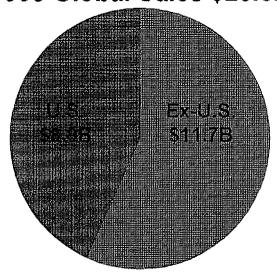
Agenda

- Introduction
- The molecule
- Phase III tablet program Issues
 - QT
 - Liver Function
 - Dosing
- IV program
- Pediatric program
- Japan program

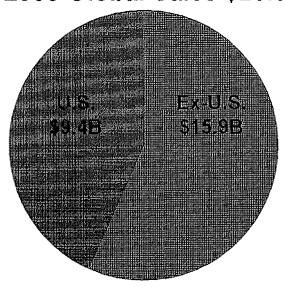


Global Antibiotic Market Sales Current vs Future Projection

1999 Global Sales \$20.6B



2005 Global Sales \$25.3B



The antibiotic market is a large market and is expected to expand on a global sales basis



Global Market Drivers Negative vs Positive Drivers

Antibiotic Resistance

Increasing sensitivity toward "appropriate use" may have negative impact on usage . Requires new agents to keep ahead of resistant pathogens; substitution of older generic agents with newer branded agents in

Patent Expirations

May increase price sensitivity and bargaining power of MCOs Use of generic agents tend to decrease over time; obsolescence/resistance may further that trend 18

Unmet Need ■

- -Overall unmet need relatively low
- -Cost, convenience, tolerability take on added importance
- -Increasing use of "implied efficacy" metrics i.e. MICs, resistance surveillance, AUC/MIC, MPC, kill kinetics

Competition 4

- -6 NDAs/approvals in last 12 months; Avelox, Tequin, Factive, Spectracef, Ketek, Zyvox
- -Continued discovery/development activity by key competitors
- -High level of promotional activity

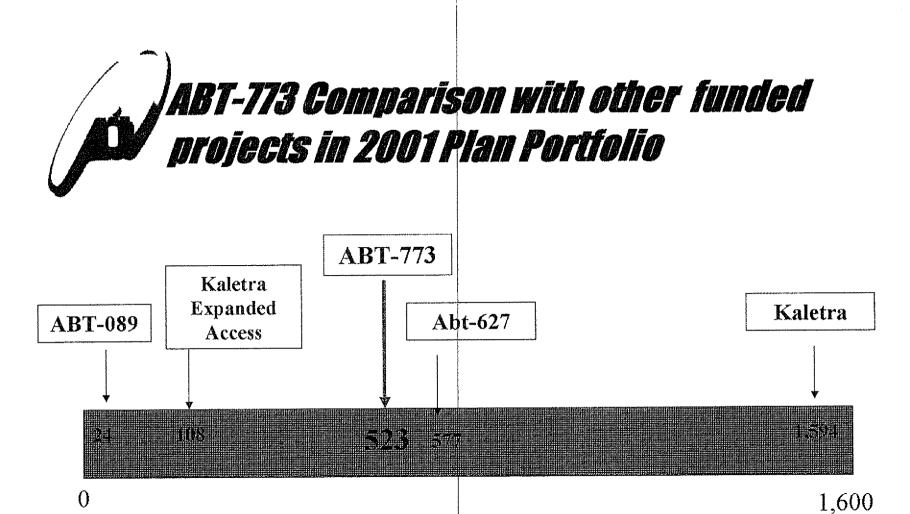
Negative driver ■ Positive driver



Key Success Factors U.S. vs ex-U.S.

			U.S. Assessment	Ex-U.S. Assessment		
and the substitute of the subs	Efficacy		Requires a certain baseline level of efficaby across all indications as a "ticket to entry", but is difficult to differentiate agents based on efficacy	4++	While also difficult to differentiate based on efficacy, efficacy takes on added importance with respect to regulatory approval, especially in CAP.	
	Tolerability		Success of Zithromax and Levaquin have redefined expectations for tolerability of new agents; agents must offer very good tolerability given numerous alternatives	++	Although important, markets are willing to bear somewhat higher incidence of adverse events, provided they are not severe (i.e. taste perversion); over time, however, AE hurdles will continue to be increased	
Profile	Convenience	+++	Zithromax and recent quinclones have moved the market toward short course therapies dosed once daily; Biaxin in 1991 represented the last major BID entrant	++	While in some cases durations are even shorter (azi 3-day AECB), market levies relatively minor penalties for BID dosing	
	Resistance Claim	++	Important to leverage the overall ketolide message, and to maximize formulary access, although availability of data may be able to accomplish same end	+++	May prove critical in the regulatory decision of approvability, as well as in setting premium pricing	
	Price	+	Able to set price in accordance with optimal price/demand relationship; only moderate price sensitivity in market, though this could increase with increased number of generic competitors over mid-term	4-4-4	Pricing figures heavily into the overall profitability of the compound and is goverened by merits of product profile relative to other agents.	
Regulatory	Approvability	+	With data showing equivalence to comparators, is not a major area of concern	+++	Will take into consideration PK profile in addition to clinical data, which could weaken argument for approval; given the pivotal nature of CAP approval to overall compound viability, regulatory risk is magnified; will require very strong clinical data if 150 mg OD is to be supported	
Profitability	cogs	+	Allows for > 90% SMM given price parity to Zithromax	++	Due to pricing constraints, COGS represents a larger issue; current estimates are 76% SMM at launch rising to 87% peak	
	Price	+	Assumes price parity to Zithromax	+++	Profile may limit optimal pricing	

- + Minor Factor
- ++ Moderate Factor
- +++ Major Factor

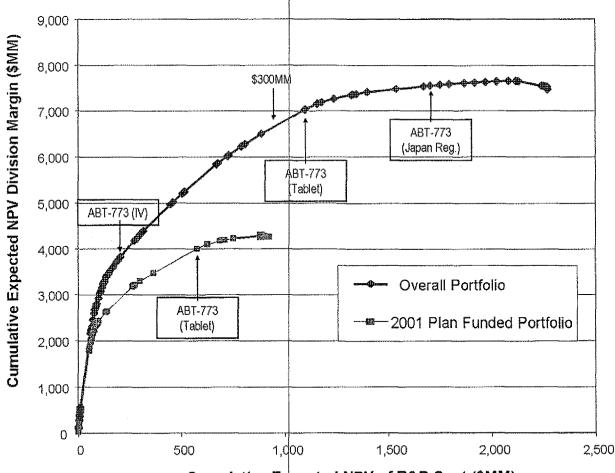


Expected Value \$ Millions



ABT-773 Comparison with other funded projects in 2001 Plan Portfolio

Portfolio Productivity Analysis





ABBT0576835 Confidential



ABT-773 Ketolide

•Quinolylallyl propenyl moiety at the 6-

0 -position

•Keto group at the 3-position

•Carbamate group at the 11, 12-position

ABT-773



ABT-773 Ketolide

Filed 02/08/2008

Ketolides are a Novel Class of Antimicrobial

- Active includes key respiratory tract infection pathogens including macrolide and penicillin resistant S. pneumoniae and S. pyogenes
- Bactericidal activity
- Prolonged post antibiotic effect
- Reduced resistance development



Microbiology

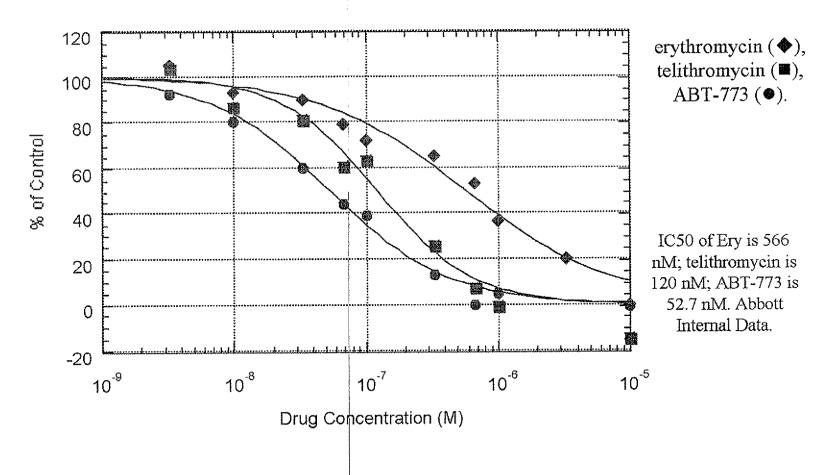
 $MIC_{90} \lambda g/ml$

Organism	ABT-773	Ketek	Clari	Azi
S. pneumoniae ery-S	0.008	0.004	0.03	0.12
S. pneumoniae mef	0.12	1.0	4.0	16.0
S. pnuemoniae erm	0.01	0.12	>32	>32
S. pyogenes ery-S	0.12	2.0	1.0	2.0
S. pyogenes ery-R	0.5	>8.0	>32	>32
M. catarrhalis	0.25	0.25	0.5	0.25
H. Influenzae	2.0	2.0	16	2.0
Legionella	2.0	2.0	0.06	1.0
M. Pneumoniae	<0.005	<0.005	0.008	<0.005
C. Pneumoniae	0.015	0.06	0.06	0.12



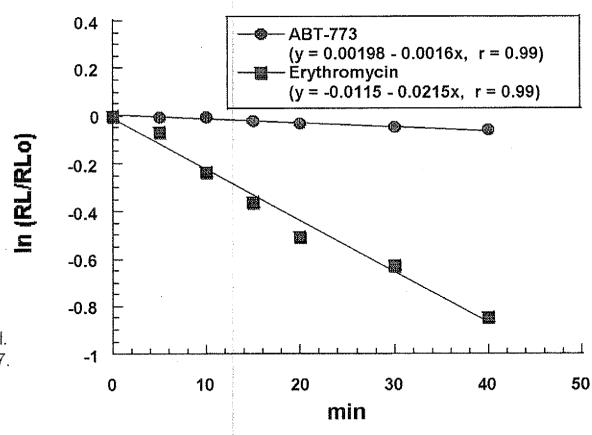


Ribosome Binding, Susceptible S. pneumoniae





ABT-773 Displacement in Susceptible *S. pneumoniae* 2486





QTc potential and Liver Toxicity Issues

QTc Prolongation Issues

- Potential for QTc Prolongation is a hot button worldwide
 - Antimicrobial agents including macrolides and quinolones are of concern to regulatory agencies
 - ICH guidelines require data from animal models and 200 patients
 - FDA is in the process of evaluating all drug class known to have a potential for prolonging QTc (erythromycin and clarithromycin)
 - FDA has question whether ketolides behave like macrolides
 - FDA requested additional dog tox work to evaluate QTc
 - Required to include ECG monitoring in pivotal Phase 3 studies
 - FDA may require a Phase I study in patients with underlying cardiac disease
 - Some antimicrobials now contain warnings for QT prolongation
 - Telithromycin (Ketek) data residing at FDA
 - Advisory Meeting rescheduled to May 2001 probably not related to QTc concerns



QT_c Prolongation Issues **ABT-773**

- Pre-clinical data positive for QTc dose response.
- A possible dose effect in Phase I at total daily dose ≥800 mg.
- No significant QT effect observed when ABT-773 was administered with the metabolic inhibitor ketoconazole.(Increased ABT-773 Cmax 5X)
- No concentration response in Phase I studies (≤300mg).
- No consistent QT effect observed at clinical doses studied in Phase IIB studies. (150 mg QD to 600 mg QD)



QT_c Prolongation Issues ABT-773 Plan

- Completed pre-clinical evaluation of ABT-773
- Completed ECG monitoring of >200 patients in Phase II and III
- Continue to monitor QTc and electrolytes in Phase III programs.
- Planning FDA requested study of QTc in patients with preexisting cardiac disease.
- IV ABT-773 Phase I study will monitor QTc carefully
- Consult with Drs. Morganroth and Moss QTc advisors.

Liver Toxicity Issues

Potential for liver toxicity is a concern for the FDA

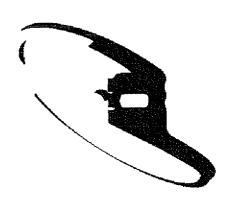
- Recent liver toxicity seen with Trovofloxacin are of concern to regulatory agencies.
- Gemifloxacin recently not approved by FDA because of liver toxicity concerns.
- FDA meeting on guides to industry on how to study liver function scheduled for February 11-12, 2001



Liver Toxicity Issues for ABT-773

- Preclinical tox showed some effect on the liver function.
- Japanese in bridging study showed increased LFTs.
- No evidence of LFT issue in Western subjects.
- No evidence of dose response.
- Repeat of Japanese bridging study in Japan showed No evidence of LFT increases in Japanese or Caucasians.
- ABT-773 plan for accessing problem
 - Continue to monitor LFT in Phase III programs.
 - Jean Fox will attend FDA meeting.

Program Phase



ABBT0576848 Confidential



Phase III Program Proposed Indications and Treatment Duration

Infection	Dosage	Duration
Pharyngitis/Tonsillitis due to:		
S. pyogenes*	150 mg QD	5 d
Acute bacterial sinusitis due to:		
H. influenzae	150 mg QD or BID	10 d
IVI. catarrhalis	150 mg QD or BID	10 d
S. pneumoniae**	150 mg QD or BID	10 d
Acute bacterial exacerbation of chronic		
bronchitis due to:		
H. influenzae	150 mg	5 d
H. parainfluenzae	150 mg	5 d
M. catarrhalis	150 mg	5 d
S. pneumoniae**	150 mg	5 d
Community-acquired		
pneumonia due to:		
C. pneumoniae	150 mg QD or BID	10 d
H. influenzae	150 mg QD or BID	10 d
L. pneumophila	150 mg QD or BID	10 d
M. pneumoniae	150 mg QD or BID	10 d
S. pneumoniae**	150 mg QD or BID	10 d

Including macrolide-resistant strains.

Including penicillin-resistant and macrolide-resistant strains.



Phase III Program Studies Started in Year 2000

Study	Indication	ABT-773 Regimen	Comparator	Number Subjects	Location
M00-223	Pharyngitis	150 mg QD 5 days	Penicillin V	185 /520	US (IND)
M00-222	Pharyngitis	150 mg QD 5 days	Penicillin V	0 /520	EU (Non-IND)
M00-216	ABECB	150 mg QD 5 days	Azithromycin	131 /600	US, Canada IND
M00-217	ABECB	150 mg QD 5 days	Levofloxacin	0 /500	EU (Non-IND)



Phase III Program Studies Started in Year 2000, Con't

Dose Finding Studies for Sinusitis/CAP:

Study	Indication	cion ABT-773 Regimen Comparator		Number Subjects	Location
M00-225	Sinusitis	150 mg QD <i>vs.</i> 150 mg BID 10 days	None	137 /500	US, EU (IND)
M00-219	CAP	150 mg QD <i>vs.</i> 150 mg BID 10 days	None	76 /500	US, Canada, EU (IND)



SDG Analysis of Ph. III CAP Development Options

CAP Development Strategy	Timeline impact	Incremental Cost	Relative Regulatory Risk	Potential for 150 mg. QD in CAP
1. 150 mg QD only Ph. III (Begin now)	8/2002	0	THE PERSON NAMED IN THE PE	Yes
2. Further Phase II 150x dose ranging, then Phase III	Significant delay %2 year)	\$5.4M	Low	Yes
3. Parallel Phase III program for 150 mg QD/150 mg BID	Significant delay: (~1.year)	\$24.3M	Low	Yes
4. 150 mg BID only Ph. III (Begin now)	8/2002	0	Mød	No.
5. 300 mg QD only Ph. III (Begin now)	8/2002	.0	Low	NO 14 set
6. Phase III open-label dose ranging	8/2002	\$7.2M	Low	Yes

Selected Strategy













Dosing Issue 150 mg BID vs 150 mg QD: Background

- Phase II data indicated 300 mg QD was not viable due to high levels of diarrhea (10-20%) and taste perversion (10-20%)
- Phase II ABECB and pharyngitis/tonsillitis data supported 150 mg QD
 - 150 mg QD currently being evaluated in ongoing phase III trials in these indications
- Dosing selection for CAP and sinusitis confounded by limited data
 - few bacterial isolates, particularly with H. flu, in sinusitis
 - no 150 mg arm in CAP trial
- To increase probability of correct dose selection in CAP/sinusitis, additional studies are ongoing to generate more data in these indications
 - 150 mg QD vs 150 mg BID CAP & sinusitis trials ongoing



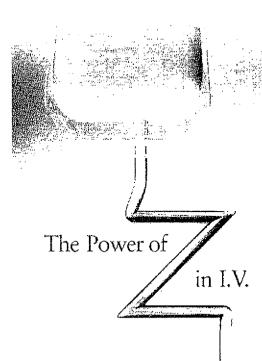
Dosing Issue

150 mg BID vs 150 mg QD: Implications of Decision

- · Regulatory and commercial environments differ dramatically between U.S. and ex-U.S.
 - For U.S., market:
 - · Absence of consistent QD dosing for all indications represents a significant commercial hurdle
 - · Approval on indication-by-indication basis
 - · Optimal strategy for U.S. may be to pursue QD dosing for CAP/sinusitis
 - For ex-U.S. market:
 - · CAP data represents the "lynchpin" for approvability of the entire molecule, hence a conservative BID approach may result in lower regulatory/commercial risk
 - Relatively minor commercial impact of BID dosing
 - · Optimal strategy for ex-U.S. may be to pursue BID dosing for CAP and perhaps sinusitis
- A decision of 150 mg QD vs 150 mg BID in CAP & sinusitis will be made based on phase III data 2Q01
 - Data may not show a clear "winner" due to relatively low power of studies; may be a difficult decision
 - Due to soft global flu season and protocol amendments, enrollment is behind plan and could impact timing of decision
- A plan to have divergent US/Ex-US clinical programs in CAP/sinusitis may be required to minimize regulatory / commercial risks
 - Cost / timeline implications

ABT-773 N Program

ABBT0576855 Confidential



*Zithronow I.Y is indicated for community-acquired pressronial due to Chicagolo plasmoolia, Metrophilas Billutrose, Legiundo paterniphilas Billutrose, Legiundo, poterniphila, Manuella, caterbalas, Microbiatros Birtustalias, Sosphylococcus aureus, or Suepacaccus pressroniar in patients who region sinital harmonous through.

who require initial intravances therapy.

In a controlled sauty of 291 Hospitalized patients, with convenient acquired presentable, 200 ting as a single clear folios by the account of the controlled patients and the convenient of the 5 days before the 500 might by the ordinaries on correlated 7 to 10 days of through) was compared with ordinaries (200 might in through was compared with ordinaries (200 might in through other characteristic to 2 to 5 days Sciences by 1000 mights) in two divided doors by the and tools to complete 7 to 10 days of through, with or without experiences.

Please see brief summary of prescribing information on last page of this advertisement.

Once-daily ${\sf Zithromax}^{\! \circ}$ (azithromycin for injection)

The only I.V. advanced-generation macrolide for community-acquired pneumonial in adult hospitalized patients

Targeted coverage of the key get togens of community-acquired pneumona

Typical	Atypical
Streptococcus pneumoniae	Legionella pneumophila
Haemophilus influenzae	Chlansydia pneumoniae
Staphylococcus aureus	Мусорівято рпентотае
Moraxelia cotarrhalis	

Proven as effective as cefuroxime ± chythromycia

Early step-down therapy to onal Zithro hax

Very well tolerated

The most common side offices associated with treatment The most common stop chocks associated with treatment adult patients who received IV/PO Zithomas in studies of common years are operations, every duplinear objects (4.3%). The most common size effects related to Winters on holidod paniel the niert or size (4.3%) and challenges of CLEA and challeng inflammation (3.1%).

Zithromax is contraindizated to patients with known hyporsons tivity to az thromycin, enythromycin, or any macrol de antieletic.



The Power of Z in I.V.

ABT-773 IV Formulation Strategic, Commercial, and Technical Value

Strategic Value

- IV represents a channel not currently served by Anti-infective Franchise
- Leverages presence of Medical Center Reps and experience with ID community

Commercial Value

- IV availability figures favorably into decisions regarding formulary access to molecule
 - potential advantage over telithromycin, which will not have an IV
 - · required to compete effectively with Zithromax, Tequin, Avelox which have IVs
- Positive impact on tablet formulation
 - estimated \$36MM incremental to peak tablet sales due to step-down therapy
 - Enhances overall "potency" image of brand

Technical Value

- Support for S. pneumoniae Resistance claim
 - FDA indicated that bacteremic patients will be important to establish body of evidence for this claim
- Provides additional information on QT effects

IV launch currently lags tablet launch by 1 year; any further delays will reduce the potential value



ABT-773 IV Program Formulation Objectives

- Reconstituted solution. Once a day dosing. Low pain on injection
- Lyophilized powder, consisting of ABT-773 and a counter ion base.
- One strength, in a flip-top vial and the ADD Vantage system at launch.
- Diluent volume 100ML, with length of infusion (30 to 60 minutes) and type of diluent (Dextrose 5% and/or normal saline) TBD based on animal pain models, clinical and stability studies.

Filed 02/08/2008



ABT-773 IV Formulation PPD/HPD Funding Status

- PPD/HPD Collaboration initiated 9/99
- PPD funded Program 01/00-08/00 (\$1.4MM)
 - Formulation development (lactate salt, lyophilized powder)
 - Animal pain models
 - Two week Tox study (monkey)
- HPD funded Program 08/00-12/00 (\$0.8MM)
 - Two week Tox study (rat)
 - Clinical supplies for Phase I
 - Stability program
- 2001 funding
 - HPD first pass funding cut for 773 IV (\$7MM)
 - Milestone funding to Phase I Go/No Go (\$1MM)
- Total program development costs 2000 2003 (\$22.5MM)



ABT-773 IV Formulation Animal Pain Study Results

- Assessed 6 prototypes (3 different counter ions at 2 pH levels) vs clarithromycin IV and azithromycin IV
- Animal pain models showed no differentiation among all three compounds
 - Results not conclusive
 - Need to evaluate in humans
- Chose ABT-773 lactate as the prototype to test in Phase I studies based on manufacturability and stability.



ABT-773 IV Planned Clinical Program

With 2001 funding decision in Feb:

· Single Dose-rising Phase I study Apr/01

Multiple Dose Phase I with selected dose June/01

File US IND Oct/01

Initiate Phase III Dec/01

2 step-down CAP studies (US/Europe)

- 2-3 days dosing

Two seasons to complete

Filing Aug/03

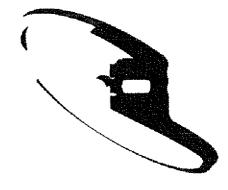


ABT 773 IV Program Summary

Comments

- Funding for '01 not available PPD/HPD
- Go/No go could be made after Phase I based on safety profile (pain,QT,GI)
- Milestone funding recommended (\$1MM)
- Assuming Go, '01 budget estimated \$7MM
- IV will help to obtain resistant S. pneumo claim
- Total Program Cost 2000-2003 (\$22.5MM)

Pediatric Program





ABT-773 Pediatric Formulation Importance to the 773 program

- Increased perception of safety
- Better pricing and acceptance in European markets
- FDA requires studies in pediatrics



ABT-773 Pediatric Program Formulation Objectives

- Develop coated particle formulae for global use
 - coated particles for Suspension 150mg/5mL & 300mg/5mL
 - coated particles as a dry syrup, sprinkle or sachet.
- **Desired Properties**
 - Once a Day Dosing
 - Acceptable 'Initial Taste'
 - Minimal 'After Taste'
 - No Unpleasant Mouth-feel
 - Acceptable Color and Flavor
 - No Refrigeration Required.



ABT 773 Pediatric Program Taste Assessment

Sensory Analysis of Uncoated Drugs Summary of Results

The three drug substances can be ranked from most to least bitter as follows:

Property Drug Substance	Concentration (ppm) Which Exhibits an Initial Bitter Intensity ≤1 (Slight)
ABT-773	0.79
Clarithromycin	4.2
Azithromycin	15

 ABT-773 is approximately five times more bitter than clarithromycin

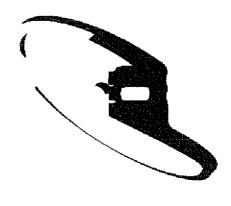


ABT 773 Pediatric Program Taste Assessment

Filed 02/08/2008

- The ABT-773 encapsulated prototype #2 may be at risk of dosing compliance problems due to flavor quality.
- Overall ABT-773 Prototype 2
 - Less bitter than Biaxin both initial and after taste
 - More bitter than Zithromax both initial and after taste
- For ABT-773 Prototype 2, the flavoring aromatics and sweetness decay quickly, exposing the bitterness which lingers throughout the aftertaste at or above the "concern" intensity level.

Labar Program



Confidential ABBT0576868



Japan Program Taisho

- Japan development is planned in coordination with Taisho and Dainabot
- Meetings are held at least 3 times a year to review developments
- Taisho funds 10.69% of global development costs and 50% of local Japan costs.
- Bridging strategy is primary plan for development in Japan



Japan Program Clinical Plan

Phase I in Japan

Start

Food Effect Study

Completed

- Single and multiple dose study

Completed

Review data (Abbott/Taisho)

April/01

- PK data Japanese vs Caucasian
- · Development program strategy
- Present Kiko data and recommend development program May/01
- Start Tissue Conc. Study

2Q/01



Japan Program Clinical Plan

- PK similar in Japanese and Caucasians (12/02 filing)
 - Recommend to Kiko same dose in Japan as in ex-Japan
 - Recommend to Kiko one comparative bridging study in CAP (Phase III) and several smaller local studies in skin infections, dentistry, otolaryngology, UTI and pan-bronchiolytis
 - Taisho agreement necessary prior to Kiko meeting
- PK different in Japanese and Caucasians (12/03 filing)
 - Phase II dose ranging study in CAP (Bridging study)
 - Phase III comparative study will be required
 - Full development time line
 - Implications on Taisho cost-sharing

CONFIDENTIAL ABBTOSTY811

9 0000°

September 2000

Pharmaceuticals Strategy update

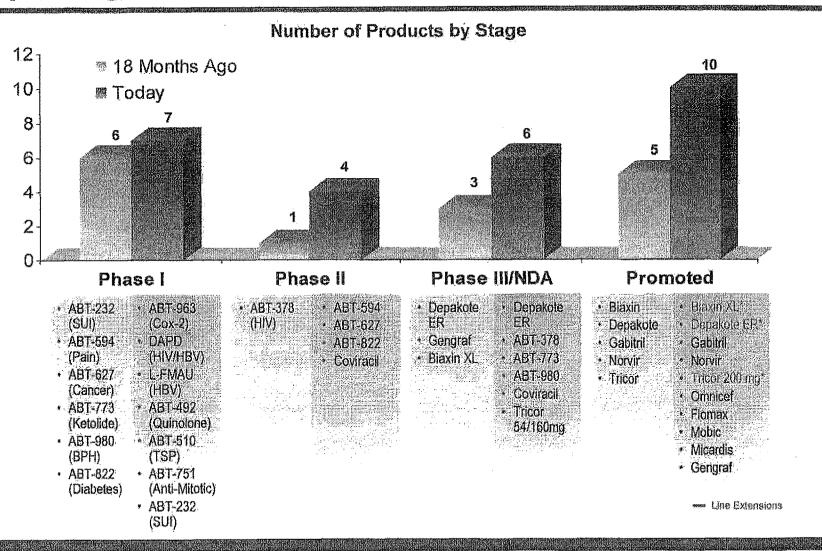
G_00000

double-digit sales growth nisteue bas eveidos of beagleeb ygeststat faioq-tuot s For the past 18 months, PPD has been implementing

- Marketing new products, to improve critical mass in R&D and or Franchises, which provide a focused platform for future Create stand-alone and P&L responsible businesses,
- products and line extensions to increase the output of internally-developed new * Re-engineer R&D operations and grow R&D dollars
- through an aggressive in-licensing program: focusing Fill the short-term sales gap by accessing new products
- on products which broaden existing Franchises
- focus on biotech and specialty manufacturers attractive acquisitions, with particular To sustain long-term growth, pursue strategically

at last year's June meeting in London This strategy was first presented to the Board

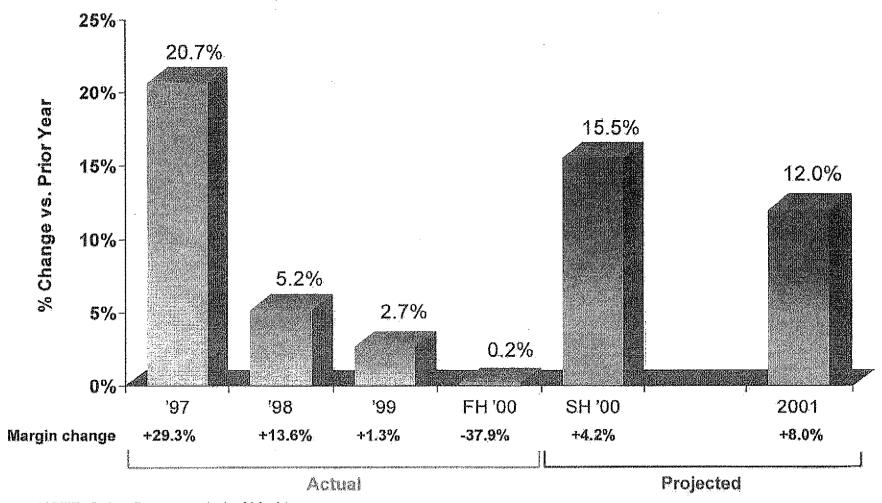
This strategy has focused on increasing the development pipeline through increased productivity programs, spending and external deals



KALETRA, Abbott's new Protease Inhibitor for the treatment of AIDS, is the most exciting of these new compounds

- The goal of the R&D team was to create a "best in class" protease inhibitor. This was achieved with superior efficacy results in place
- Development was accelerated resulting
 In 46 months from first-in-man to approval, over a year faster than the norm
- Expected approval in the U.S. in August 2000
- Peak year global sales are projected at \$600MM

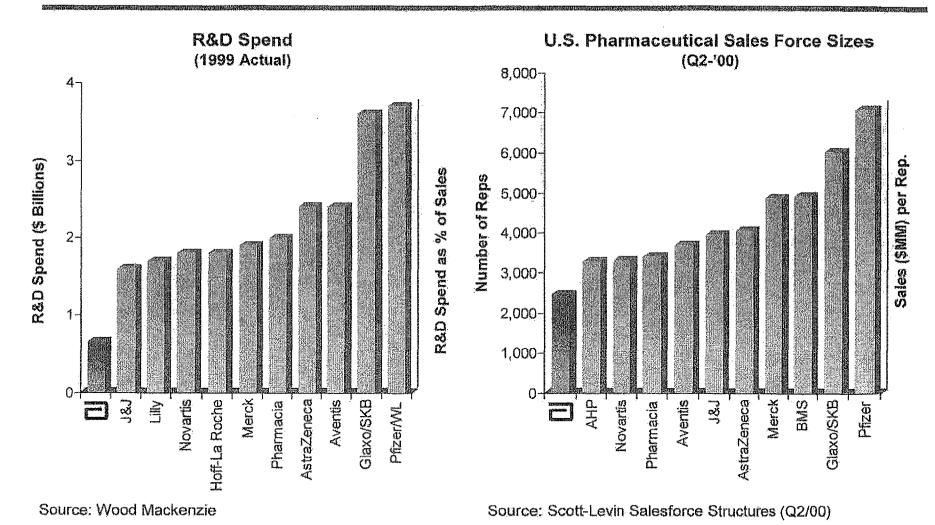
These efforts will result in double-digit sales growth over the next 18 months, but margin growth will be dampened



NOTE: Sales figures exclude Abbokinase

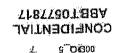


These gains were achieved even though Big Pharma continues to use R&D spend and promotional muscle as a barrier to entry. This has served to widen the gap between "big pharma" and the rest of the industry, putting further pressure on profit margins

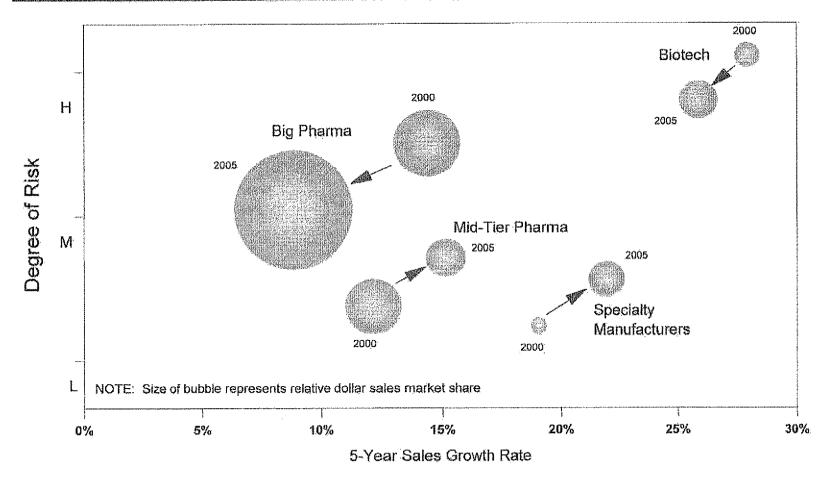


- Big Pharma (Pfizer, Glaxo, SKB).
- Strategy to acquire R&D/sales force critical mass. This has been the industry trend over the last few years. These "mega-companies" must be able to integrate different corporate cultures and introduce several significant NCEs each year to ensure sales growth of 10%+
- Mid-Tier Pharma (Abbott, Schering-Plough, Forest)
- Strategy to achieve expertise and appropriate scale in specific therapeutic categories. To increase likelihood of success, Discovery is approached through combination of in-house development and in-licensing. Vulnerable to acquisition by larger companies
- · Specialty Manufacturer (Alza, Elan, Sepracor)
- Strategy reduces the product risk by developing improved forms of profitability through royalty payments is reduced relative to MCE ownership
- * Biotech (Amgen, Biogen)
- focused, fully integrated companies

 focused, fully integrated companies



These four business models have varying degrees of risk and probability of future sales growth. However, success will be determined by excellence in both R&D and commercialization

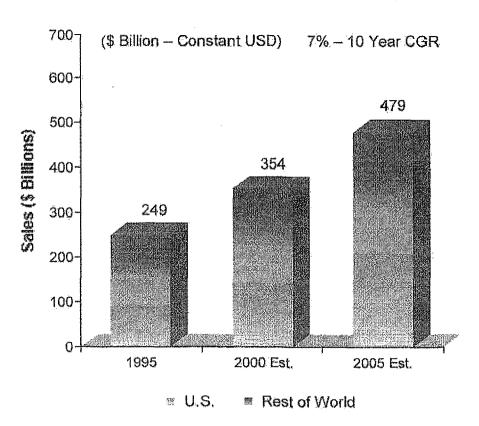


There will be winners and losers in each segment. The losers will be acquired as the industry continues to consolidate

It makes sense to grow the Abbott pharma business from commercial and scientific perspectives

- •The pharmaceutical industry is one of the world's largest and most profitable industries. Growth is expected to continue
- · Global economic growth
- Aging population
- Acceptance of "lifestyle" drugs
- •Recent advances in genomics and molecular genetics will greatly facilitate new drug discovery

Worldwide Pharma Sales 2000-2005 CAGR Growth Rates: U.S. +8%: ROW +5%



Source: IMS Health and Internal PPD Estimates

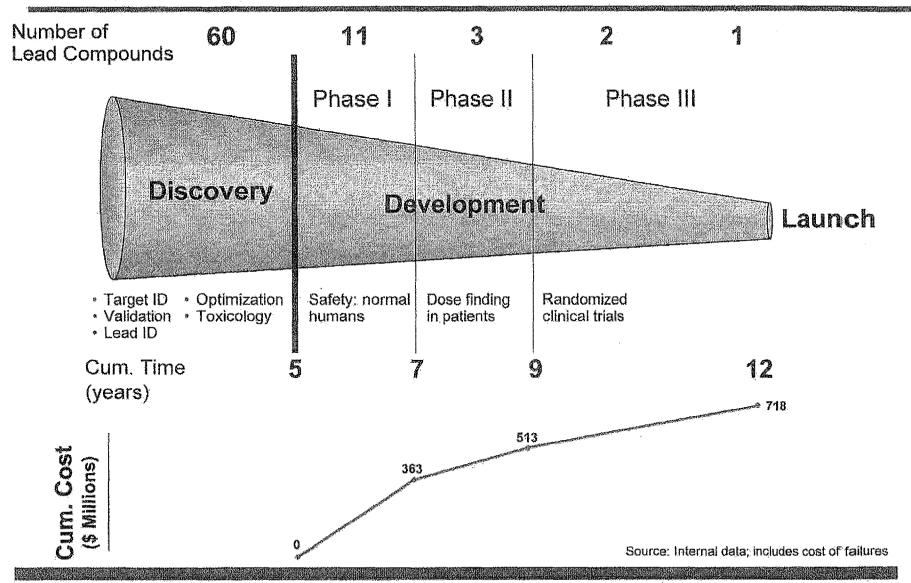
There will be both external and internal challenges to growing the Abbott pharma business

External Challenges

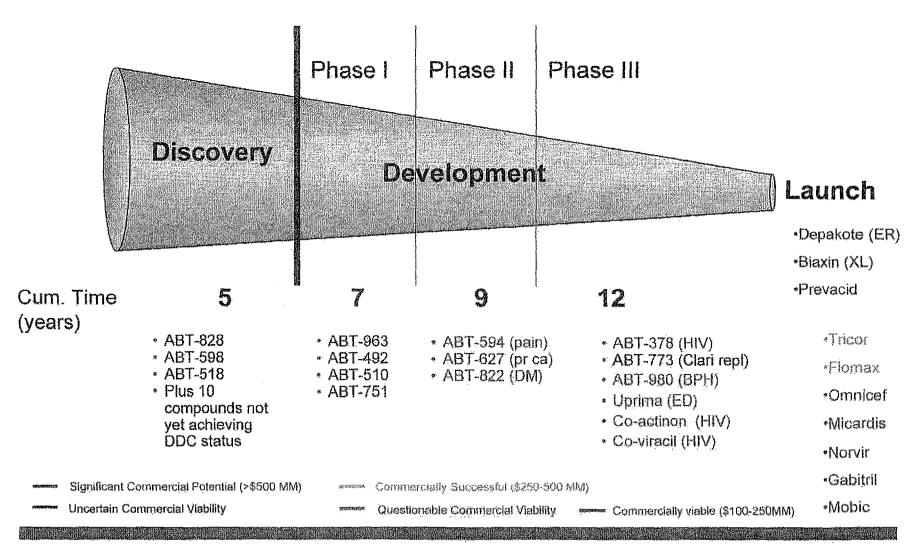
- Downward price pressure will continue.
- Increasingly strict FDA scrutiny process resulting from product recalls (e.g., Rezulin, Propulsid, etc.) will lead to greater R&D costs as well as longer development timelines.
- Increasingly rigorous regulatory and QA environment will add signficant costs.

- Internal Challenges
 - The imbalance of our pharma pipeline
 - many early stage compounds; not enough late-stage drugs
 - Affordability
 - We cannot currently afford to develop all of our early stage compounds
 - Fragmented pharma R and D effort
 - Fragmented decision making processes for in licensing compounds

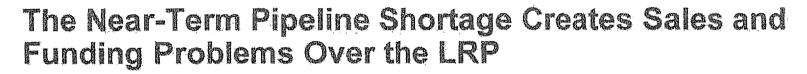
Drug development is a high risk business

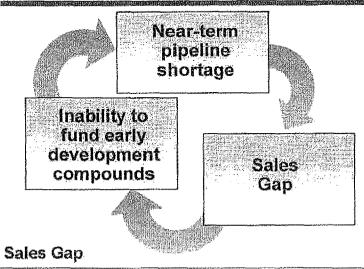


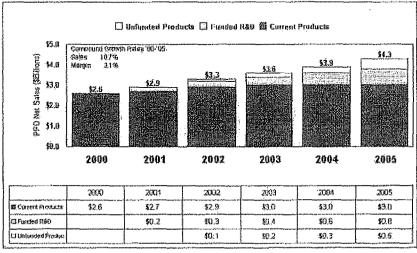
The Imbalance in the Abbott Pipeline



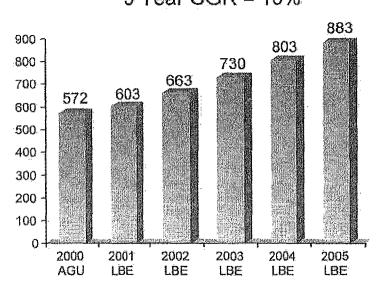
00DQ_5







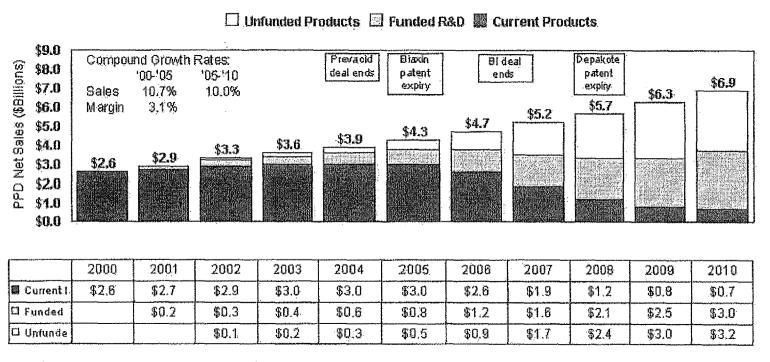
Global R&D Spending 5 Year CGR = 10%



- · This rate of growth will fund the development of 11 new compounds over the LRP
- Abbott currently has 23 compounds that are in or will enter the development over the LRP



This near term lack of internally developed new products will create a larger sales and margin gap from 2005 on as patents expire for Biaxin (2005) and Depakote (2008) and deals for BI products (2006-07) and Prevacid (2004) end_

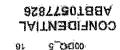


Abbott needs to launch nine new products (\$350MM peak year sales) in addition to those in the pipeline to assure double-digit compounded growth over the next 10 years.

These products, whether internally discovered or in-licensed are unfunded and would cost an estimated \$6 billion to develop over the next 10 years.

Summary of Abbott Pipeline Challenges

- Short term challenges
- OVER THE LAP - Lack of late stage compounds creates a short-term sales gap
- an even larger margin gap over the LRP Emphasis on in licensed compounds decreases margin to create
- Long term challenges
- depakote and prevacid) between 2004 and 2008. We will lose margin from our three major products (biaxin,
- ssauisng development pipeline to support the growth of the pharma - There are not enough early stage compounds in the
- We cannot currently afford to fund the development of the early
- compounds that we have





Abbott drug development is also impaired by fragmentation of the drug development and inlicensing efforts

PPD

- Discovery
- Development
- Regulatory
- · In-licensing

ΔΙ

- Development
- Regulatory
- In-licensing

HPD

- Development
- Regulatory
- In-licensing

This fragmentation:

- Produces redundant activity and spending
- Prevents efficient sharing of knowledge across the organization
- Prevents attainment of critical mass
- Makes it difficult to develop long-range global pharmaceutical strategy

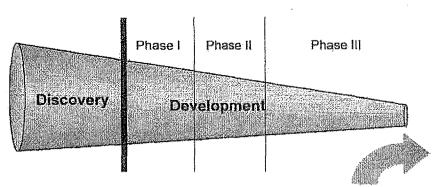
Possible strategies for addressing the challenges of growing the Abbott Pharma business

- · Loading the pipeline with more late stage compounds
 - In licensing
 - Acquisition of small and mid cap biotechs
 - Co-marketing deals with other pharma companies
- Increase R and D spending to develop more early stage compounds
 - Creative deals for outside funding
 - John Hancock (\$200 MM over 4 years for R and D in exchange for a royalty on developed drugs)
 - Acquisition of companies with R and D spending
 - Alliances with biotech companies that are willing to co-fund development
 - Abbott is currently pursuing such a deal with Millennium in the areas of diabetes and obesity
 - Utilize genomics and other technology advances to increase the efficiency of the R and D process

Possible strategies for addressing the challenges of growing the Abbott Pharma business (continued)

- Address fragmented R and D and in-licensing processes
 - Create integrated pharma R and D and in-licensing structures that are responsible for all drug development and in-licensing for PPD, AI, and HDP

It is becoming increasingly difficult to fill the pipeline through in-licensing and acquisitions.



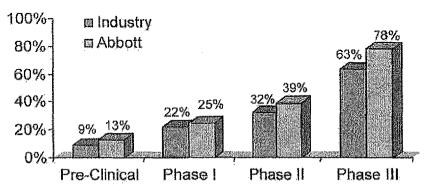
In-licensed compound jump start the development process

the development process

Risk

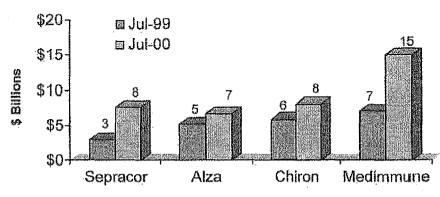
- Problems with Coactinon, FTC and Mobic highlight the risks of in-licensing
- Expense of product deals
 - Buying frenzy for late-stage products has challenged conventional valuation models
- Tactical acquisitions becoming less affordable
 - Soaring market capitalization of smaller pharma and biotech companies

Probability of Product Launch



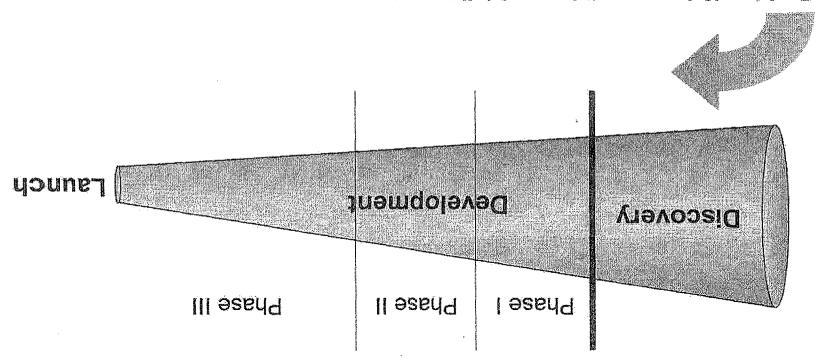
Sources: Lehman Bros., Internal Data

Market Capitalization



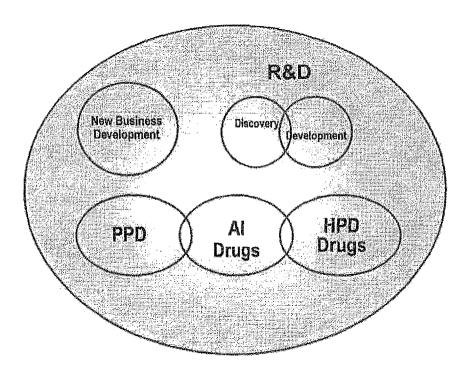
Source: Stock Market Data

By identifying new "druggable" targets new farget identificantly accelerates new target identification and validation but doesn't speed up development



Genomics is an important part of the long-term strategy but will not help fill the pipeline near-term

Abbott is considering integrating pharma R and D and new business development in order to address this fragmentation



Advantages

- Integrates all pharma R&D into a single unit leading to
 - economies of scale,
 - a portfolio approach to decision making and global product development
- Creates a single new business development unit to pursue global Pharma deals

ABBT0577833 CONFIDENTIAL

90000° 2

esanizud smrshq esi gniworg ni Abbott faces a matrix of challenges

Near-Term Challenges

dilutive increasingly acdnisition шэкег senjek deb unipau/lieus ni seiЯ

activities ju-jiceusing bas G&A and redundant Fragmented

compounds late-stage Buisneoil-ni and risk of Expense

revenues miet-isen leuf compounds to late-stage rack of

term growth -gnol nistaus of papaau auiladid emoddns or eteupebeni pnqder Current R&D

Long-Term Challenges

Prevacid between

companies scdnice away csb impaired ability to

compounds opete ofal eansoil-ni impaired ability to

growth problems leads to long-term agade componuqa to develop early-Unpaired ability

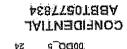
Depakote, Blaxin, and ross of margin from

2004 and 2008

Conclusions:

- Improved in-licensing, R and D, and small deals for late stage compounds can be used to fill the sales gap in the LRP through 2005. However such approaches will limit margin growth over the LRP
- These traditional approaches will be insufficient to maintain sales or margins in the face of losing Depakote, biaxin, and prevacid revenues between 2004 and 2008.

This analysis suggests that a larger acquisition or merger will be necessary to successfully grow the pharma business over the next 10 years.



TAB C

Pag	Δ	2	of	20	
гау	ᆫ	_	ΟI	20	

	PLs' Trial Ex.	-	Abbott's Grounds for Objection
1	В	Matrix Metalloproteinase Inhibitors Project - Discovery Development Candidate Meeting	HEAR AUTH OPIN
2	С	2001 Plan Assumption Memo	HEAR AUTH
3	G	Oncology Portfolio Analysis Inputs - Project List, Product Profiles and Probabilities of Technical Success - Draft for Team Review, November 8, 2000	AUTH HEAR
4	H	MMPI Working Group Meeting, Meeting Objective: ABT-518 Program Update	AUTH HEAR
5	J	ABT-518 Descriptive Memorandum, February 2001	HEAR AUTH
6	N	MMPI Monthly Meeting Agenda	AUTH HEAR
7	R	Email from Philip M. Deemer to sblewitt@jhancock.com@internet re MMPI Program Update	AUTH HEAR
8	S	Email from Diane L. D'Amico to jhm@nki.nl re M00-235 Update	AUTH HEAR
9	T	Email from Diane L. D'Amico to jhm@nki.nl re M00-235 Update	AUTH HEAR
10	U	Email from Jim Looman to Azmi A. Nabulsi et al. re NKI Study	AUTH HEAR
11	V	Email from Paige Gjelsten to Jim Looman et al. re M00-235 Study Hold Lifted	HEAR
12	W	Email from Diane L. D'Amico to l.v.beerepoot@azu.nl re M00-235: Validated PD Methods	AUTH HEAR
13	X	Email from Diane L. D'Amico to Azmi A. Nabulsi re Erroneous Dosing of Patient	HEAR
14	Y	Email from Philip M. Deemer to Joyce L. Devault re For Overhead	HEAR AUTH
15	Z	Email from Jim Looman to Diane L. D'Amico re M00-235 Update	AUTH HEAR
16	AA	Email from Diane L. D'Amico to Willy Jansen et al. re M00-235 Update	HEAR AUTH
17	AB	E-mail from Deemer to Nisen	AUTH HEAR
18	AC	Email from Jim Looman to Diane L. D'Amico re Restart 518 Study	AUTH HEAR
19	AD	Email from Perry D. Nisen to Philip M. Deemer re Hancock and Alcon	AUTH HEAR
20	AE	Email: from Paige Gjelsten to MMPI Team re MMPI Working Group Meeting Minutes: 3/8/01	AUTH HEAR
21	AF	Email from Philip M. Deemer to Perry D. Nisen re Hancock and Alcon	AUTH HEAR

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
22	AG	E-mail from Perry D. Nisen to Azmi A. Nabulsi re: DMC	AUTH
		Project Review Meetings	HEAR
23	АJ	Email from Tamara L. Garavalia to Michaela L. James et al.	AUTH
	**************************************	re: ABT518	HEAR
24	AL	Email from Perry D. Nisen to Azmi A. Nabulsi re: MMPI	HEAR
25	AM	E-mail from Nisen to Leonard with ASCO slides	HEAR
26	AN.	Email from Perry D. Nisen to John M. Leonard re: ABT-	AUTH
		518	HEAR
			INC
27	AO	Email from Diane L. D'Amico to Diane C. Bronson et al. re:	AUTH
		ABT-518 Tox	HEAR
28	AP	Email from Diane L. D'Amico to Lise I. Loberg re: ABT-	AUTH
		518 Tox	HEAR
29	AQ	Email from Diane C. Bronson to Diane L. D'Amico re: ABT-	AUTH
	,	518 Tox	HEAR
30	AR	Email from Diane C. Bronson to Lise I. Loberg re: ABT-	AUTH
		518 Tox	HEAR
31	AS	Email from Lise I. Loberg to William M. Bracken et al. re:	AUTH
		resume ABT-518 activities: FALSE ALARM!	HEAR
32	AU	Email from 8776893456@skytel.com to Diane L. D'Amico	AUTH
		re: MMPI	HEAR
33	AV	Email from Thomas J. Lyons to Kenneth D. Stiles re: MMPI-	amentalinan\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\
		Phase I Study Options	HEAR
34	AW	Email from Lise I. Loberg to William M. Bracken et al. re:	AUTH
- '	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	ABT-518 update	HEAR
35	BA	Email from Diane C. Bronson to Paige Gjelsten re: MMPI	AUTH
		Meeting Minutes from 6/7/01	HEAR
36	BC	Email from Philip Deemer to Dan Norbeck re MMPI	HEAR
		The probability of the second	AUTH
37	BD	Letter to Perry Nisen from Steven K. Davidsen, Ph.D. re:	HEAR
		ABT-518	
38	BE	Memo from Perry Nisen to Dan Norbeck et al. re: ABT-518	HEAR
ļ, Š			
39	BF	Email from Phillip M. Deemer to	HEAR
]		Bruceb@amgen.com@internet re: Licensing Opportunities	
40	BH	Email from Jane A. Hoff-Smith to Suzanne Lebold et al.	HEAR
ľ		regarding Update on ABT-518	AUTH
41	BJ	Proposed Program Rationalization	AUTH
7,	,,,	1 topolog I togiam tanomization	HEAR
			INC
42	BK	Letter from Azmi to Jim re project review with upper	HEAR
	DIX	management on Wednesday	AUTH
43	BN	MMPI A-291518 Discovery Development Candidate	INC
7,	DIA	Approval Slide	AUTH
		Approvai oilao	HEAR
<u> </u>	<u> </u>		1112/111

Line	PLs' Trial	Description	Abbott's Grounds for
Line	Ex.	Description	Objection
44	BO	Email from Perry Nisen to Philip Deemer re Hancock and	HEAR
7.7	DO	Alcon	
45	BP	Email from Aldona T. Matalonis to	HEAR
		hg@clinphone.com@internet re Suspend Work on Abbott	AUTH
		M99-115 IVR Project	IRREL
46	BR	Memo to Leonard re Meeting Minutes for Analgesia	HEAR
		Venture Portfolio Review	AUTH
47	BS	Email from Kacos to Boyd re Analgesia Portfolio Review,	HEAR
		with slides	AUTH
48	ВТ	Letter from McCarthy to Meyer enclosing documents for	AUTH
		ABT-594 European Advisory Meeting	HEAR
49	BW	Email from Gary D Jones to Tamara L Garavalia re meeting	HEAR
50	BX	Email from Aldona T Matalonis to Catherine K Kacos re 3	HEAR
100000000000000000000000000000000000000	TO MAKE THE TAXABLE PARTY.	page summary sheet for ALZA	AUTH
51	BY	Email from James W. Thomas to Ered W. Siebert et al.re	AUTH
		I14 Sample Size	HEAR
52	BZ	Email from Christopher J Silber to Grace C Dunn et al. re	HEAR
		Analgesia Venture Monthly Highlights	AUTH
53	CC	ABT-594 Descriptive Memorandum	AUTH HEAR
51	CD	Email from Marilyn J Collicott attaching site	HEAR
54	CD	breakdown/enrollment for M99-114	AUTH
55	CF	Email from Marilyn Collicott to Bruce McCarthy re Updates	
1 22	Ci	fro M99-114 Phase IIb Meeting	HEAR
56	СН	Email from Tamara L Garavalia to Aldona T Matalonis et	AUTH
"	O.1	al, re M99-114 300 mcg dose group	HEAR
57	CI	Email from Steve Blewitt to Steve Cohen re Questions	AUTH
"	<u> </u>		HEAR
58	ČJ	Email from Bruce McCarthy to David D Morris et al. re	
		M99-114 Protocol Change Discussion	
59	CK	Email from Michael Biarnesen to Aldona Matalonis re RQA	AUTH
		Auditor Assignment for Analgesia Venture	HEAR
60	СО	Email from Laura Robinson to Andrea Landsberg re RE:	AUTH
		ABT-594 Commercial Section w/Laura Robinson Input	HEAR
61	CP	Email from James W Thomas to Bruce McCarthy re 114 fax	HEAR
WILLIAM ST		ae numbers	AUTH
62	CQ	Email from James Thomas to Catherine Kacos re M99-114	AUTH
		graph data	HEAR
63	CR	Email from Marilyn J Collicott to Christopher J Silber re	HEAR
		M99-114 Extension letter	AUTH
64	CS	Letter from Marilyn Collicott re Protocol M99-114: A	HEAR
		Randomized, Double-Blind, Placebo-Controlled	AUTH
		Comparison of the Safety and Efficacy of ABT-594 to	
L		Placebo in Subjects with Painful Diabetic Neuropathy	

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
65	CV	Email from Christopher J Silber to Catherine K Kacos re	AUTH
		Trip Report: Visit to Gibson, Biton, Kipnes, Hewitt	HEAR
66	CW	Randomized, Double-Blind, Placebo Controlled Evaluation	HEAR
		of the Safety and Efficacy of ABT-594 in Subjects with	AUTH
		Painful Diabetic Polyneuropthy; The 594/M99-114 Study,	
		Centralized Patient Recruitment Program	
67	CX	Email from Andrea Landsberg to Christopher J Silber re	AUTH
		Purdue CDA	HEAR
68	CY	Email from James W Thomas to Rebecca L Brown re ABT-	AUTH
		594 M99-114 Slides for David with attached notes	HEAR
69	DA	Email from Christopher J Silber to John M Leonard re 594	HEAR
		Neuropathic pain study	: OPIN
70	DC	Email from Andrea Landsberg to Robert J Weiland re ABT	HEAR
manimonomobro		594/963 Purdue meeting	AUTH
71	DD	Email from Marilyn J Collicott to Susan E Nunn et al. re	HEAR
ļ	a manada del classico de la fina de la companio de	M99-114	AUTH
72	DE	Email from Mike Williams to Jennifer Smoter re Re: NNR	HEAR
		documents	AUTH
73	DF	Email from Christopher J Silber to Nancy M Palbicke re	AUTH
		Attached question list	HEAR
74	DG	Email from Andrea Landsberg to Christopher J Silber et al.	AUTH
		re 594 Leiden presentation	HEAR
75	DI	Email from Robert J Weiland to Christopher J Silber re Re:	AUTH
-	Diz	Pharmacia meeting	HEAR AUTH
76	DK	Email from Bruce McCarthy to Christopher J Silber re Re:	HEAR
77	DL	Pharmacia meeting ABT-594 Descriptive Memorandum dated November 2000.	AUTH
	, עם	AB1-594 Descriptive Memorandumidated November 2000.	
78	DM	Email from James Sullivan to Robert J. Weiland re Re:	AUTH
		Pharmacia meeting	HEAR
79	DN	Email from Bruce McCarthy to Robert J Weiland et al. re	AUTH
		ABT-594 Partnership Strategy Meeting	HEAR
80	DO	Email from Linda Orovitz to Chris Speh, et al re Info for	HEAR
	~~	Abbott mtg	AUTH
81	DQ	Draft Project Review: ABT 594 Agenda	INC
			AUTH
		P 116 P MO d to D 11 D Mo is to large	HEAR
82	DR	Email from Bruce McCarthy to David D Morris et al. re	AUTH HEAR
02	770	ABT-594 M99-114 Study Size Discussion	AUTH
83	DS	Email from Michael K Biarnesen to Andrea Landsberg re	HEAR
84	DT	Re: ABT 594 forecast scenarios for BD partnering Email from Elizabeth Kowaluk to Bryan F Cox re Re: 12/6	AUTH
84	Di	meeting	HEAR
85	DV	Email from Marilyn J Collicott to Michael K Biarnesen re	AUTH
63	D V		
		Re: November Monthly Project Status Report, ABT-594	HEAR

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
86	DW	Chart and Notes re Abbott M99-114	AUTH
			HEAR
			IRREL
			OPIN
87	DX	Email from Marilyn J Collicott to Marian L Borgstrom et al.	AUTH
		re Study M99-114	HEAR
88	DY	Email from James W Thomas to Bruce McCarthy re Re: n/v	AUTH
		rate	HEAR
			IRREL
89	DZ	Email from James W Thomas to Bruce McCarthy re Re: n/v	AUTH
		rate	HEAR
90	EA	Email from Bruce McCarthy to Christopher J Silber re	AUTH
		landsberg email	HEAR
91	EB	Email from Jennifer Dart to Christopher J Silber et al. re	AUTH
		Analgesia Internal Review Notes	HEAR
92	ÉČ	Email from Bruce McCarthy to Christopher J Silber re	AUTH
		Purdue presentation	HEAR
93	EE	Email from Bruce McCarthy to Christopher J Silber et al. re-	AUTH
		AEs for preterms - blinded look	HEAR
94	EF	ABT-594 Titration Optimization Initial Brainstorm	AUTH
['		Discussion, Agenda, January 23, 2001	HEAR
95	EG	Email from Jennifer Dart to Prioritiziation Meeting	AUTH
		Attendees re APU Priorization Meeting	HEAR
96	EH	Email from Christopher J Silber to James Sullivan re ABT-	AUTH
ľ		594	HEAR
97	EJ	Email from Michael K Biarnesen to Christopher J Silber et	AUTH
′′	1.3	al. re Re: financial slides for Leiden meeting 2/2	HEAR
98	EK	ABT-594 Descriptive Memorandum, February 2001	AUTH
99	EM	Draft Project Review: ABT 594, Agenda	AUTH
′′	Bivi	Didit A roject New York Tib I by II, I agonia	HEAR
100	EN	Email from Bruce McCarthy to Elizabeth Kowaluk re DSG	AUTH
100	1211	Diameter in State in Section of Diameter in Section in	HEAR
101	EO	Email from Bruce McCarthy to Michael K Biarnesen et al.	AUTH
***		re Re: Consideration of IV work with ABT-594	HEAR
102	EP	Email from Bruce McCarthy to Chris Silber et al. re	AUTH
102	1.71	Scientific Strategy for ABT-594/NNR Tolerability	HEAR
103	EQ	Email from Bruce McCarthy to Marleen Verlinden re ABT-	AUTH
103	L.Q	594 Guest Speaker and Discussion	HEAR
104	ER	Email from Marleen H Verlinden to Christopher I Silber rea	AUTH
	LA	Re: ABT-594 partnering	HEAR
105	ES	Email from Marilyn J Collicott to stherriault@rsi-nc.com	HEAR
103	1.50	enclosing M99-114 Investigation List and Early	AUTH
1		Terminations	110111
106	ET	Email from Bruce McCarthy to pandrews@sghms.ac.uk re	AUTH
1,00	101	Re: abbott visit	HEAR
<u> </u>		IXO, AUDULT VISIT	117/11/

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
107	EU	E-mail from Marleen Verlinden re: Dr. Andrews	AUTH
			HEAR
108	FA	E-mail from Bruce McCarthy re: Dr. Andrews meeting	HEAR
			AUTH
109	FB	Email from Bruce McCarthy to Elizabeth Kowaluk re Re:	AUTH
		Draft Decision Frame for ABT-594/Pain Strategy DSG	HEAR
110	FD	Email from Elizabeth Kowaluk to Marleen H Verlinden et	AUTH
		al. re ABT-594/Pain Strategy DSG - 3/5 Meeting Minutes	HEAR
111	FE	Email from Paul Andrews to Bruce McCarthy re answers	HEAR
			AUTH
112	FH	Email from Michael Williams to Jeff Leiden re List of next	HEAR
		steps from portfolio review	AUTH
113	FI	Confidential R&D Integration Update Discussion Document	HEAR
			AUTH
114	FJ	Email from Susan E Nunn to Judith S Brownell re update	AUTH
	! [regarding M99-114	HEAR
			IRREL
115	FL	Confidential Overview of Abbott R&D Fact Pack - April	HEAR
		2001	AUTH
116	FM	Email from Elizabeth Kowaluk to Keith F Hendricks et al.	HEAR
		re Pharma Strategy Retreat on May 2-4	AUTH
117	FO	E-mail from Jeff Drajesk with GPRD attachment	INC
		•	AUTH
			HEAR
118	FQ	Email from Michael D Meyer to James Sullivan re ABT-	AUTH
		594 Memo	HEAR
119	FR	Resource Allocation Across GPRD, Discussion Document	HEAR
			AUTH
120	FS	Email from Jessica Hopfield to Jeff Leiden re R&D Strategy	HEAR
		Retreat Output	AUTH
121	FT	Email from James W Thomas to Yiming Zhang re 594	HEAR
			AUTH
			OPIN
122	FU	Email from Thomas E Woidat to Micahel K Biarnesen re	AUTH
		Re: ABT-594 2001 Transition Budget; ABT-594 Transition	HEAR
		Proposal	
123	FV	Email from Judith S Brownell to Marilyn J Collicott et al. re	AUTH
		RELEASE OF DATABASE, M99-114 (MC114A), ABT-	HEAR
		594	
124	FW	Portfolio Analysis of 2001 Abbott Global Pharmaceutical	HEAR
		Development Assets - June 18-20, 2001	
125	FY	Email from Elizabeth Kowaluk to Steve C Kuemmerle re	HEAR
		ABT-594 DSG analysis - preview meetings	AUTH

Page 8 of 20

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.	_	Objection
126	GB	Email from Elizabeth Kowaluk to Bruce McCarthy	AUTH
			HEAR
			IRREL
127	GH	Email from Marilyn J Collicott to JanLips710@aol.com re	AUTH
		Re: (no subject)	HEAR
128	GI	Email from Tamara L Garavalia to Linda M Fisher re ABT-	AUTH
		594 Not Funded	HEAR
129	GJ	DSG Highlights: October 2001	AUTH
			HEAR
130	GK	Email from Philip M Deemer to Ake L Johansson re Update	AUTH
			HEAR
131	GL	Letter from Daphne Pals to Mr. Steve Blewitt re Research	AUTH
ĺ		Funding Agreement dated as of March 13, 2001	HEAR
		Termination of ABT-594	
132	GM	Email from Michael D Meyer to Christopher J Silber re	AUTH
		DDC slides	HEAR
133	GS	Letter to M99-114 study cites	HEAR
			AUTH
134	HA	E-mail string from Bruce McCarthy	AUTH
			HEAR
			IRREL
135	HB	Email from Michael Biarnesen to Christopher Silber re 594	AUTH
		sales/cost estimate slide	HEAR
136	HD	Email from Marilyn Collicott to stherriault@rsi-nc.com	AUTH
			HEAR
137	HH	Email from Bruce McCarthy to Michael Biarnesen re ABT-	AUTH
		594 Update	HEAR
138	HJ	Letter from Marilyn Collicott to Michael Hoffstetter	AUTH
			HEAR
139	HK	Email from Christopher to Rosemarie Waleska re Advice	AUTH
ļ			HEAR
140	HM	Email from Philip M. Deemer to Bruce McCarthy re: ABT-	AUTH
<u> </u>		594 Call	HEAR
141	НО	Email from Bruce McCarthy to Michael Biarnesen	AUTH
			HEAR
142	HV	Email from Tim Vanbiesen to Elizabeth Kowaluk re ABT-	HEAR
		773 Dosing Strategy Kick-off Meeting	AUTH
SAME AND SECOND			OPIN
143		ABT-773 Descriptive Memorandum dated May 2000	AUTH
144	HZ	Email from Gregor Bosco to Carol S. Meyer re ABT-773	HEAR
		Dev. Plan	AUTH
145		ABT-773 Descriptive Memorandum	HEAR
146	IC	Email from Belinda Hightower to Phyllis Kincaid re	AUTH
L		Clinical Hold	HEAR

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
147	D.	Email from Jeanne M. Fox to John M. Leonard et all-re	HEAR
		FDATTelephone Contact Report ABT-773	
148	IF	Email from Jeanne M. Fox to Lawrence E. Roebel et al. re	AUTH
		Executive Summary of ABT-773 End-of-Phase 2 Mtg	HEAR
l		w/FDA	and the same of th
149	IG	Email from Jeanne M. Fox to Rod M. Mittag et al. re Slides	HEAR
		for 12/5 Meeting	AUTH
150	IQ	Email from Jeanne M. Fox to James Steck re Studies to	AUTH
520000000000		Meet Pediatric Rule Requirements	HEAR
151	IR .	Email from Eugene X. Sun to Stan Bukofzer re-773 Material	HEAR
		The state of the s	AUTH
152	IV	Email from Thomas E. Woidat to William A. Brown re 773	AUTH
	~~~	Presentation	HEAR
153	IW	Email from Marleen H. Verlinden to Eugene X. Sun re ABT-	AUTH
ļ.,,		773	HEAR
154	IZ	Email from Thomas E. Woidat to Jennifer Dart re: Portfolio	AUTH
	· · · · · · · · · · · · · · · · · · ·	Analysis - Update with APU budgets	HEAR
155	JA	Memo from Jeff Leiden to Stan Bukofzer, John Leonard and	AUTH HEAR
		Eugene Sun re: First Call Report	HEAR AUTH
156	JB	Email to Hendricks, eval. re: Final copy of 773 decision	
		analysis planned presentation	HEAR INC
157	JC	Email from Carol S. Meyer to Ake L. Johansson, et al., re.	AUTH HEAR
150	10	ABT 773 Taisho/Abbott Meeting - June 26th  Email from Stan Bukofzer to Jeanne M. Fox re: Final copy	HEAR
158	JD	of 773 decision analysis planned presentation	HEAR
159	JG	Email from Carol S. Meyer to Stan Bukofzer re: ABT 773	AUTH
139	JG	2002 Plan Powerpoint slides	HEAR
160	JJ	Email from John M. Leonard to Stan Bukotzer re:	HEAR
100	JJ	December 12 PEMC Meeting Minutes	AUTH
161	JK	Email from Thomas J. Lyons to Stan Bukotzer re: JH	HEAR
101	JIX	Annual Progress Report & Y/E LBE	AUTH
162	JL	Email from Stan Bukofzer to John M. Leonard, Eugene Sun	HEAR
102	310	re: 773 presentation	AUTH
163	JM	Email from Eugene X. Sun to John M. Leonard, et al., re:	HEAR
103	J1V1	773 memo to Miles	AUTH
164	i JN	Email: from Stan Bukofzer to Jeff M. Leiden, et al., re: ABT	AUTH
104		773 Memo	HEAR
165	JO	Letter from Teff Leiden, John Leonard to Miles White re:	HEAR
103	J.U	package with key issues	III. CAN
166	JP	Letter from Eugene Sun: Stan Bukofzer to Miles White re:	HEAR
100	<b>J1</b>	package with key issues	THEAT
167	JS	Email from Jeff M. Leiden to Thomas J. Lyons re: 2002 773	AUTH
10/	JO	LBE	HEAR
L			ILLAN

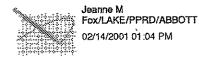
Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
168	JT	Email from Stan Bukofzer to Jeff M. Leiden re: ABT 773	AUTH
		documents requested	HEAR
169	TU:	Email from Stan Bukofzer re ABT-773 Communication	AUTH "HEAR
170	JW	June Highlights Memo (global outlicensing)	HEAR
170	J 11	June Highlights Mente (global outsteensing)	AUTH
171	JZ	Email from Steve Kuemmerle to Stan Bukofzer re ABT-773	AUTH
1/1	32	Analysis	HEAR
172	KB	Kowaluk e-mail with attached pain portfolio profile re:	HEAR
1/2	ND	ABT-894	AUTH
173	KD		INC
1/3	KD	Suzanne Lebold e-mail string re: recommend no ABT-594 outlic due to 894	HEAR
		ounic due to 894	
1774	**************************************		AUTH
174	KE	Email from Kevin Constable to Suzanne Lebold	AUTH
l	dia aliansi artindi kanadiran dan ar of ara More		HEAR
175	KF	Description of Press Release: ABT-894 PhII Announcement	AUTH
		Press Release at:	HEAR
		http://www.neurosearch.com/Default.aspx?ID=4080&M=	IRREL
		News&PID=22571&NewsID=15415	
176	KJ	Email from Lise Loberg to William Bracken re ABT-894	AUTH
		IND	HEAR
			IRREL
177	KK	Email from Bruce McCarthy to David Ross et al re Letter to	HEAR
		the FDA	AUTH
178	KL	Alternative Funding Initiatives	AUTH
			HEAR
179	KM	Email from Thomas Freyman to Philip Deemer	HEAR
		,	AUTH
			IRREL
			OPIN
180	KN	Email from Scott Hartz to Stephen Blewitt re Abbott	HEAR
		4	AUTH
			IRREL
181	KO	Email from Philip Deemer to Erik Zimmer et al re Hancock	HEAR
		F	AUTH
182	KP	Email from Stephen Blewitt to Steve Cohen re Research and	
na market mendes en encountries		Development Transaction	**************************************
183	KQ	Email from Stephen Blewitt re Abbott	IRREL
Regimentes	Merking and a second strains		HEAR
184	KR	Email from Robert Weiland to Rosemarie Waleska et al re	HEAR
		Hancock R&D Funding	AUTH
185	KS	Email from Lynn Klotz to Stephen Blewitt re my cv and	HEAR
		thoughts about strategy	

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
186	ΚT	Email from Philip Deemer to Steve Cohen re John	AUTH
		Hancock/Abbott Funding Collaboration	HEAR
187	⊮ KU	Email from Lynn Klotz to Stephen Blewitt re Preliminary	HEAR
6.37		Abbott basket analysis	
188	KV	Email from Philip Deemer to Stephen Blewitt	AUTH
anteriorismer nimbe			HEAR
189	KW	Email from Frank Loughery to Philip Deemer et al re	AUTH
		Hancock Deal	HEAR
190	KZ.	Email from Philip Deemerito Barbara Powell re John	HEAR AUTH
101	ΤA	Hancock Slide describing John Hancock company  Email from Steve Cohen to Julia Bouffard et al re John	AUTH
191	LA	Hancock/Miles meeting	HEAR
192	LB	Email from Philip Deemer to Steve Cohen re contract to	HEAR
192	LD	Hancock that Brian wants Arthur to see first	11D/ IIC
193	LC	Email from Philip Deemer to Stephen Blewitt re Draft	HEAR
		Research Funding Agreement	
194	LD	Email from Philip Deemer to John Leonard re Hancock	HEAR
	·	*	AUTH
195	LE	Email from Brewster Lee to Deborah Young et al re,	HEAR
		Abbott/Hancock - Memo re Research Funding Agreement	
196	LO	Fax from Philip Deemer to Arthur Higgins re Hancock	AUTH
			HEAR
197	LQ	Memorandum from Steve Cohen to Dr. Jeffrey Leiden et al	AUTH
TA COMPANY NAMED OF		re 2001 Plan	HEAR
198	LR :	2001 Plan Assumption Memo - Pass III	AUTH
		general and the second of the	HEAR
199	LV	Email from Elizabeth Koweluk to Steve Kuemmerie et al re	AUTH
200	T 77	Summary of Success Probabilities	HEAR HEAR
200 201	LZ MA	Email from Philip Deemer to Chris Turner re Exhibits  Memorandum from Xavier Frapaise to John Arnott et al re-	l
201	, IVIA	Development Portfolio Review Meeting - March 7-9	HEAR
202	MB	Memorandum from Matt Russell to Bob Funck et al re 2001	INC
272	, ADD	Plan Final Reference Package	AUTH
		2.10/12.10d processors and the second	HEAR
203	ME	J. Hancock Research Funding Agreement for Abbott:	AUTH
1		Executive Summary of March 13, 2001 Agreement	HEAR
204	MG	Email from Elizabeth Kowaluk to Steve Kuemmerle et al re	AUTH
		Success Probabilities	HEAR
205	MK	Email from Philip M. Deemer to Ron Gerlach re John	HEAR
L		Hancock Royalty Scenario	AUTH
206	MO	Email from Perry D. Nisen to Azmi A. Nabulsi re MMPI	AUTH
			HEAR
207	MP	Email from Diane L. D'Amico to Lise I. Loberg re MMPI	AUTH
		Activities	HEAR

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
208	MQ	Email from Thomas Woidat to Kenneth Stiles re Terminated	AUTH
		Development Projects (Draft)	HEAR
209	MR	Email from John Leonard to Vaseern Iftekhar et al re	AUTH
		Terminated Development Projects	HEAR
210	MS	Email from Robert Funck to Thomas Lyons et al re Hancock	AUTH
		- 2002	HEAR
211	МТ	Email from Philip M. Deemer to Ake L. Johansson re	AUTH
		Executive Briefing, Global Licensing and Business	HEAR
		Development	
212	MÜ	Email from Philip M. Deemer to Ake L. Johansson re	AUTH
		Update of Priorities	HEAR
213	MV	Email from Denise L. Carlson to Fusako H. Bowering re	AUTH
		Template for Outlicensing Update	HEAR
214	MW	Memo from John M. Leonard to Jeff Leiden re Monthly	HEAR
		Highlights - October 2001	
215	MX	Letter from Tom Lyons to Steve Blewitt re-Research	HEAR
		Funding Agreement dated as of March 13, 2001, 2002	
		Preliminary Annual Research Plan	
216	MY	Memo from John M. Leonard to Jeff Leiden re Monthly	HEAR
		Highlights - November 2001	AUTH
217	MZ	Letter from Tom Lyons to Steve Blewitt re Research	HEAR
		Funding Agreement dated as of March 13, 2001, 2001	
		Program Status Report and Related Cost Summary	
218	NA	Handwritten Note with various attachments	HEAR
			AUTH
219	NB	Memo from Philip M. Deemer to Pamela Demain re	HEAR
ļ		Licensing Opportunities	AUTH
220	NC	Memo from James L. Tyree to Jeff Leiden re January 2002	AUTH
		Highlights	HEAR
221	ND	Returns on R&D for 1990s New Drug Introductions	AUTH
<b> </b>			HEAR
222	NE	Email from John M. Leonard to Thomas J. Lyons et al. re	AUTH
		Hancock Response	HEAR
223	NF	Email from Jennifer L. Baltic to Thomas J. Lyons et al. re	HEAR
ļ		Update Hancock Info.	
224	NH	Email from Gayle A. Kirkpatrick to Suzanne Lebold re	HEAR
\$100000000		Status of JH Compounds/Divestment Activities	
225	NJ	Memo from James L. Tyree to Jeff Leiden re October 2002	AUTH
		Highlights: Tyree memo dated 4/7/03 re March 2003	HEAR
		highlights: Leonard memo dated 2/13/04 re/January 2004	
		highlights: Tyree memo dated 6/16/04 re May 2004	
		highlights, Poulos memo dated 8/15/05 re July 2005	
		highlights: Poulos memo dated 9/12/05 re August 2005	
		highlights	

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
226	NK	Letter from Tom Lyons to Steve Blewitt re Research	HEAR
		Funding Agreement dated as of March 13, 2001, (a) 2002	AUTH
		Program Status Report and Related Cost Summary, (b) 2003	
		Preliminary Annual Research Plan	
227	NL	Email from Thomas J. Lyons to Jeff M. Leiden re John	HEAR
		Hancock Update	AUTH
228	NU	Email from Chris Martinez to Michelle Campbell	HEAR
229	NV	Email from Chris Martinez to Michelle Campbell	HEAR
230	NW	Email from Chris Martinez to Michelle Campbell re John (Hancock Audit	HEAR
231	NX	Email from Michelle L. Campbell to Chris Martinez re	Contains More than One
		Status of Documents Available for Review re John Hancock	Document
		Audit	HEAR
			AUTH
232	NY	Email from Chris Martinez to Michelle Campbell re Status	HEAR
	***************************************	of documents available for review	AUTH
233	OH	Email from Michelle L. Campbell to Mark Hair re Copies of	
81223824G1083		Documents Flagged Today	AUTH
234	OP	Email from Michelle Campbell to Mark Hair	HEAR AUTH
235	OQ	Email from Mark Hair to Michelle L. Campbell re John	HEAR
		Hancock - Abbott Audit Documentation	
236	OR	Email from Mark Hair to Michelle L. Campbell re Abbott	HEAR
		Audit Documentation	
237	OT	Email from Michelle E. Campbell to Mark Hair re John Hancock Audit	HEAR AUTH
238	OV	Email from Michelle Campbell to Mark Hair	HEAR
239	ΟZ	Letter from Suzanne A. Lebold to Stephen J. Blewitt re Research Funding Agreement Between Abbott Laboratories	AUTH HEAR
		and John Hancock dated March 13, 2001.	7777
240	PC	Letter from Suzanne Lebold to Stephen Blewitt re Research Funding Agreement Update	HEAR
241	PE	Abbott Laboratories PPD R&D Alternative Financing	HEAR
		Analysis John Hancock Funding Scenarios	AUTH
242	PG	Internal memorandum from Steve Cohen to Jeff and Arthur	HEAR
		attaching Hancock package with three additional schedules	AUTH
243	РJ	Memo from Azmi to Jim re Project Review	HEAR
			AUTH
244	QS	GPRD APU - J. Leiden Questions	AUTH HEAR
245	RU	Letter from Suzanne Lebold to Stephen Blewitt	HEAR
Laurence and the contract of	anticorpa na sami kilomenga primok promok primok	and a superference of the contract of the cont	AUTH
246		Email from Thomas Woidat to Mike Higgins re Proposed APU Target Adjustments	HEAR
247	RZ	Abbott-John Hancock Funding Collaboration	AUTH
			HEAR

Line	PLs' Trial	Description	Abbott's Grounds for
	Ex.		Objection
248	SD	Email from Kenneth Wittenberg to Amy Potthoff, et al re	AUTH
		Meeting re Hancock audit	HEAR
			Contains more than one
			document
249	SK	Email from Marilyn Collicott to JSCHANZENBACH@rsi-	AUTH
		nc.com@internet re meeting today	HEAR
250	SL	Email from Andrea Landsberg to Bruce McCarthy re 594	AUTH
l		Development Plan	HEAR
251	SM	Email from Bruce McCarthy to Andrea Landsberg re ABT-	AUTH
		594/963 Purdue Meeting	HEAR



To James Steck/LAKE/PPRD/ABBOTT@ABBOTT cc Lawrence E Roebel/LAKE/PPRD/ABBOTT@ABBOTT

"Abcc

Subject Re: Studies to Meet Pediatric Rule Requirements

I share your concern and have an even bigger one. In those cases where we are planning to develop an NCE, and we have a target NDA date, I have had difficulty convincing people they have to take the pediatric rule requirements seriously. The answer I keep getting on ABT-773 is "but that project isn't funded". I don't think FDA will buy that answer. James Steck

James Steck 02/05/2001 05:20 PM

Jeanne M Fox/LAKE/PPRD/ABBOTT@ABBOTT, Lawrence E Roebel/LAKE/PPRD/ABBOTT@ABBOTT To:

Subject: Studies to Meet Pediatric Rule Requirements

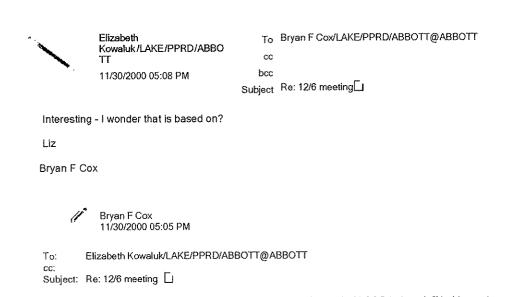
Jeanne and Mick

This is just a heads up to let you know that there may be some issues arising in the future about concerns for being able to do studies requested by FDA to meet pediatric rule requirements because these studies "are not funded". Steve and I are running into discussions on this for Depakote ER in migraine where FDA has asked us to do an efficacy study in migraine per the the pediatric rule Of course we will attempt to negotiate with FDA to do the least onerous studies that will still satisfy the pediatric rule requirments, but folks will need to advised at some point (preferably early on) that meeting this rule is a regulatory obligation and a cost of doing business. I'd appreciate hearing any thoughts you have on this subject.

1. 12

Jim





Wouldn't miss it for the world. Sadly though, our die may be cast. At SAC today, Jeff Leiden put up a slide today listing ABT-822 as Commercial Viability Questionable.

Of course, ABT-594 was painted with the same brush.

Bryan

ABBT326427 Confidential

Jim,

Greetings.

We had a project review with upper management this Wednesday. During this review their was a concern regarding the continuation with ABT-518 development. Although, we thought that we will be allowed to continue at this time, I and Perry have learned, 45 minutes ago, that we should stop all development activities immediately. As much as I hate to do this to you, I would like to ask you to communicate with Drs. Zonnenberg and Schellen that we are not proceeding with the trial as a result of the projects re-prioritization following the acquisition of Knoll. I will call you on you mobile phone (I do not have your home #) to discuss this further with you and check you comfort level with this very difficult task. If you prefer to call me, my home number:847-382-3818, mobile: 847-380-5830. As you know, at AZU they are expecting a patient Monday morning, so this has to be done ASAP.

I did not have the chance to tell Todd and Diane D. this news since I was informed late in the day and they have left already. So please do not copy others until I have a chance to inform them directly.

Thanks

Azmi



### Pharmaceutical Licensing & New Business Development

FROM: James L. Tyree Corporate Vice President Dept. R50 AP34-2 Tel: (847) 938-0101 Fax: (847) 937-1771

Date: February 13, 2002

Filed 02/08/2008

TO: Jeff Leiden

Global Pharmaceutical Licensing & New Business Staff

CC:

- C. Begley
- 8. Dempsey D. Golfredo
- R. Gonzalez
- B. Kamen
- J. Leonard
- D. Norbeck E. Ogunro
- S. Murphy
- S. Weger T. Freyman
- M. White
- L. Wyatt

January 2002 Highlights

### I, CONCLUDED BUSINESS

Project Leaf (Co-dev): Abbott has elected to terminate further negotiations with Novartis for the co-development of LAF237 for Type II Diabetes following extensive discussions.

Lilly's Opioid Antagonists (License): This opportunity was declined due to insufficient patent life (composition of matter).

Emisphere Oral Heparin (License): Due diligence on this Phase III opportunity was conducted in January. The review with senior management confirmed the decision not to proceed with further discussions for this product based on the due diligence

### II, PENDING FINAL RESOLUTION

### Negotiations

<u>Uprima-Japan:</u> Exclusive rights for Uprima in Japan are being negotiated with Takeda. The agreement is targeted for execution by the end of February.

Project Galleon (Co-promo/Acquisition): Negotiations for the co-promotion of Gatifloxicin (quinolone) in EU are ongoing with Grunenthal. Negotiations are expected to be finalized by the end of February. A Gatifloxacin acquisition analysis has been initiated for the US. Valuation analysis of various deal structures will be presented to senior management by the end of February.

TET (Gene Regulation Technology, Divestiture and Licensing): Divestiture: ABC sent a letter to all parties who requested confidential packages, indicating Abbott's preferred bid structure. Deltagen has sent an offer of \$15MM, comprised of cash and non-cash considerations to purchase the asset including all revenues from existing licenses. All bids are due mid-February and the next steps will be determined pending the offers. Commercial licenses: Cell Genesys, Ceregene, Cell Factors, and Virxsys have expressed interest in non-exclusive commercial licenses to the technology. ABC has drafted term sheets for these companies and has scheduled teleconferences to discuss these terms.

Yeast Display (Out-Licensing): Introductory letters announcing Abbott's acquisition of the yeast display technology were sent out to about 20 companies expressing interest in the technology. After receiving introductory letters, both Maxygen and Zymogenetics have expressed interest in further discussing licenses to the technology.

### Due Diligence

Project Zeus (Divestiture): A non-binding term sheet was received from Virbac for the purchase of Zeus assets. Due diligence is scheduled for February 14-15.

Project Thunderbird (Acquisition): An assessment is underway for the acquisition of Tequin, BMS's quinolone in the US. Estimated peak sales in the US could reach greater than \$700MM by 2007.

ABBT247161 Highly Confidential

<u>Project Blue Sun (Divestiture):</u> Abbott is in the process of divesting the worldwide Selsun shampoo business. Management presentations are scheduled for early February. Abbott requires at least twice the sales or \$80MM in order to continue the process. HSR needs to be submitted by late February or early March in order for Ross to achieve Q102 recognition of the gain. All gain may be taken beyond Q2 with the potential of 2003 carryover due to registration issues.

<u>Project Dakota (Partnering):</u> Abbott is in the process of finding a partner to maximize D2E7 in comprehensive promotional and clinical development collaboration. Disease areas under consideration include rheumatoid arthritis; Crohn's disease; psoriasis; psoriatic arthritis and others. A meeting was held with Novartis in New Jersey on February 7. Novartis' proposal will be reviewed with senior management mid-February to define the next steps.

<u>Triangle Strategic Overview:</u> A presentation reviewing the original Triangle deal expectations, their current product portfolio valuation, an outline of strategic options, and a recommendation will be submitted to senior management in February. Of the four potential drugs in development at Triangle, the focus of the analysis is on Coviracit (FTC) and DAPD and the potential combination product with Gilead's Tenofovir (<u>Project Geometry</u>). A worldwide co-promotion of a combination product Tenofovir (an approved drug) and Coviracil (FTC) for HIV (not yet approved) is financially modeled based upon a 70/30 profit split. Discussions with Gilead on the combination are advancing with regulatory and clinical data regarding Coviracil being provided to Gilead by Triangle.

<u>Project Garden (Divestiture)</u>. Abbott is analyzing the divestiture of Gengraf. Discussions are proceeding with Sangstat. A number of other parties have declined interest in acquisition.

<u>Hydra (Equity and Research Collab):</u> A non-binding term sheet containing equity terms and milestones for two research collaboration agreements that include option rights to products has been proposed. The two research collaborations involve the elastin oligopeptide-coated stent project in the prevention of restenosis and the CatSper ion channel for potential in male infertility and/or contraception.

### Pending Go/No-Go Decision

<u>Gilead Tenofovir (License):</u> FTC combination product commercial assessment and business discussions on-going. Further technical assessment has been deterred pending the outcome.

<u>Project Gladiator (Acquisition):</u> The analysis of the GSK Anesthesia business in Europe & PAA is being updated. A go/no-go decision is pending final due diligence and commercial analysis.

Biogen (Amevive) Project Acorn (Co-promo): A co-promotion of Amevive for psoriasis in Latin America has been modeled based upon preliminary deal terms. Biogen concerns center on potential conflict with D2E7 in psoriasis market. A gc/no-go decision is scheduled for mid-February.

<u>Lundbeck (S-citalopram, Co-promo)</u>: A co-promotion of the S-citalopram, an SSRI anti-depressant in Latin America is being financially modeled. Meeting scheduled with Lundbeck 2/13 to discuss deal model/terms. Next step: evaluate forecast/terms in model for go/no-go.

<u>Project Rhythm (Co-promo/mkt):</u> A co-promotion / co-market of P&G's Azimilide for CV antiarrhythmia (worldwide ex-Japan) has been modeled based upon preliminary deal terms. A go/no-go decision is scheduled for mid-February, pending commercial support in bringing forward based on forecast/estimated deal terms and impact.

Chiron HCV IP (License): Negotiations are continuing for non-exclusive rights to two targets for drug discovery.

Myriad Novel Depression Genes/Targets (Collaboration): Negotiations for a definitive agreement are ongoing with a target for execution by February 28th. A Press Release is being routed for approval.

### III. NEW INITIATIVES

Taisho (Overview): Prepared a comprehensive overview of Taisho for senior management meeting with Taisho, 2/15.

ABT-773 (Partnering): Taisho has been informed of the decision to stop the global development of ABT-773 except for the Japan market place. A strategy for the partnering of ABT-773 is being developed and will be reviewed with management at the end of February.

Highly Confidential ABBT247162

ICOS IC485 (License): Technical discussions w/ICOS regarding this PDE-4 inhibitor that is in Phase I for RA scheduled for February.

ABT-598 (Outlicense): Presented deal terms to loagen who indicated they would not make any cash upfront payment for the asset (urinary incontinence DDC asset). Discussions with loagen have concluded and an outlicensing package is being drafted.

<u>TAT Licensing Process:</u> The TAT teams have developed a list of licensing opportunities based on the LRP. The LSP will be finalized at the end of February.

Abbott's Licensing and Business Development Web Site: An external web site was developed and presented to senior management which markets Abbott's businesses, research focus and targeting biotech companies, venture capitalists and universities, to access novel targets and technologies. The web site address is <a href="http://Licensing.Abbott.com">http://Licensing.Abbott.com</a> and the email address <a href="Licensing@Abbott.com">Licensing@Abbott.com</a> will be launched in March.

Highly Confidential ABBT247163

### TAB D

		Abbott's Revised Proposed Trial Exhibit List	d Trial Exhibit List	Febr	February
rial Exhibit Number	Date	Bates Range	Deposition Exhibit Number	DESCRIPTION	
500	undated	ABBT0006627 - ABBT0006700			
502	undated	JH002993 - JH002997		***************************************	
503	undated	ABBT0577899 - ABBT0577913			
504	undated	ABBT0577959 - ABBT0577984			
506	undated	JH000695 - JH000727			
507	undated	ABBT372493 - ABBT372494			
508	undated	AND00001 - AND00015			
509	undated	ABBT0556317 - ABBT0556317			
510	undated	AND00033 - AND00092		***************************************	
511	undated	ABBT0051885 - ABBT0051888			
512	undated	JHII021645 - JHII021646			
513	undated	ABBT0047907 - ABBT0047908			
515	undated	859000HC - 359000HC			
516	undated		Gold Depo Exhibit No. 1	Dr. Gold Expert Report	
517	undated	JH002180 - JH002201			
518	undated	JH001103 - JH001104			
519	03/00/2001	ABBT0155602 - ABBT0155608		McKinsey Initial Portfolio Priortization	
520	undated	ABBT203446 - ABBT203450			
521	undated	JHII021956 - JHII021958			
522	07/00/1995	JH001446 - JH001459			
523	5/13/1997	JHII012335 - JHII012354			
524	6/5/1998	JHII012446 - JHII012446			
525	6/10/1998	JHII012404 - JHII012411			
526	8/29/1998	JH001702 - JH001706			
527	9/9/1998	JH001694 - JH001698			
528	1/13/1999	JHII012240 - JHII012250			
**************************************		JHII012355 - JHII012367; JH003080 -			
529	3/24/1999	JH002090			
530	5/6/1999	JH001699 - JH001701			
531	06/00/1999	ABBT0018986 - ABBT0019095			
532	6/4/1999	JHII012420 - JHII012428			
533	6/25/1999	JHII012277 - JHII012283	***************************************	111111111111111111111111111111111111111	
534	6/29/1999	ABBT0020546 - ABBT0020551			
535	7/1/1999	JHII012251 - JHII012262			
536	8/20/1999	JHII012449 - JHII012461			

The state of the s	A CONTRACTOR OF THE CONTRACTOR																																			
		Hartz Depo Exhibit No. 18	Nastou Depo Exhibit No. 4				Klotz Depo Exhibit No. 30																													Klotz Deno Evhihit No. 28
JH000767 - JH000770	ABBT0051889 - ABBT0051889			ABBT338037 - ABBT366059	JHII021620 - JHII021626	JH002212 - JH002226		ABBT0003362 - ABBT0003370;	ABBT0003416 - ABBT0003418;	ABBT329442 - ABBT329558;	ABBT299286-ABBT299297;	ABBT299147-ABBT299158	JH002312 - JH002313	ABBT0065818 - ABBT0065896	ABBT0065897 - ABBT0065981	JH002308 - JH002309	ABBT0141929 - ABBT0141983	ABBT0107546 - ABBT0107551	ABBT246414 - ABBT246415	AL000135 - AL000135	1002423	ABBT242357 - ABBT242361	JH000747 - JH000761	T364740 -	1	T246447 -	ABBT0570747 - ABBT0570770	JH003089 - JH003100	ABBT246466 - ABBT246471	AL000198 - AL000199	JHII012376 - JHII012388	ABBT0510354 - ABBT0510483	JH003080 - JH002090	1H003058 - 1H003069	AL000115 - AL000119	
11/21/1999	12/21/1999	00/00/2000	00/00/2000	00/00/2000	00/00/2000	00/00/2000	00/00/2000					00/00/2000	1/28/2000	2/8/2000	2/29/2000	3/7/2000	3/9/2000	04/00/2000	4/5/2000	5/2/2000	5/8/2000	5/9/2000	5/11/2000	5/16/2000	2/26/2000	5/31/2000	06/00/2000	6/2/2000	6/5/2000	6/7/2000	6/8/2000	6/20/2000	6/20/2000	6/27/2000	6/27/2000	0000/00/20
540	541	542	543	544	545	546	547					548	549	550	551	552	553	554	555	558	528	560	561	562	563	564	566	292	568	569	570	572	573	574	575	878

List
Exhibit
Trial
Proposed
Revised
Abbott's

***************************************																			- CANADA		The state of the s		Million of the state of the sta										- THE STATE OF THE	, de de Antonia ministrate de la manana de la manana de la mandra de la mandra de la mandra del mandra de la m		in management and a part of the control of the cont
												Klotz Depo Exhibit No. 29																						***************************************		
JH003032 - JH003057	JH003027 - JH003031	ABBT0082516 - ABBT0082516	JH003014 - JH003026	JH003007 - JH003013	JH000675 - JH000678	JH002999 - JH003001	JH002993 - JH002997	JH002984 - JH002988	JH002973 - JH002979	ABBT256634 - ABBT356645	AL000099 - AL000102		AL000138-AL000175	ABBT0080232 - ABBT0080233	ABBT242156 - ABBT242156	JH003342 - JH003346	JHII021647 - JHII021649	JH001185 - JH001202	JH005573 - JH005573	JH001203 - JH001220	ABBT233741 - ABBT233749	ABBT233741 - ABBT233749	ABBT0051892 - ABBT0051904	ABBT0105598 - ABBT0105598	ABBT0107081 - ABBT0107081	JH002373 - JH002390	JH005551 - JH005553	JH007701 - JH007701	377000HL - 877000HL	ABBT144600.UR - ABBT144609.UR	ABBT245829 - ABBT245835	JH003740 - JH003814	JH005273 - JH005348	ABBT0558681 - ABBT0558683	JH004980 - JH004988	ABBT205257 - ABBT205259
7/3/2000	7/4/2000	7/7/2000	7/11/2000	7/11/2000	7/14/2000	7/18/2000	7/21/2000	7/23/2000	7/28/2000	08/00/2000	8/4/2000	8/11/2000	8/17/2000	8/29/2000	9/15/2000	9/18/2000	9/18/2000	9/21/2000	9/21/2000	9/21/2000	9/28/2000	9/28/2000	9/28/2000	9/29/2000	10/3/2000	10/10/2000	10/10/2000	10/26/2000	10/27/2000	11/00/2000	11/1/2000	11/1/2000	11/10/2000	11/20/2000	11/21/2000	11/27/2000
577	929	581	582	583	585	586	587	588	590	591	593	594	597	599	604	909	607	809	609	610	613	614	615	616	617	619	620	622	623	624	625	626	627	628	629	630

4

																													**************************************						March 2001 ABT-518 Monthly
ABBT314979 - ABBT314987	ABBT0017554 - ABBT0017554	0577000 -	ABBT233539 - ABBT233540	ABBT0118174 - ABBT0118203	ABBT0010550 - ABBT0010576	0063643 -	1	0000322 -	0108884 -	ABBT301935 - ABBT301941	ABBT0012433 - ABBT0012454	ABBT0102282 - ABBT246130	ABBT0014658 - ABBT0014665	JH008153 - JH008158	JH008159 - JH008164	JH008165 - JH008173	JH008174 - JH008178	JH008179 - JH008185	JH008186 - JH008192	JH008193 - JH008199	JH008200 - JH008205	JH008206 - JH008209	ABBT0000412 - ABBT0000417	ABBT0000387 - ABBT0000399	ABBT292664 - ABBT294198	ABBT0012431 - ABBT0012432	ABBT205042 - ABBT205046	ABBT0576828 - ABBT0576871	JH006299 - JH006359	ABBT204959 - ABBT205279	ABBT0114639 - ABBT0114639	ABBT0576918 - ABBT0576923	0003465 -	ABBT287552 - ABBT287557	ABETOCO340 ABETOCO354
11/28/2000	12/00/2000	12/5/2000	12/14/2000	12/21/2000	00/00/2001	00/00/2001	01/00/2001	01/00/2001	1/15/2001	1/25/2001	1/25/2001	1/25/2001	1/31/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	02/00/2001	2/2/2001	2/6/2001	2/12/2001	2/12/2001	2/15/2001	2/22/2001	2/27/2001	2/28/2001	2/28/2001	03/00/2001	03/00/2001
631	632	633	634	635	636	637	638	639	641	642	643	644	645	646	647	648	648	650	651	652	653	654	655	656	657	629	099	661	662	663	664	665	999	299	0 9 9

													The state of the s								The second secon									- A Control of the Co				- Annual		
ABBT0000429 - ABBT0000438	ABBT271783 - ABBT271816	ABBT0164029 - ABBT0164036	0037509	ABBT0119894 - ABBT0119895	0060705	<b>T297558</b>	H008376 -	048632	ABBT0092919 - ABBT0092921	ABBT0013203 - ABBT0013214	ABBT0059464 - ABBT0059464	JH010033 - JH010142	JH008074 - JH008211	JHI1021612 - JHI1021619	JH001103 - JH001104	JHI1021607 - JHI1021611	JHI1021612 - JHI1021619	ABBT0060789 - ABBT0060789	ABBT0012388 - ABBT0012390	ABBT0509109 - ABBT0509109	ABBT120465.UR - ABBT120513.UR	ABBT364018 - ABBT364020	ABBT300130 - ABBT300132	ABBT360531 - ABBT360573	ABBT0000355 - ABBT0000360	ABBT0000491 - ABBT0000496	ABBT0033510 - ABBT0033516	.0026337 -	.0001749 -	0591.UR -	00000508 -	ABBT0001769 - ABBT0001839	ABBT0054230 - ABBT0054231	ABBT335154 - ABBT335154	T361448 -	ABBT294559 - ABBT294560
03/00/2001	03/00/2001	3/1/2001	3/2/2001	3/6/2001	3/8/2001	3/9/2001	3/9/2001	3/9/2001	3/9/2001	3/9/2001	3/12/2001	3/12/2001	3/13/2001	3/13/2001	3/13/2001	3/13/2001	3/13/2001	3/14/2001	3/16/2001	3/16/2001	3/19/2001	3/21/2001	3/22/2001	3/26/2001	04/00/2001	04/00/2001	4/3/2001	4/12/2001	4/23/2001	4/27/2001	05/00/2001	5/2/2001	5/4/2001	5/4/2001	5/7/2001	5/9/2001
699	670	671	672	673	675	676	678	679	680	681	682	683	684	989	687	688	069	691	969	969	697	869	669	700	701	702	704	705	706	707	708	709	710	711	712	713

																												Hardware Anna Carlotte Control of the Control of th		Commission of the Commission o	AND THE PROPERTY OF THE PROPER				Company was a second of the se	To the state of th
)556321 -	037615 -	ABBT0164581 - ABBT0164581	ABBT0064226 - ABBT0064233	ABBT0033486 - ABBT0033494	ABBT0045226 - ABBT0045227	ABBT0026340 - ABBT0026342	ABBT0054387 - ABBT0054387	ABBT0063625 - ABBT0063626	ABBT224941 - ABBT224983	ABBT229367 - ABBT229448	MCK00014 - MCK00108	ABBT0507879 - ABBT0507879	JHII021462 - JHII021462	ABBT0000612 - ABBT0000618	ABBT0000589 - ABBT0000598	ABBT288530 - ABBT288530	ABBT209793 - ABBT209829	ST-AUDIT18511 - ST-AUDIT18594	ABBT103191.UR - ABBT103270.UR	ABBT245647 - ABBT245647	ABBT317214 - ABBT317214	)049990 -	049970 -	ABBT0049980 - ABBT0049981	789332	ABBT0001974 - ABBT0002029	ABBT311519 - ABBT311637;	ABBT127868.UR - ABBT127868.UR	ABBT127868.UR - ABBT127868.UR	ABBT245619 - ABBT245619	ABBT203477 - ABBT203484	JH008372 - JH008372	ABBT113285.UR - ABBT113315.UR	1	ST-AUDIT13231 - ST-AUDIT13235	ABBT201003 - ABBT201007
5/12/2001	5/20/2001	5/21/2001	5/22/2001	5/28/2001	6/7/2001	6/7/2001	6/7/2001	6/11/2001	6/17/2001	6/20/2001	6/20/2001	6/21/2001	6/28/2001	07/00/2001	07/00/2001	7/9/2001	7/13/2001	7/23/2001	7/23/2001	7/30/2001	7/30/2001	8/6/2001	8/10/2001	8/14/2001	8/16/2001	8/21/2001			9/13/2001	9/17/2001		9/20/2001	9/27/2001	9/28/2001	10/00/2001	10/2/2001
714	715	716	717	718	719	720	721	722	723	724	725	726	727	728	729	730	731	732	733	734	735	736	738	739	740	741		742	745	746	747	748	749	751	752	754

Abbott's Revised Proposed Trial Exhibit List	
bott's Revised Proposed Trial Ex	List
bott's Revised Proposed	Exhibit
oott's	Trial
oott's	roposed
oott's	ised P
	s Rev

							- Constitution of the Cons						The state of the s								· · · · · · · · · · · · · · · · · · ·								A CANADA			Exhibit No. 7				
ABBT246335 - ABBT246335	ABBT0033833 - ABBT0033833	ABBT0025973 - ABBT0025973	JH000787 - JH000802	JH001065 - JH001068	JHII021496 - JHII021498	ABBT207773 - ABBT207775	ABBT203446 - ABBT203453	ABBT333998 - ABBT333998	AUDIT 002790 - AUDIT 002790	JH003142 - JH003158	AUDIT 002791 - AUDIT 002793	ABBT0518029 - ABBT0518031	ABBT220660 - ABBT220672	ABBT0518032 - ABBT0518034	- <b>226600</b> H	JH000807 - JH000817	JHI1021620 - JHI1021626		ABBT0016048 - ABBT0016051	JH001105 - JH001105	JH001111 - JH001168	ABBT335048 - ABBT335050	JH002447 - JH002447	JH003122 - JH003136	JHII021645 - JHII021646	JH003113 - JH003115	JH001071 - JH001091	ABBT0004519 - ABBT0004520	JH001283 - JH001290	JHII012075 - JHII012078	JH002323 - JH002339	Walsh Depo Exhibit No.	CRA00127 - CRA00139	JHII012071 - JHII012074	JHII011883 - JHII011886	ABBT0000057 - ABBT0000058
10/9/2001	11/16/2001	11/20/2001	11/26/2001	12/18/2001	12/31/2001	1/7/2002	7/11/2002	8/6/2002	8/26/2002	9/30/2002	10/16/2002	11/7/2002	12/00/2002	12/6/2002	12/20/2002	12/20/2002	00/00/2003	00/00/2003	1/16/2003	1/22/2003	1/30/2003	2/28/2003	3/14/2003	4/13/2003	06/00/2003	6/11/2003	9/22/2003	11/12/2003	11/12/2003	12/19/2003	12/23/2003	00/00/2004	00/00/2004	3/19/2004	4/12/2004	5/10/2004
755	761	762	763	765	766	792	774	775	776		779	780	781	782	783	784	785	786	787	788	789	790	792	794	795	796	800	801	802	804	805	908	208	808	810	812

### Abbott's Revised Proposed Trial Exhibit List

								MANUFACTURE OF THE PARTY OF THE							***************************************			THE PARTY OF THE P							AND THE PARTY OF T								**************************************		
									Blewitt Depo Exhibit No. 21																										
	70190551 -	_0000017	ABBT0000114 - ABBT0000114	ABBT0000265 - ABBT0000266	ABBT0000125 - ABBT0000127	ABBT0126614 - ABBT0126615	ABBT0000257 - ABBT0000260	ABBT0126635 - ABBT0126639		JHII012067 - JHII012070	ABBT0000255 - ABBT0000256;	ABBT0126645 - ABBT0126647	ABBT0000250 - ABBT0000253	JHII011289 - JHII011291	ABBT0000137 - ABBT0000138	ABBT0027246 - ABBT0027263	<b>- 11011295</b>	ABBT0126668 - ABBT0126669	ABBT0027991 - ABBT0028015		JH0111167 - JH0111168	ABBT0000235 - ABBT0000235	ABBT0000235 - ABBT0000235	ABBT0126905 - ABBT0126908	JHII011221 - JHII011221	JHI1021636 - JHI1021636	JHI1021637 - JHI1021637	ABBT0000280 - ABBT0000284	JHII021644 - JHII021644	JHI1021526 - JHI1021594	JHI1021638 - JHI1021643	ABBT0126575 - ABBT0126575		ABBT0005098 - ABBT0005112; 2606980: 2602418	JHII021598 - JHII021598
5/18/2004	6/10/2004	6/15/2004	6/23/2004	7/19/2004	8/5/2004	8/6/2004	8/31/2004	8/31/2004	9/9/2004	9/13/2004		9/28/2004	10/26/2004	10/27/2004	11/5/2004	11/16/2004	12/2/2004	12/3/2004	12/13/2004	12/13/2004	1/4/2005	1/18/2005	1/18/2005	1/31/2005	2/24/2005	3/9/2005	3/11/2005	3/15/2005	3/16/2005	3/17/2005	3/18/2005	3/22/2005	3/25/2005	3/27/2005	3/28/2005
813	814	815	816	817	818	819	820	821	822	823		824	826	827	828	829	831	832	833	834	835	837	838	839	840	841	843	844	845	846	847	848	849	850	851

Filed 02/08/2008

### Abbott's Revised Proposed Trial Exhibit List

										Electronic version of Monte Carol	Simulation (Excel spreadsheet)	produced by John Hancock.		Electronic version of StoneTurn	Index (Excel spreadsheet) titled	John Hancock-Document Index.	All documents with prefix ST-	AUDIT.	Project Map - 2001.		Single-page Letter from Dr.	Nabulsi and Dr. Janus to Prof.	Schellens.	Single-page Letter from Dr.	Nabulsi and Dr. Janus to Dr.	Zonnenberg.	Single-page Letter from Dr.	Nabulsi and Dr. Janus to Dr.	Voest.	ASCO News Release titled, Nearly	25,000 Cancer Specialists Meet in	San Francisco for ASCO Annual	Meeting.	
	**************************************		***************************************		Martinez Depo Exhibit No. 7	Fairweather Depo Exhibit No. 3	Fairweather Depo Exhibit No. 2	Gold Depo Exhibit No. 2	Gold Depo Exhibit No. 3				Hartz Depo, Exh. 18.						And a state of the	Hopfield Depo. Exh. 14														
JHII012079 - JHII012083	JHII012056 - JHII012061	ABBT372504 - ABBT372504	JHII012050 - JHII012055	ABBT0026105 - ABBT0026116													A STATE OF THE PARTY OF THE PAR	ST-AUDIT00001 - ST-AUDIT42765.		MCK00537-539			ABBT0033105			ABBT0033117			ABBT0033118	- Company of the Comp				
6/13/2005	9/6/2005	9/15/2005	12/6/2005	1/20/2006	2/6/2006	5/25/2007	5/29/2007	5/29/2007	5/29/2007				undated			undated		Various Dates		2001			Mar. 16, 2001			Mar. 16, 2001			Mar. 16, 2001				12 M2; 04	
854	856	857	859	860	861	864	865	866	867				868			869	WATER AND THE PARTY OF THE PART	870	The state of the s	871			872			873			874				077	0/0

St
<u>+</u>
ā
된
û
~
Tria
F
Ď
Se
ŏ
6
7
sed
<u>.s</u>
~
ž
Ŋ
₹
8
ă
⋖

278	20 00	Rodde Deno Evh 3	Expert Report of Dr. Bruce Rodda
877	13-Oct-06		Expert Report of Alan Friedman
070	42 02		Expert Report of Dr. Barry I. Gold
0/0	00-100-10		Expert Report of Avram S. Tucker
879	Jan. 19, 2007	Tucker Depo. Exh.2	
To the state of th	- data		Expert Report of Dr. William R.
880	19-Jan-07	Fairweather Depo. Exh. 1 Fai	Fairweather
		Life	Life Science Weekly Article titled,
		<u> </u>	Recent Reports from Advanced
		Life	Life Sciences Holdings provided
881	Feb. 20, 2007	and	and update.
The state of the s			Expert Report of Dr. Bruce Rodda
882	26-Feb-07	Rodda Depo. Exh. 2	(Statistical Issues)
***************************************		STO STORY	Crain's Chicago Business Article
		fitle	titled, Waiting to Exhale. Day of
		Re	Reckoning nears as biotech firm
		Adı	Advanced Life awaits trial results
		uo	on new treatment for pneumonia
883	11-Jun-07	[ Ad	by Mike Colias.
	***************************************	Adi	Advanced Life Sciences News
		Re	Release titled, Cethromycin
		AC	Achieves Primary Endpoint in
		Piv	Pivotal Phase 3 Pneumonia
884	21-Jun-07	Cit	Clinical Trial.
		Adv	Advanced Life Sciences Form 8-K
885	25-Jun-07	for	for the period of June 21, 2007.
		Cra	Crain's Chicago Business Article
		title	titled, Waiting to Exhale. Clinical
886	29~Jun-07		Trials by Mike Colias.
		Sar	Sanofi-Aventis February 2007
887	Aug 15 2007	inte	internet Ketek product description.
200	748.10, 400.1		

Abbott's Revised Proposed Trial Exhibit List

		U.S. Food and Drug Administration
		Research Web Page Article titled.
		Drug-Induced Liver Toxicity.
888	Aug.15, 2007	
		Life Science Weekly Article titled,
		Reports from Advanced Life
************		Sciences Holdings describe recent
888	Aug. 21, 2007	developments.
		Supplemental Expert Report of
880	3-Dec-07	Avram S. Tucker
		Revised Expert Report of Alan
891	3-Dec-07	Friedman
		Revised Expert Report of Dr. Barry
892	3-Dec-07	l. Gold
895	2/00/2001	ABBT0000343-348
		Complaint in Hancock Life Ins.
		Co., et al. v. Abbott
		Laboratories, No. 03-12501
896	12/12/2003	(Hancock I)
		Letter from Lawrence R. Desideri
		to Brian A. Davis dated May 18,
897	5/18/2004	2004
		Letter from Lawrence R. Desideri
		to Brian A. Davis dated June 3,
868	6/4/2004	2004
		Letter from Stephen V. D'Amore to
		Raymond O'Brien dated June 16,
899	6/16/2004	2004
The state of the s		Letter from Stephen V. D'Amore to
		Raymond O'Brien dated June 18,
006	6/18/2004	2004
		Letter from Lawrence R. Desideri
,		to Brian A. Davis dated June 23,
200	0/23/2004	4004

## Abbott's Revised Proposed Trial Exhibit List

7			
	2×1 772 70		
	てきないのでして しゅうごうかい	つうこう	•
•	STATE AND	こうこうこうこうこうこうこうこうこう	
		•	

Hancock's Responses and Objections to Abbott's 1st Set of Interrogatories	Letter from Applied Discovery, Inc. to Stacy Blasberg reflecting production of Bates Range ABBT200001- ABBT367508.	Hancock's Supplemental Responses To Abbott's Interrogatories Nos. 5(c), 5(d),	Hancock's Responses and Objections to Abbott's 2nd Set of Interrogatories	Supplemental Complaint	Abbott's Supplemental Responses and Objections To Plaintiffs' Rule 30(b)(6) Deposition Notice (Audit)	First Circuit Court of Appeal's decision affirming the District Court's Order	Transcript of Hearing before Honorable Douglas P. Woodlock	First Amended Supplemental Complaint	Answer to Amended Complaint (Docket # 107)	Letter from Ozge Guzelsu to Richard Abati, enclosing documents.	Hancock's Responses and Objections to Abbott's 3rd Set of Interrogatories	Email from Eric Lorenzini to Richard Abati regarding interrogatory responses
2/6/2006	3/28/2006	5/10/2006	6/23/2006	6/23/2006	7/13/2006	9/28/2006	12/6/2006	12/29/2006	1/12/2007	3/6/2007	4/30/2007	6/4/2007
928	929	931	934	935	937	636	941	943	945	947	952	953

ist
*****
Exhibit
~~~
÷
Ë
posed
ᅙ
ξ
<u> </u>
Revised
Abbott's

Email from Karen Collari Troake to	Eric Lorenzini, confirming that	Abbott will produce the set of	documents that StoneTurn	6/28/2007 received in the audit.	Letter from Deborah Dion to Eric	Lorenzini, producing the ST-	7/3/2007 AUDIT documents.	Hancock's Supplemental	Responses to Abbott Interrogatory	Nos 2, 3, 5(A)(B)(F), 6(A)(B)(F),	7/16/2007			11/8/2007 (Docket # 198)		11/8/2007 Phillips attaching chart.	Email from Richard Abati to Eric	Lorenzini attaching Redline	comparing First Amended	Complaint to Second Amended	11/15/2007 Complaint.		4/6/2001 ABBT0176908 - 0176909 Email thread re Azmi's Weekly	2/2/2001 ABBT0002314 - ABBT0002489 Leonard 36; Collicot 32 Project Review ABT-089 and ABT-	12/11/2000 ABBT0125549 ABT- 594 Calendar Entry Meeting ABT- 594	Power Calculatons w/Siber, Morris,	Leonard	12/21/2000 ABBT112987.ur - ABBT112992.ur	1 1/00/2001 ABBT0012389 - ABBT0012387 Portfelio Analysis : January 2001
				6/28/20(7/3/200				7/16/20(10/25/20		11/8/20(**************************************	11/8/200					11/15/20	11/29/20	4/6/200	2/2/200	12/11/20			12/21/20	1/00/2001
***************************************				954	МАНИНИЯ МИНИСИ В В В В В В В В В В В В В В В В В В		955				926	096	MHH.	961		962					963	964	996	296	896			696	970

List
Proposed Trial Exhibit I
d Trial
ropose
evised F
Abbott's Revised
Ab

Portfolio Analysis April 2001 Review PPD and Knoll Assets Reference Materials	E-mail thread re ABT-594 2001 Budget	Abbott Portfolio Review March 7-9, 2001	Portfolio Review Meeting Documents	Interoffice memorandum re ABT- 594 Review, August 21, 2001, Pharmaceutical Executive	E-mail thread re PEMC 8/21 Agenda	E-mail thread re New Agenda for Monday's PEC Meeting	E-mail thread re October 8 program	Interoffice memorandum re Monthly Highlights - September 2001	E-mail re Highlights	E-mail thread re 2002 Goals	Out-license Anti-infective Compounds Board Document February 14, 2003 Draft 8	E-mail thread re therapeutic strategy evaluations atttaching briefing document portfolio review	Pain Therapeutic Area - Global Pharmaceutical R & D strategy retreat May 2-4, 2001	Abbott Onocology - Global Pharmaceutical R & D Strategy Retreat May 2-4, 2001
ABBT0032090 - ABBT0032144	ABBT354519 - ABBT354520	ABBT232209 - ABBT232232	ABBT0116656 - ABBT0116659	ABBT0048631	ABBT0120919 - ABBT0120921	ABBT224537 - ABBT224539	ABBT0111238 - ABBT0111240	ABBT334009 - ABBT334011	ABBT304685	ABBT0165160 - ABBT0165162	ABBT0009617 - ABBT0009618	ABBT327314 - ABBT327327	ABBT0048541 - ABBT0048584	ABBT0060730 - ABBT0060767
4/00/2001	5/23/2001	3/7-9/2001	3/7-9/2001	8/16/2001	8/7/2001	10/5/2001	10/5/2001	10/8/2001	10/18/2001	11/15/2001	2/14/2003	3/27/2001	5/2-4/2001	5/2-4/2001
972	973	974	975	979	086	983	984	985	986	786	888	686	066	991

ed Trial Exhibit List	
Proposed Trial	
Abbott's Revised Proposed	
⋖	

Calendar Entry Rescheduled Meeting ABT- 773 Project Review	Interoffice Memorandum re Monthly Highlights April 2001	Interoffice Memorandum re Monthly Highlights July 2001	Interoffice Memorandum re	Monthly Highlights September 2001	Interoffice Memorandum re Monthly Highlights October 2001	Calendar Entry Meeting ABT- 773	רופצפוומוו	Interonice Memorandum re Monthly Highlights January 2002	Interoffice Memorandum re	Echanomy Highlights March 2002	Presentation	E-mail thread re MMPI Program	Update	E-mail thread re Goodwin	Philanthropy	E-mail re Pharmacia meeting	Analgesia Venture 2001 Plan	Revised 1/26/01	Summary of 12/10/2001 PEC Meeting	Calendar entry meeting re ABT-	773 Presentation to Miles White	Interoffice correspondence re	summary on development status of ABT-773	Memorandum re Meeting Minutes
	The same and the s																Leonard 21		Leonard 44	***************************************		Leonard 49		**************************************
ABBT0569030	ABBT143066.ur - ABBT143067.ur	ABBT0003466 - ABBT0003467	ABBT0003482 - ABBT0003484		ABBT0003478 - ABBT0003481	ABBT0569033	and of contract to the contract of the contrac	ABB1247733 - ABB1247737	ABBT103547.ur - ABBT103551.ur	A DD TO 4 000 14 A DD TO 400 270	ABB 10 1002 14 - ABB 10 1003 70	ABBT004510 - ABBT0004511		ABBT0064814 - ABBT064817		ABBT0107162	ABBT144630.ur - ABBT144646.ur		ABBT209487 - ABBT209488	ABBT0569033		ABBT0559668 - ABBT0559670		AUDIT 002817 - AUDIT 002829
12/12/2000	4/27/2001	8/10/2001	10/8/2001		11/9/2001	1/9/2002		2/8/2002	4/9/2002	*000	1.007/6/7	3/9/2001		1/18/2001		11/1/2000	1/26/2001		12/10/2001	1/9/2002		1/7/2002		11/27/2000
992	993	994	995		966	266		8666 6	666		0001	1001		1003		1004	1005		1007	1008		1009		1010

ed Trial Exhibit List	
Abbott's Revised Propose	

Transcript of March 30, Hearing in Hancock I	Blewitt Letter to Tyree (Audit)	Desideri Letter to Davis	Davis Letter to Desideri	Desideri Letter to Davis	Davis Letter to Desideri	Desideri Letter to Davis	Desideri Letter to Davis	Davis Letter to Desideri	Desideri Letter to Davis	D'Amore Letter to Troake	D'Amore Letter to Davis	Desideri Letter to Troake	D'Amore Email to Davis	D'Amore Letter to Davis	D'Amore Letter to Davis	Davis Letter to D'Amore	D'Amore Letter to Davis	Davis Letter to D'Amore	Joint Statement Pursuant to Local	Rule 16.1	December 2001 PEC Presentation	re ABT-773	Notice of Termination of ABT-773	Abbott Notes	Notice of Termination of ABT-773	LIAILCOCK NOTES	John Poulos letter to Stephen	Blewitt with 3 attachments	Research and Development	Transaction Investment Analysis	Email re outlicensing opprotunities	attaching infroductory slides	And the second s	ABT518 Selective Matrix	Metalloproteinase MMP Inhibitor
																						ABBT120514.UR- 58.UR	A WANAHAMII HARANA							JH002310 - JH002311			ABBT246353 - ABBT246368		ABBT0014648 - 00146657
3/30/2004	4/12/2004	5/3/2004	5/6/2004	5/10/2004	5/11/2004	6/3/2004	6/15/2004	6/16/2004	6/23/2004	11/5/2004	1/28/2005	8/5/2004	3/29/2005	4/21/2005	2/11/2005	2/11/2005	2/15/2005	2/15/2005		3/23/2004		12/00/2001		8/30/2002		//30/2002	:	1/9/2008		undated			8/22/2001		9/28/2001
1011	1012	1014	1015	1016	1017	1018	1019	1020	1021	1022	1023	1024	1025	1026	1027	1028	1029	1030		1031		1032		1033		1034		1035		1036			1037		1038

Document 244-8

Abbott's Revised Proposed Trial Exhibit List

9/00/2001 ABB1 10/24/2001 ABB1 1/25/2002 ABB1 4/16/2002 ABB1 8/13/2002 ABB1 8/13/2002 ABB1 8/13/2002 ABB1 8/13/2002 ABB1 8/14/2002 ABB1 8/14/2002 ABB1 8/14/2002 ABB1	1039	9/28/2001	ABBT246248 - ABBT24652	Email re Deemer	Email re Deemer Weekly Update
10/12/2001 ABBT246369 10/26/2001 ABBT0086829 10/24/2001 ABBT246338-ABBT24644 11/25/2002 ABBT0170011-ABBT0170014 4/16/2002 ABBT0141755-ABBT0141772 4/16/2002 ABBT0141755-ABBT0141772 8/13/2002 ABBT0141712-ABBT0141728 8/13/2002 ABBT0141711-ABBT0141728 8/13/2002 ABBT0141711-ABBT0141728 8/13/2002 ABBT0169420 8/13/2002 ABBT0169420 8/13/2002 ABBT0167630-ABBT0091538	040	9/00/2001	ABBT0000381-ABBT0000388	ABT518 Matrix N	Metalloproteinase
10/26/2001 ABBT246338-ABBT24644 11/25/2002 ABBT01065300-ABBT0170014 4/3/2002 ABBT01170011-ABBT017702 4/16/2002 ABBT0141755-ABBT0141772 4/16/2002 ABBT0141755-ABBT0141772 8/13/2002 ABBT0186988 8/13/2002 ABBT0186988 8/13/2002 ABBT0186920 8/13/2002 ABBT0186988 8/13/2002 ABBT0186920 8/13/2002 ABBT0186920 8/13/2002 ABBT0186920 8/13/2002 ABBT0186920 8/13/2002 ABBT0166920 8/13/2002 ABBT0166920	1041	10/12/2001	ABBT246369	Email re Licensir	ing opportunities
1024/2001 ABBT246338-ABBT24644 11/25/2002 ABBT0065330-ABBT010014 4/3/2002 ABBT0170011-ABBT0141772 4/16/2002 ABBT0141755-ABBT0141772 8/12/2002 ABBT0141711-ABBT0141728 8/13/2002 ABBT0141711-ABBT0141728 8/13/2002 ABBT0167630-ABBT0167638 9/24/2003 ABBT0167630-ABBT0167638	0.40	10/26/2001	ABRT0086829	Email re ABT-51	18 and
1/25/2002 ABBT0065300-ABBT0170014 4/3/2002 ABBT0141755-ABBT0141772 4/16/2002 ABBT0141755-ABBT0141772 4/16/2002 ABBT0141755-ABBT0141772 8/13/2002 ABBT0089655 8/13/2002 ABBT01642887-ABBT0542892 8/13/2002 ABBT0169420 8/13/2002 ABBT0141711-ABBT0141728 8/14/2002 ABBT0141711-ABBT0161539 9/24/2003 ABBT0167630-ABBT0167638 2/20/2004 ABBT0167630-ABBT0167638 1/25/2004 ABBT01676760 1/25/2004 ABBT016760 1/25/2004 ABBT016760 1/25/2004 ABBT016760	1043	10/24/2001	ABRT246338-ABBT24644	Email re Update	e of ETAs Priority 1
4/3/2002 ABBT0170011-ABBT0170014 4/6/2002 ABBT0141755-ABBT0141772 4/16/2002 ABBT0141755-ABBT0141772 4/16/2002 ABBT0089655 8/3/2002 ABBT0642887-ABBT00542892 8/13/2002 ABBT0086988 8/13/2002 ABBT0169420 ABBT0141711-ABBT0141728 9/24/2003 ABBT0091538 -ABBT0091539 9/24/2004 ABBT0167630-ABBT0167638	1044	1/25/2002	ABBT0065300-ABBT0065335	Email re Goodwi	vin Slides
4/6/2002 ABBT0141755-ABBT0141772 4/16/2002 ABBT0089655 5/3/2002 ABBT0089655 8/2/2002 ABBT00642887-ABBT0542892 8/13/2002 ABBT0086988 8/13/2002 ABBT0141711-ABBT0141728 8/14/2002 ABBT0091538 -ABBT0091539 9/24/2003 ABBT0167630-ABBT0167638		4/3/2002	ABBT0170011-ABBT0170014	Email re Salmed	dix ABT518
#/6/2002 ABBT0089655 #/16/2002 ABBT0089655 #/3/2002 ABBT0086988 #/13/2002 ABBT0169420 #/14/2002 ABBT0141711-ABBT0141728 #/14/2002 ABBT0141711-ABBT0191539 #/14/2002 ABBT0167630-ABBT0167638			CHOCK	ABT518 Selective Metalloproteinas	ive Matrix se MMP Inhibitor
4/16/2002 ABBT0089655 5/3/2002 ABBT0542887-ABBT0542892 8/13/2002 ABBT0086988 8/13/2002 ABBT0169420 8/14/2002 ABBT0141711-ABBT0141728 8/14/2003 ABBT0091538 -ABBT0091539 9/24/2003 ABBT0167630- ABBT0167638	1046	4/6/2002	ABB10141755-ABB10141772		
8/2/2002 ABBT0542892 8/2/2002 ABBT0169420 8/13/2002 ABBT0141711-ABBT0141728 8/14/2002 ABBT0091538 -ABBT0091539 9/24/2003 ABBT0167630- ABBT0167638	1047	4/16/2002	ABBT0089655	Email re Salmed Jerrys Note]	dix [Forwarding
8/13/2002 ABBT0169420 8/13/2002 ABBT0169420 8/14/2002 ABBT0141711-ABBT0141728 9/24/2003 ABBT0091539 ABBT0091539 2/20/2004 ABBT0167630- ABBT0167638	1048	5/3/2002	ABBT0542887-ABBT0542892	Email re April hig	ighlights;
8/13/2002 ABBT0169420 8/14/2002 ABBT0141711-ABBT0141728 9/24/2003 ABBT0167630- ABBT0167638 2/20/2004 ABBT0167630- ABBT0167638				Email re ABT 51	18 Outlicense
8/13/2002 ABBT0169420 8/14/2002 ABBT0141711-ABBT0141728 9/24/2003 ABBT0091538 -ABBT0091539 2/20/2004 ABBT0167630 ABBT0167638	1049	8/2/2002	ABBT0086988	Status	
8/13/2002 ABBT0169420 8/14/2002 ABBT0141711-ABBT0141728 9/24/2003 ABBT0167630- ABBT0167638				Email re John Ho	Hopkins Cancer
8/13/2002 ABBT0169420 8/14/2002 ABBT0141711-ABBT0141728 9/24/2003 ABBT0167630- ABBT0167638				Center and Abbo	ooft Oncology
8/14/2002 ABBT0141711-ABBT0141728 ABBT0091539 9/24/2003 2/20/2004 ABBT0167630- ABBT0167638	1050	8/13/2002	ABBT0169420	Collaboration Me	leeting
8/14/2002 ABBT0141711-ABBT0141728 ABBT0091539 -ABBT0091539 9/24/2003 2/20/2004 ABBT0167630- ABBT0167638				Email re Johns F	Hopkins 8-02.ppt;
8/14/2002 ABBT0141711-ABBT0141728 ABBT0091539 -ABBT0091539 2/20/2004 ABBT0167630- ABBT0167638				ABIOIR Selectiv	IVE IVIATITIX
9/24/2003 ABBT0091538 -ABBT0091539 2/20/2004 ABBT0167630- ABBT0167638	1051	8/14/2002	ABBT0141711-ABBT0141728	Metallopiotelijas	Se WINIT IIII IIII
9/24/2003 ABBT0091538 -ABBT0091539 2/20/2004 ABBT0167630- ABBT0167638				Email re ABT518	8 [Forwarding
2/20/2004 ABBT0167630- ABBT0167638	1052	9/24/2003	ABBT0091538 -ABBT0091539	Prospective Lice	ensee]
2/20/2004 ABBT0167630- ABBT0167638				Nisen S'pore-Tai	aiwan trip rept, Feb
2/20/2004 ABBT0167630- ABBT0167638				04.doc; Asia Trip	ip Report
EDEPOOL	1053	2/20/2004	ABBT0167630- ABBT0167638	02/16/2004 to 02)2/20/2004 P. Niser
ENEMONA ABBTONSOKKA ABBTONSOKKA				Email re ABT518	18 [Negative Clinica
EDECOOL ABBTOOROGEO ABBTOOROGE				Results with AG	33340 and
	1054	5/25/2004	ABBT0089550-ABBT0089551	Marimastat]	

Abbott's Revised Proposed Trial Exhibit List

pesc			ant	(D		v)			date		nior	1,2007/c	Ħ				aper			ccess				S	
Project Overview ABT518 Closed	ABT518 Previously in Ph 1		Email re Re M00235 Enrollment	Email re Portfolio Probabilities		Email re Summary of Success	Probabilities	The state of the s	Email re Final 07/03/2001 Update		Community Pharma Portfolio Senior	Management Data Review 09/19/2001	Summary Materials; Development	Assets Phase 2 Probability of	Technical Success	Pain Table of Contents	Monte Carlo Analysis Work Paper		Portfolio Analysis Review of	Technical and Regulatory Success	Probabilities	08/00/2005 Highlights	Portfolio Analysis April 2001	Review PPD and Knoll Assets	
Proje	ABT		Ema	Ema		Ema	Prob		Ema		Som	Mans	Sum	Asse	Tech	Pain	Mon		Port	Tect	Prob	0/80	Port	Revi	_
								Kowaluk 10																	
		ABBT0014490- ABBT0014491	ABBT0060145	ABBT285535-ABBT285536				ABBT301935-ABBT301941		ABBT290799-ABBT290837					ABBT0046856-ABBT0046891	ABBT0046892-ABBT0046902	JHII021962-JHII021979				ABBT317223-ABBT317239	ABBT352502 - ABBT352504	ABBT0032090 - ABBT0032663		
		2/23/2006	5/31/2001		4/9/2001			1/25/2001		1006/6/12					9/19/2001	9/19/2001		000/00/2000			4/4/2001	11/7/2002	4/00/2001		
		1055	1056		1057			1058		1059					1060	1061		1062			1063	1064			